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Dear Friend:

Welcome to the 22<sup>nd</sup> International Winterschool on:  
**Electronic Properties of Novel Materials: "Molecular nanostructures"**

This Winterschool is a sequel of twenty-one previous meetings held in Kirchberg in the last years on problems related to the electronic structure of novel materials. The idea of the meeting is to bring together experienced scientists from universities and industry with advanced students working in the selected field and thus create a fruitful and prosperous community for the exchange of scientific information and personal experience. It is a tradition of the Winterschools in Kirchberg that this exchange is not restricted to the lectures and poster sessions but occurs throughout the whole week.

The Winterschool is dedicated to molecular nanostructures as a new class of materials. Like the previous Winterschools it runs on an informal level.

If you have any questions concerning the organization and the program, come and see one of us or one of the colleagues involved in the preparation of the meeting. These persons are:

Matthias Müller	accomodation
Nils Rosenkranz	registration
Marcel Mohr	video transfer and recording
Hagen Telg	general assistance
Harald Scheel	receipts, technical assistance
Dirk Heinrich	technical assistance
Norman Tschirner	computer, internet

Also the managers of the hotel, Frau Mayer and her son Herr Mayer, and their staff promised to help us wherever they can. We want, at this point, to acknowledge their help and also that of Janina Maultzsch and María Machón, in the organization of the IWEPNM 2008. We also thank Jannik C. Meyer for his help in designing the logo of this year's Winterschool.

We wish you an interesting, successful, and pleasant week in Kirchberg. We are very much looking forward to your contributions at the event.

Sincerely yours,

Christian, Peter, Hans and Siegmur

## Chairmen

C. Thomsen (Berlin)  
P. Dinse (Darmstadt)  
H. Kuzmany (Vienna)  
S. Roth (Stuttgart)

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F. Mauri (F)	D. Zhu (CN)

## Scope

This winterschool will provide a platform for reviewing and discussing new developments in the field of electronic properties of molecular nanostructures and their applications. Subjects included are: • Carbon nanotube growth and selection • Carbon nanotube optics and electronics • Carbon nanotube spectroscopy • Material science of graphene • Molecular nanostructure transport and spintronics • Theory of molecular nanostructures • Applications of molecular nanostructures • Molecular machines and molecular motors • Template grown molecular nanomaterials • Single-molecule experiments

## INFORMATION FOR PARTICIPANTS

### Time and location

The IWEPNM 2008 starts on Saturday, March 1, evening, at the hotel Sonnalp in Kirchberg/Tirol, Austria and extends to Saturday, March 8, breakfast. There will be a reception party on March 1, after dinner and a farewell party including dinner on Friday, March 7.

### Transport

The hotel Sonnalp can be reached by private car from downtown Kirchberg by driving about one kilometer towards Aschau. Participants arriving at the railway station in Kirchberg or Kitzbühel should hire a taxi to get to the hotel.

### Addresses

The address of the Winterschool is:

IWEPNM 2008, Hotel Sonnalp, A-6365 Kirchberg/Tirol, Austria

Tel: ++43 5357 27410, Fax: ++43 5357 2741 200

e-mail: [info@hotelsonnalp.info](mailto:info@hotelsonnalp.info), Web: [www.tiscover.at/sonnalp.parkhotel](http://www.tiscover.at/sonnalp.parkhotel)

All questions concerning the the IWEPNM 2008 should be directed to:

Prof. C. Thomsen, Institut für Festkörperphysik, Technische Universität Berlin

Hardenbergstr. 36 10623 Berlin, Germany

Tel: +49-(0)30-31423187, Fax: +49-(0)30-31427705

email: [iwepnm-info@physik.tu-berlin.de](mailto:iwepnm-info@physik.tu-berlin.de)

Web: <http://www.iwepnm.org>

### Participation

Participation at the IWEPNM 2008 is possible for students and scientists working in the field covered by the scope of the meeting. Because of the limited space the participation requires prearranged acceptance by the organizers.

### Contributions

All oral contributions will be presented in the big seminar room of the Hotel Sonnalp. Participants are invited to contribute comments to research and tutorial lectures where 10 minutes for discussion are reserved after each lecture. Beamer presentations, overhead projections and presentations using data video projection will be possible. Presentation of video films needs prearranged confirmation. Invited speakers please test the beamer projection with the technical staff at the latest a few minutes before the session begins. Posters will be presented in the hall of the seminar room.

### During the conference

If you want to buy a ticket for the ski lifts in the Kirchberg/Kitzbühel area, please ask at the hotel reception on Saturday evening. Internet connection through WLAN

is available for all participants, even if they are not accommodated at the Hotel Sonnalp. Please check at the front desk.

### Proceedings

The contributions to the IWEPNM 2008 will be published by *physica status solidi*. The expected date for the appearance of the proceedings is September 2008. **Contributions can only be published if they arrive before the 30th of April.** For the first time an **online submission system** will be used, which you can find under the following address: <http://conferences.wiley-vch.de/v3>. The manuscripts will be reviewed within the following weeks. Acceptance of a contribution for presentation at the Winterschool does not automatically include acceptance for publication in the proceedings.

### Manuscript preparation

Make sure to refer to the current version of the instructions for authors: <http://www3.interscience.wiley.com/cgi-bin/jabout/40000761/instructions.html>  
In particular, the following requirements should be met:

- Your manuscript should be written using our Word  
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template.
- Relevant PACS numbers (a maximum of six codes) must be given, see the full and most recent classification system (PACS 2008) at <http://www.aip.org/pacs>. Always use the complete form, such as 32.50.+i, 71.70.Ej. The page limit for invited oral talks is 6 for poster contributions 4 pages. Submission deadline is April 30, 2008.

### Manuscript submission

For online submission please go to <http://conferences.wiley-vch.de/v3>. Your login details will be emailed to you by the conference organizers in due time. Select PHYSICA STATUS SOLIDI as the journal and choose IWEPNM 2008 from the list of projects. Once you there, click on “Create manuscript” on the left side of the screen and follow the instructions.

### File names

Please use only Western letters for the file name, and only lower-case letters for the format extension. Please use clear, self-explaining file names. Example: smith\_version2.doc. Please note that after submitting a manuscript, you can no longer modify it.

IWEPNM 2008  
CHAIRPERSONS FOR THE ORAL SESSIONS

The following participants are asked to support the program of the Winterschool by serving as chairperson:

Sunday, 2.03.	morning	Kuzmany
	morning, after coffee break	Reich
	evening	Ferrari
Monday, 3.03.	morning	Kürti
	morning, after coffee break	Kataura
	evening	Forró
Tuesday, 4.03.	morning	Mauri
	morning, after coffee break	Maruyama
	evening	Suenaga
Wednesday, 5.03.	morning	Robertson
	morning, after coffee break	Obraztsova
	evening	Roth
Thursday, 6.03.	morning	Loiseau
	morning, after coffee break	Hirsch
	evening	Hata
Friday, 7.03.	morning	Briggs
	morning, after coffee break	Mann
	evening	Dinse

Chairpersons are asked to start the sessions in time and **to terminate the lectures according to schedule**. The discussions may be extended up to 5 minutes beyond the schedule.

Chairpersons please remember: **You have to ask questions from the sideroom (bar)!**

Chairpersons please remember: **For questions from the main room please ask the speaker to repeat the question. The chairperson's microphone should only be passed on to questions from the first row.**

If there are any objections to the suggested list of chairpersons, please let us know at the beginning of the Winterschool.

We acknowledge your support.

The Organizers

## Final Program

	Sunday 2 March	Monday 3 March	Tuesday 4 March	Wednesday 5 March	Thursday 6 March	Friday 7 March
Topics:	NT optics, Raman	Graphene/ Molecular Electronics	NT transport, Devices	Non-carbon materials, Fullerenes, DWNT	Growth	Quantum Interactions
8:30	Recent Advances in Understanding the Nature and Dynamics of Optically Excited States in CNTs HEINZ	Novel Electronic and Optical Props. of Graphene and Graphene Nanoribbons LOUIE	Quantum Transport in Carbon Nanotubes CHARLIER	Catching Waves and Particles With Nanoscale Resonators ZETTL	Optical Properties of Metallic and Semiconducting SWCNTs KATAURA	Electron Spin Resonance of carbon-based nanostructures FORRÓ
9:00		Quasiparticle dynamics in epitaxial graphene films LANZARA		Understanding the formation of C-SWNT via in situ and ex situ diagnostics in laser vaporization and CVD techniques LOISEAU		Device Electronics Based on Nanowires and Nanotubes MANN
9:30	Exciton Dynamics, Localization and Transfer in Single Carbon Nanotubes HARTSCHUH	Unravelling the electronic structure of intercalated graphite: a key to graphene and SWCNTs GRÜNEIS	The One-Dimensional Wigner Crystal in Carbon Nanotubes BOCKRATH	Mo <sub>6</sub> S <sub>9-x</sub> NW Recognitive Molecular-Scale Connectivity: On the Road to Large-Scale Molecular Electronics MIHAILOVIC	Carbon nanotubes as IC Interconnects ROBERTSON	Switchable ErSc <sub>2</sub> N rotor within a C <sub>80</sub> fullerene cage: an EPR and PL study MORTON
10:00	Coffee break					
10:30	Excitonic imaging spectroscopy of individual single-walled carbon nanotubes LEFEBVRE	Potential barriers in graphene HUARD	Soft Materials with Carbon-Rich Nanostructures FUKUSHIMA	Functionalised Fullerenes in Carbon Nanotubes: Controlled Assembly of Molecular Chains KHLOBYSTOV	Super Growth: Working to Supply the World with Catalyst-Free SWNTs HATA	Graphene single electron transistors ENSSLIN
11:00	Plasmonic nanostructures in aperture-less scanning near field optical microscopy (aSNOM) VOGELGESANG	Observation of Spin-Orbit Coupling in Clean Carbon Nanotubes ILANI	Photovoltage microscopy and Raman spectroscopy of individual carbon nanotubes FREITAG	Chemical Functionalization of Fullerenes and Carbon Nanotubes HIRSCH	Imaging the carbon networks in carbon nanostructures SUENAGA	Optical Switching of Porphyrin-Coated Silicon Nanowire Field Effect Transistors WINKELMANN
11:30	Probing the doping in metallic and semiconducting CNTs by Raman MAURI	Gate control of conduction of thin graphite film TSUKAGOSHI	Plastic Bending Deformation of Carbon Nanotubes NAKAYAMA	Study of Nano-Carbons by Aberration Corrected Low Voltage Electron Microscopy. U. KAISER	The Importance of Defects in CNTs: How to Identify them using Different Techniques TERRONES	Spin Gap and Luttinger Liquid Description of the NMR Relaxation in Cns DORA



12:00 - 17:00	Mini workshops					
17:00 - 18:30	Dinner					
18:30	Anharmonic Phonon Lifetimes in Carbon Nanotubes RAO	Graphene spintronics JOZSA	Nanotube ElectroMechanics BACHTHOLD	Catalysis Chemistry of the Confined Nano-Systems BAO	Preparation, characterization, and applications of monodisperse SWNTs HERSAM	<b>17:00</b> Single Electron Spin Microscope: the prospects of adding chemical information to AFM images. HOLCZER
19:00	Electronic and vibrational properties of doped carbon nanotubes SOUZA FILHO	Ab initio design of realistic nanotube sensors DA SILVA	SWNT Functional Structures in Sensors HIEROLD	Modification of CNT Architectures for Alternative Energy Applications MINETT	Cross-polarized optical excitation of single-walled carbon nanotubes MARUYAMA	<b>17:30</b> Detection of single electron spins in N@C <sub>60</sub> molecules HARNEIT
19:30	Recent advances in the magnetic resonance studies of CNTs SIMON	Molecular junctions formed by break-junction techniques: electrical conductance determination at a single-molecule scale GONZALES	Nanotubes in global warming: From dynamic topology in superplasticity to hyperthermia in cancer treatment YAKOBSON	Gallium Nitride Nanowires: Impurity and Disorder Effects on Thermal Conductivity FISCHER	Optical modulation of SWNT for chemical and biological detection STRANO	<b>18:00</b> IWEPNM08 - Conference Summary PICHLER
20:00	Wide-range optical spectra of carbon nanotubes: a comparative study KAMARAS	Optics of electric flows in graphene. FALKO	Poster Session TUE	Mode-locked 177-fs soliton erbium-doped fiber laser based on a cellulose polymer film containing SWNT synthesized by the arc-discharge method TAUSENEV	Poster Session THU	Bauernbuffet Farewell
20:30						
Topics:	NT optics, Raman	Graphene/ Molecular Electronics	NT transport, Devices	Non-carbon materials, Fullerenes, DWNT	Growth	Quantum Interactions
	Sunday 2 March	Monday 3 March	Tuesday 4 March	Wednesday 5 March	Thursday 6 March	Friday 7 March

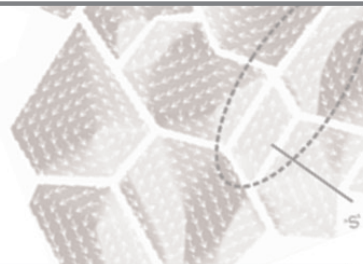


**PROGRAM**

**AND**

**ABSTRACTS**

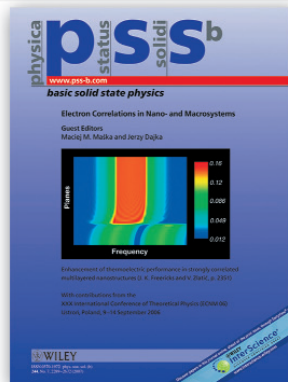
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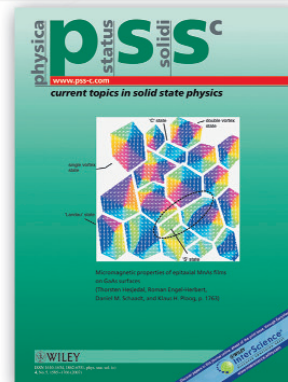
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Sunday, March 2

Nanotube optics, Raman

- 8:30 – 9:30 T. Heinz, US  
*Recent Advances in Understanding the Nature and Dynamics of Optically Excited States in Carbon Nanotubes*
- 9:30 – 10:00 A. Hartschuh, DE  
*Exciton Dynamics, Localization and Transfer in Single Carbon Nanotubes*
- 10:00 – 10:30 Coffee break
- 10:30 – 11:00 J. Lefebvre, CA  
*Excitonic imaging spectroscopy of individual single-walled carbon nanotubes*
- 11:00 – 11:30 R. Vogelgesang, DE  
*Plasmonic nanostructures in aperture-less scanning near field optical microscopy (aSNOM)*
- 11:30 – 12:00 F. Mauri, FR  
*Probing the doping in metallic and semiconducting carbon nanotubes by Raman*
- 12:00 – 17:00 Mini Workshops
- 17:00 – 18:30 Dinner
- 18:30 – 19:00 R. Rhao, US  
*Anharmonic Phonon Lifetimes in Carbon Nanotubes*
- 19:00 – 19:30 A. G. Souza Filho, BR  
*Electronic and vibrational properties of doped carbon nanotubes*
- 19:30 – 20:00 F. Simon, HU  
*Recent advances in the magnetic resonance studies of carbon nanotubes*
- 20:00 – 20:30 K. Kamaras, HU  
*Wide-range optical spectra of carbon nanotubes: a comparative study*

Sunday, March 2

**8:30****Recent Advances in Understanding the Nature and Dynamics of Optically Excited States in Carbon Nanotubes**Tony F Heinz

Departments of Physics and Electrical Engineering, Columbia University New York, NY 10027, USA

In this paper we present recent progress in elucidating the character and dynamics of optically excited states in single-walled carbon nanotubes. Two of the experimental frontiers in the optical spectroscopy of carbon nanotubes concern probing ultrafast dynamics and measurements at the individual nanotube level. After a review of the basic properties of the optical transitions in carbon nanotubes, we highlight progress in each of these directions.

The dynamical properties of carbon nanotubes are of considerable fundamental interest, as they relate to the basic interactions in the material, such as electron-electron, electron-phonon, and phonon-phonon couplings. While significant experimental work has addressed the rapid relaxation of electronic excitations, the decay of the nonequilibrium phonon populations has not been probed directly. We describe how ultrafast optical techniques (time-resolved Raman scattering) can provide a direct measurement of the lifetime of optical phonons against anharmonic decay. These results relate to the role of non-equilibrium phonon distributions in current-carrying nanotubes.

Optical spectroscopy of individual single-walled carbon nanotubes is possible using both inelastic (Raman and fluorescence) and elastic (Rayleigh) light scattering techniques. We describe how the latter method allows one to probe in detail the influence of perturbations of the external environment on nanotubes of defined chiral index. The effect of strain and of the external dielectric environment, both observed in investigations by many groups, can be examined with considerable precision by single nanotube spectroscopy. In particular, we demonstrate the controlled modification of the nanotube band structure in space through a corresponding change of the external dielectric environment.

A detailed study of the lineshape of electronic transitions of individual nanotubes also provides new insights. While the excitonic nature of optical transitions in semiconducting nanotubes has been established, significant electron-hole correlations in metallic nanotubes have also recently been predicted. Measurement of the lineshape of an isolated, individual metallic nanotube reveals a strong excitonic character to the transition, despite the expected effect of the screening provided by the free electrons.

Research results discussed in this paper involve extensive collaborations with the groups of Profs. Jim Hone, Louis Brus, and Stephen O'Brien at Columbia University and with Prof. Feng Wang and his collaborators at UC Berkeley.

**9:30****Exciton Dynamics, Localization and Transfer in Single Carbon Nanotubes**

Achim Hartschuh<sup>1</sup> Huihong Qian<sup>1</sup> Carsten Georgi<sup>1</sup> Hayk Harutyunyan<sup>1</sup> Tobias Gokus<sup>1</sup> Mark C. Hersam<sup>2</sup> Frank Hennrich<sup>3</sup> Lukas Novotny<sup>4</sup>

<sup>1</sup>LMU Muenchen and CeNS, Muenchen

<sup>2</sup>Northwestern University, Evanston, Illinois

<sup>3</sup>Forschungszentrum Karlsruhe, Institut fuer Nanotechnologie, Karlsruhe

<sup>4</sup>University of Rochester, Rochester, New York

Optical excitation of nanotubes generates excitons that determine all light-based applications. We studied the decay dynamics, localization and transfer of excitons in single semiconducting nanotubes deposited on substrates using two complementary optical techniques. Exciton decay was monitored by time-resolved photoluminescence (PL) spectroscopy on a picosecond timescale. At room temperature the decay was found to be mono-exponential with lifetimes varying from 1 ps to 40 ps for nanotubes of the same chirality (n,m) [1]. To clarify the origin of the lifetime variations we studied the effects of the nanotube ends and the nanotube environment.

Near-field PL and Raman imaging with a spatial resolution better than 15 nm was used to visualize the spatial extent of luminescent states along carbon nanotubes and to correlate electronic and structural properties [2,3]. The PL intensity was found to decrease towards the nanotube ends on a length scale of few 10 nm probably caused by efficient non-radiative recombination at localized end states [4]. Inter-nanotube energy transfer was studied for different pairs of semiconducting nanotubes forming bundles and crossings. PL from large bandgap nanotubes was observed with unexpectedly high intensities varying spatially along the nanotubes due to distance dependent transfer efficiencies. Efficient transfer is found to be limited however to a few nanometres because of competing fast non-radiative relaxation and can be explained in terms of electromagnetic near-field coupling.

1 A. Hagen et al, Phys. Rev. Lett. 95, 197401 (2005).

2 A. Hartschuh et al, Nano Lett. 5, 2310 (2005).

3 N. Anderson et al, Nano Lett. 7, 577 (2007).

4 P. Kim et al, Phys. Rev. Lett. 82, 1225 (1999).



**10:30****Excitonic imaging spectroscopy of individual single-walled carbon nanotubes**Jacques Lefebvre<sup>1</sup> David G. Austing<sup>1</sup> Paul Finnie<sup>1</sup><sup>1</sup>Institute for Microstructural Sciences, National Research Council, Ottawa, ON, Canada, K1A 0R6

I will give a brief overview of some of the activities within our group related to carbon nanotubes, from synthesis with in situ optical characterization to fundamental studies related to optical and electronic properties. I will then discuss in detail the photoluminescence (PL) from individual semiconducting SWNTs grown free standing on patterned substrates.[1] We have demonstrated over the years that this type of sample is very well suited for fundamental studies of SWNT PL as interaction between the SWNT and its surrounding environment is minimized. More recently, we have used the bright PL signal to image SWNTs and to spatially resolve the emission from long nanotubes. PL imaging allowed for an estimate of the PL quantum yield to be around 10 %, [2] a value recently realized for nanotubes in solution, and an encouraging result from the point of view of possible applications. In addition, by acquiring PL images at different wavelengths of the laser, PL excitation (PLE) profiles have been obtained from individual nanotubes. By further controlling the polarization of the incident light with respect to the tube axis, polarized PLE (PPLE) profiles, both longitudinal and transverse have been obtained. In such experiments, the  $E_{12}$  transverse exciton with its  $(n,m)$  dependence and resulting family pattern was clearly identified.[3] Furthermore, for polarization along the nanotube axis, additional resonances have also been identified and are assigned to excited states of the  $E_{11}$  exciton, with their  $(n,m)$  dependence revealed. Data from new experiments which help to confirm and further extend the assignment of these spectral features will be presented.

- 1 J. Lefebvre, Y. Homma, and P. Finnie, Phys. Rev. Lett. **90**, 217401 (2003)
- 2 J. Lefebvre, D. G. Austing, J. Bond, and P. Finnie, Nano Letters **6**, 1603 (2006)
- 3 J. Lefebvre, and P. Finnie, Phys. Rev. Lett. **98**, 167406 (2007)

**11:00****Plasmonic nanostructures in aperture-less scanning near field optical microscopy (aSNOM)**Ralf Vogelgesang<sup>1</sup> Jens Dorfmueller<sup>1</sup> Klaus Kern<sup>1</sup><sup>1</sup>Nanoscale Science, Abteilung Kern, Max Planck Institut für Festkörperforschung, Stuttgart

We review briefly the state-of-the-art in sub-wavelength optical microscopy. After an introduction to the essential aspects of scanning near field optical microscopy – in theory and implementation – we show how the cross-polarization scheme does afford us with an elegant method to map near fields of plasmonic eigenmodes and other optical phenomena. We conclude with recent examples that beautifully demonstrate the capabilities of this approach in studying optical properties of nanoscale structures.

**11:30****Probing the doping in metallic and semiconducting carbon nanotubes by Raman**F. Mauri<sup>1</sup> A.M. Saitta<sup>1</sup> M. Lazzeri<sup>1</sup> N. Caudal<sup>1</sup> A. Das<sup>2</sup> A. K. Sood<sup>2</sup> A. Govindaraj<sup>3</sup> C. N. Rao<sup>3</sup><sup>1</sup>IMPMC, Universite Pierre et Marie Curie - Paris 6, Paris<sup>2</sup> Department of Physics, Indian Institute of Science, Bangalore, India<sup>3</sup>Jawaharlal Nehru Centre for Advanced Scientific Research, Bangalore, India

In two recent works, we have shown theoretically that the Raman G bands of both metallic [1] and semiconducting [2] nanotubes are strongly affected by charge doping. In metallic nanotubes the  $G^-$  band exhibits a large increase in the Raman frequency, accompanied by a substantial decrease of its linewidth for both electron and hole doping, (the  $G^+$  band being unaffected). In semiconducting nanotubes, the  $G^-$  and  $G^+$  bands stiffen for both electron and hole doping (the  $G^-$  band being the most sensitive). Interestingly, the correct description of these phenomena requires the inclusion in the calculation of non-adiabatic effects beyond the Born-Oppenheimer approximation. The predicted behaviour is confirmed by Raman measurements combined with in-situ transport measurements on an ensemble of carbon nanotubes [2]. The source-drain conductivity is used to monitor the position of the Fermi level that is modified by electrochemical gating of the nanotubes with a solid polymer electrolyte. Similar experimental results were also obtained by two other groups [3,4]. In these works the Raman measurements were performed on individual tubes, but the shift of the Fermi energy with the Gate voltage was considered as a fitting parameter, i.e. it was not determined by transport data. Given the strong dependence of the Raman G bands on the Fermi energy, Raman spectroscopy can be used as an accurate measure of the doping of both metallic and semiconducting nanotubes, with important technological implications for nano electronics.

- 1 N. Caudal, A. M. Saitta, M. Lazzeri, F. Mauri, Phys. Rev. B 75, 115423 (2007)
- 2 A. Das, A. K. Sood, A. Govindaraj, A. M. Saitta, M. Lazzeri, F. Mauri, C. N. Rao, Phys. Rev. Lett. 99, 136803 (2007)
- 3 H. Farhat, H. Son, Ge. G Samsonidze, S. Reich, M. S. Dresselhaus, J. Kong, Phys. Rev. Lett. 99, 145506 (2007)
- 4 J. C. Tsang, M. Freitag, V. Perebeinos, J. Liu, Ph. Avouris, Nature Nanotechnology 2, 725 (2007)

Sunday, March 2

NT optics, Raman

NT optics, Raman

Sunday, March 2

**18:30****Anharmonic Phonon Lifetimes in Carbon Nanotubes**RAHUL RAO<sup>1</sup> JOSE MENENDEZ<sup>2</sup> CHRISTIAN POWELEIT<sup>2</sup> APPARAO M RAO<sup>1</sup><sup>1</sup>CLEMSON UNIVERSITY, CLEMSON<sup>2</sup>ARIZONA STATE UNIVERSITY, TEMPE

High resolution Raman spectroscopy is applied to suspended single walled carbon nanotubes (SWNTs) to elucidate the puzzling differences in the lifetime of the radial breathing mode (RBM) obtained from different experimental techniques. Whereas recent tunneling experiments suggest a room temperature RBM lifetime as long as 10 ns, previous Raman experiments yield lifetimes shorter than 2 ps. The lifetimes obtained in this study are longer than 5 ps, a significant step in the direction of the tunneling results. We argue that the remaining discrepancy is due to the existence of phonon decay bottlenecks caused by the one dimensional nature of nanotubes. Numerical simulations of the RBM decay show that it is possible to reconcile the short lifetimes measured spectroscopically with the long lifetimes obtained in tunneling experiments.

**19:00****Electronic and vibrational properties of doped carbon nanotubes**Antonio G Souza Filho<sup>1</sup> Eduardo B Barros<sup>1</sup> Mauricio Terrones<sup>2</sup> Oscar E D Rodrigues<sup>3</sup> Vincent Meunier<sup>4</sup> Morinobu Endo<sup>5</sup> Y A Kim<sup>5</sup> Hiroyuki Muramatsu<sup>5</sup> Mildred S Dresselhaus<sup>6</sup><sup>1</sup>Department of Physics, Universidade Federal do Ceara, Fortaleza<sup>2</sup>Advanced Materials Department, IPICYT, San Luis Potosi, SLP, Mexico<sup>3</sup>Centro Universitario Franciscano, Santa Maria, RS-Brazil<sup>4</sup>Oak Ridge National Laboratory, USA<sup>5</sup>Faculty of Engineering, Shinshu University, Nagano, Japan<sup>6</sup>Department of Physics and Department of Electrical Engineering and Computer Science, MIT, MA - USA

In this talk we present resonant Raman spectroscopy of doped carbon nanotubes. Emphasis is given to the use of this technique for studying functionalized carbon nanotubes obtained through chemical functionalization. We show how the different functionalization process can be used, for example, to tailor the electronic properties of the nanotubes such as a selective doping of the inner and outer shell of a double-walled carbon nanotube. The adsorption of bromine and sulfuric acid on double wall carbon nanotubes are discussed. We also discuss the properties of a new class of Selenium coated single wall carbon nanotubes.

References

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2. E. B. Barros et al., Phys. Rev. B **76**, 045425 (2007).

3. A. G. Souza Filho et al., Phys. Rev. B **73**, 235413 (2006).

### 19:30

#### Recent advances in the magnetic resonance studies of carbon nanotubes

F. Simon<sup>1</sup> H. Kuzmany<sup>2</sup> B. Nafradi<sup>3</sup> L. Forró<sup>3</sup> D. Quintavalle<sup>1</sup> S. Toth<sup>1</sup> B. Dora<sup>4</sup>  
P. Wzietek<sup>5</sup> H. Alloul<sup>5</sup>

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<sup>4</sup>Max-Planck-Institut für Physik komplexer Systeme, D-01187, Dresden, Germany

<sup>5</sup>Laboratoire de Physique des Solides, Université Paris-Sud, 91405 Orsay, France

Magnetic resonance (ESR, NMR) methods are powerful to study low energy excitations i.e. the vicinity of the Fermi surface. This potentially enables to study strongly correlated phenomena in SWCNTs such as the Tomonaga-Luttinger liquid (TLL) phase, the Peierls transition, or superconducting correlations. We review the previous NMR results on <sup>13</sup>C enriched nanotubes which support the observation of a gapped TLL state. Recent efforts to study the tube diameter dependence of the TLL ground state are described. In another approach, we encapsulate magnetic fullerenes and also non-covalently functionalize the tubes with spin-label molecules. ESR studies on these local paramagnetic probes show a uniform metallic behavior in the tubes down to 20 K.

### 20:00

#### Wide-range optical spectra of carbon nanotubes: a comparative study

Katalin Kamaras<sup>1</sup> Aron Pekker<sup>1</sup> Mark Bruckner<sup>1</sup> Ferenc Borondics<sup>1</sup> Mikhail E. Itkis<sup>2</sup> Robert C. Haddon<sup>2</sup> Andrew G. Rinzler<sup>3</sup> David B. Tanner<sup>3</sup> Yongqiang Tan<sup>4</sup>  
Daniel E. Resasco<sup>4</sup>

<sup>1</sup>Research Institute for Solid State Physics and Optics, Budapest, Hungary

<sup>2</sup>University of California, Riverside, CA, U.S.A.

<sup>3</sup>University of Florida, Gainesville, FL, U.S.A.

<sup>4</sup>University of Oklahoma, Norman, OK, U.S.A.

We have studied the optical spectra of transparent carbon nanotube films made from various types of nanotubes: HiPCo, arc, laser and CoMoCat SWNTs, as well as double- and multiwall tubes. Comparing the optical conductivity calculated from transmission, estimates can be given on the metallic/semiconducting ratio in these films, as well as on the transparency window, the most important feature in applications. We find that while arc discharge and laser-ablated materials combine metallic dc conductivity with high transparency in the mid- and near infrared range, HiPCO and CoMoCat tubes exhibit a much lower metallic content and therefore lower conductivity. However, small-gap ( $\sim 10$  meV) tubes are present in the latter

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NT optics, Raman

type of nanotubes as well. The window of transparency can be tuned by doping or by de-doping acid-purified samples.

*Supported by the European Commission NEURONANO FP6 grant (NMP4-CT-2006-031847). Work at Florida supported by the NSF, DMR-0305043, and the DOE, DE-AI02-03ER46070.*



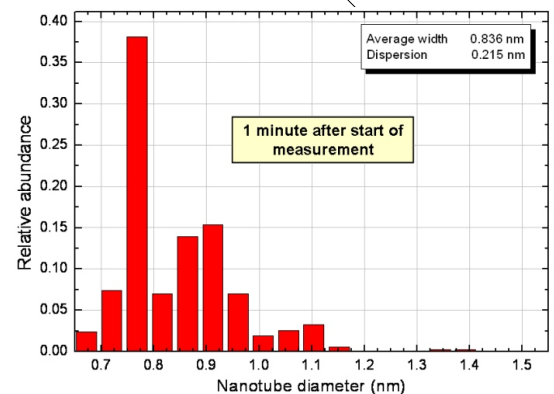
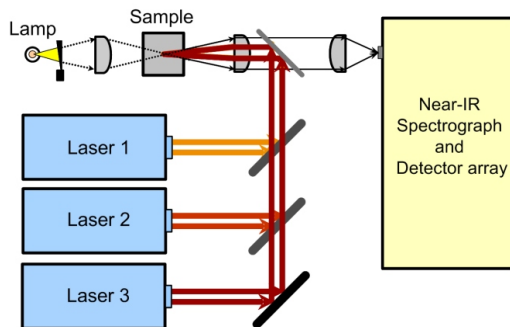
NT optics, Raman

Sunday, March 2

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Monday, March 3

Graphene/Molecular electronics

- 8:30 – 9:00 S. G. Louie, US  
*Novel Electronic and Optical Properties of Graphene and Graphene Nanoribbon*
- 9:00 – 9:30 A. Lanzara, US  
*Quasiparticle dynamics in epitaxial graphene films*
- 9:30 – 10:00 A. Grüneis, DE  
*Unravelling the electronic structure of intercalated graphite: a key to graphene and SWCNTs*
- 10:00 – 10:30 Coffee break
- 10:30 – 11:00 B. Huard, US  
*Potential barriers in graphene*
- 11:00 – 11:30 S. Ilani, US  
*Observation of Spin-Orbit Coupling in Clean Carbon Nanotubes*
- 11:30 – 12:00 K. Tsukagoshi, JP  
*Gate control of conduction of thin graphite film*
- 12:00 – 17:00 Mini Workshops
- 17:00 – 18:30 Dinner
- 18:30 – 19:00 C. Jozsa, NL  
*Graphene spintronics*
- 19:00 – 19:30 A. J. R. da Silva, BR  
*Ab initio design of realistic nanotube sensors*
- 19:30 – 20:00 T. González, CH  
*Molecular junctions formed by break-junction techniques: electrical conductance determination at a single-molecule scale*
- 20:00 – 20:30 V. Falko, UK  
*Optics of electric flows in graphene*

Monday, March 3

**8:30****Novel Electronic and Optical Properties of Graphene and Graphene Nanoribbons**Steven G Louie

Physics Department, University of California at Berkeley, and Materials Sciences Division, Lawrence Berkeley National Laboratory

In this talk, I discuss some recent theoretical results we obtained on the electronic and optical properties of graphene and graphene nanoribbons. These carbon nanostructures exhibit a number of unexpected behaviors. Our results on nanoribbons of different widths show that both armchair-edged graphene nanoribbons (AGNRs) and zigzag-edged graphene nanoribbons (ZGNRs) are semiconductors[1]. As in the case of nanotubes, self-energy[2] and excitonic[3] effects are dominant in the optical properties of these nanoribbons. The ZGNRs moreover are predicted to be half-metals under a sufficiently strong transverse electric field.[4] Theory further shows that, owing to the chiral nature of the electronic states, the dynamics of carriers (the 2D massless Dirac fermions) in graphene exhibits highly anomalous anisotropy when graphene is under an external periodic potential.[5] The physics behind these unusual behaviors is discussed.

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**9:00****Quasiparticle dynamics in epitaxial graphene films**Alessandra LanzaraDepartment of Physics, University of California, Berkeley, USA  
Lawrence Berkeley National Laboratory, Berkeley, California

The electronic structure of single and bilayer graphene films is studied by high resolution angle resolved photoemission spectroscopy. The evolution of the band structure and many body interactions is studied as a function of sample thickness. By monitoring the film growth with low energy electron microscopy (LEEM) sample with different domain size are grown. The effect of confinement on the band structure is discussed.

**9:30****Unravelling the electronic structure of intercalated graphite: a key to graphene and single-wall carbon nanotubes**A. Grüneis<sup>1</sup> C. Attaccalite<sup>2</sup> A. Rubio<sup>2</sup> S.L. Molodtsov<sup>3</sup> D. Vyalikh<sup>3</sup> W. Eberhardt<sup>4</sup> J. Fink<sup>4</sup> T. Pichler<sup>5</sup><sup>1</sup>IFW Dresden, P.O. Box 270116, D- 01171 Dresden, Germany<sup>2</sup>European Theoretical Spectroscopy Facility, Department of Material Physics, University of the Basque Country, 20018 San Sebastian, Spain<sup>3</sup>Institut fuer Festkörperphysik, TU Dresden, Mommsenstrasse 13, D-01069 Dresden, Germany<sup>4</sup>BESSY II, Albert-Einstein Strasse 15, 12489 Berlin, Germany<sup>5</sup>Faculty of Physics, University of Vienna, Boltzmannngasse 5, A-1090 Vienna, Austria

Understanding the unique low energy electronic structure of carbon systems is the key for their peculiar properties [1]. In this contribution we show how to unravel electronic correlations in pristine and intercalated (stage I, II and III) graphite single crystals from the quasi particle dispersion of the valence band electrons using a combined angle resolved photoemission and theoretical approach. We report the full three-dimensional renormalized band dispersion and the strength and angular dependence of the electron-electron and electron-phonon interactions as a function of doping. We also emphasize on the effect of different inter layer couplings in intercalation compounds and the implications on the band structure. We will show that these results enable us to accurately determine key properties, such as Fermi velocities, effective masses and electron-electron and electron-phonon coupling constants in graphite and weakly coupled graphene layers without substrate interaction. We highlight the implications of our results for the understanding of transport, superconductivity and the resonance Raman effect in a wide range of sp<sup>2</sup> hybridised carbon systems such as graphene, graphite and single wall carbon nanotubes.

1 A. Grüneis et al. Phys. Rev. Lett. 100, 037601 (2008).

**10:30****Potential barriers in graphene**Benjamin Huard

Stanford University, Stanford

The peculiar nature of electron scattering in graphene is among many exciting theoretical predictions for the physical properties of this material. To investigate electron scattering properties in a graphene plane, we have created a gate-tunable potential barrier within a single-layer graphene sheet. We report measurements of electrical transport across this structure as the tunable barrier potential is swept through a range of heights. When the barrier is sufficiently strong to form a bipolar

junction (n-p-n or p-n-p) within the graphene sheet, the resistance across the barrier sharply increases. We compare these results to predictions for both diffusive and ballistic transport, as the barrier rises on a length scale comparable to the mean free path.

**11:00**

**Observation of Spin-Orbit Coupling in Clean Carbon Nanotubes**

Shahal Ilani<sup>1</sup> Ferdinand Kuemmeth<sup>1</sup> Dan C. Ralph<sup>1</sup> Paul L. Mceuen<sup>1</sup>

<sup>1</sup>Laboratory of Atomic and Solid State Physics, Department of Physics, Cornell University, Ithaca NY 14853

The electronic states in defect-free carbon nanotubes are widely believed to be four-fold degenerate, due to independent spin and orbital symmetries, and also to possess electron-hole symmetry. We report measurements demonstrating that in clean nanotubes the spin and orbital motion of electrons are coupled, thereby breaking all of these symmetries. This spin-orbit coupling is directly observed as a splitting of the four-fold degeneracy of a single electron in an ultra-clean quantum dot. Application of a parallel magnetic field reveals that the coupling favours parallel alignment of the orbital and spin magnetic moments for electrons and anti-parallel alignment for holes. We further show that spin-orbit coupling determines the filling order in the many-electron ground states, in a way different than that expected from electron-electron interactions. At low magnetic fields we find that the two-electron ground state is neither a spin-triplet nor a spin-singlet, but a Slater determinant in which the spin and orbital wavefunction are entangled. Our findings have important implications for spintronics applications in nanotubes and provide a mechanism for all-electrical control of spins in this system.

**11:30**

**Gate control of conduction of thin graphite film**

Kazuhito Tsukagoshi

RIKEN, AIST, JST-CREST, Hirosewa

We present fundamental researches on gating control in thin graphite film. Observed resistance in the gate-voltage change applied by back-gate shows ambipolar behaviour based on clear carrier polarity change. A front gate, which was directly formed on the surface of the graphite film, also changes the resistance of the thin graphite film. By operating the two gates in the thin graphite film with various film-thickness, we could find that the screening length of the electric field from the gate electrode could be experimentally estimated. The estimated length was 1.2 nm, corresponding to the a few layers in the graphite. We also measured proximity superconducting current in the thin graphite film with superconducting electrodes. The gating effect of the proximity current can be reasonably explained in consideration with the screening effect of the gate electric field. Furthermore,

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Graphene/Molecular electronics

in a graphene ribbon, the gate electric field easily penetrate the thin ribbon and transfer a structural roughness of the film as the electrical potential fluctuation in the conduction channel. As a result, multiple Coulomb islands were formed, and the Coulomb blockade oscillations were observed.





**18:30****Graphene spintronics**Csaba Jozsa<sup>1</sup> Nikolaos Tombros<sup>1</sup> Mihaita Popinciuc<sup>1</sup> Harry T. Jonkman<sup>1</sup> Bart J. van Wees<sup>1</sup><sup>1</sup>Zernike Institute for Advanced Materials, University of Groningen, The Netherlands,

We report the observation of spin transport as well as spin precession over micrometer long distances using graphene based field effect transistors. Our experiments were done on four terminal non-local contact geometries using ferromagnetic cobalt electrodes. Clear bipolar (changing from positive to negative sign) spin signals were observed, which reflect the magnetization direction of all 4 electrodes, indicating that spin coherence extends underneath all 4 contacts. From the dependence of the spin signal on electrode spacing we deduce that the spin relaxation length is in the range of 1.5 to 2 micrometer. These values are confirmed by spin precession measurements (Hanle effect). We find good agreement with the diffusion constants derived from conductivity measurements at the Dirac point, or at high densities. No significant changes in the spin signals occur between 4.2K, 77K and room temperature. The spin polarization of the ferromagnetic contacts is estimated to be around 10%. Finally, we will show our latest results concerning the drift of the injected spins under the influence of an applied DC electric field and the drift-diffusion model we use to interpret these results.

**19:00****Ab initio design of realistic nanotube sensors**Antonio J. R. da Silva<sup>1</sup> A. R. Rocha<sup>1</sup> M. Rossi<sup>1</sup> A. Fazzio<sup>1</sup><sup>1</sup>Instituto de Fisica, Universidade de Sao Paulo, SP Brazil

The understanding of the electronic transport properties of nanoscopic devices present tantalizing possibilities. In particular it has been demonstrated that carbon nanotubes can be used as sensors for hazardous gases. Large scale computer simulations have an important role to play in predicting the transport properties of such systems. In order to do so one must take into account devices which are a few hundred nanometers in length and present defects randomly distributed along the structure. These defects act as binding sites for the molecules one wishes to detect. In this work we initially use density functional theory (DFT) to determine the most likely defects in highly nitrogen-doped carbon nanotubes, and to calculate the dissociation path of ammonia and hydrogen sulphide molecules onto these defects. Finally we use a combination of DFT and recursive Greens functions techniques to first assemble and then calculate the electronic transport properties of nanotubes up to 200 nm in length and with defects randomly distributed along the structure. We demonstrate that these nanotubes present relatively large resistance changes even at low coverages which leads to highly sensitive devices. The result is

a new paradigm in computer-aided sensor design, where one can simulate rellistic sensors.

**19:30**

**Molecular junctions formed by break-junction techniques: electrical conductance determination at a single-molecule scale**

Teresa González<sup>1</sup> Roman Huber<sup>1</sup> Songmei Wu<sup>1</sup> Jan Brunner<sup>1</sup> Christian Schönenberger<sup>1</sup> Michel Calame<sup>1</sup>

<sup>1</sup>Institut für Physik, Basel Universität, Basel

Break-junction experiments appear as the most promising techniques for exploring the electrical transport properties of a single molecule. It is generally interpreted that a molecular junction forms when one or a few molecules covalently bond at their ends to two metallic tips, which are moving apart from each other. However, the variety of conductance values reported in the literature for a given molecule reflects the complexity of these molecular junctions.

In this work, we explore several simple molecules with the aim of learning the details of the molecular bridge formation. A mechanically controllable break junction technique has been used to explore the conductance of molecular junctions based on alkanedithiols, and several derivatives of oligo(phenylene ethynylene)s (OPEs) and oligo(phenylene vinylene)s (OPVs). All the experiments have been carried out at room temperature and in liquid environment.

**20:00**

**Optics of electric flows in graphene**

Vladimir Falko

Lancaster University, Lancaster, UK

Both monolayer and bilayer graphene are gapless semiconductors. Their electrostatic modulation can be used to generate single and multiple p-n junctions. We have shown [1] that p-n junctions in monolayer graphene are transparent for incident electrons. In particular, those electrons approaching the n-p interface in an almost perpendicular direction can cross it without reflection. Moreover, in graphene the transmission of charge through the n-p interface is quite similar to the refraction of electromagnetic waves at the interface where the refractive index inverts sign [2]. This is because the electron dispersion in the conduction and valence bands in graphene is such that so that, after an electron crosses the n-p interface, from the n- to p-side, its wave vector becomes directed opposite to its velocity. As a result, n-p junctions in graphene possess intriguing and very promising transport properties: a single straight p-n interface can focus electrons [3]. This situation is realised in the n-p junction with equal densities of carriers in the n- and p-regions. Also, we have shown that by varying the carrier density in, e.g., p-side of the junction the focus can be smeared into a pair of caustics meeting each other in a cusp, and

calculated the characteristic interference pattern of electron waves in the vicinity of the cusp. Using the idea of fine-tuned focusing of electron flow by the p-n interface, we propose to use n-p-n junction In a bipolar graphene-based transistor to create Veselago lens and focused beam splitters for electrons.

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- 2 J. Pendry - Nature 423, 22 (2003); J. Pendry - Phys. Rev. Lett. 85, 3966 (2000).
- 3 V. Cheianov, V.I. Falko, B.L. Altshuler - Science 315, 1252 (2007).





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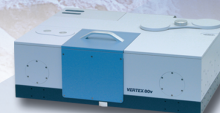
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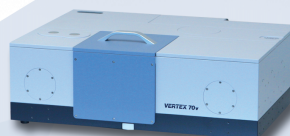
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FT-IR

Tuesday, March 4

NT transport, Devices

- 8:30 – 9:30 J.-C. Charlier, BE  
*Quantum Transport in Carbon Nanotubes*
- 9:30 – 10:00 M. Bockrath, US  
*The One-Dimensional Wigner Crystal in Carbon Nanotubes*
- 10:00 – 10:30 Coffee break
- 10:30 – 11:00 T. Fukushima, JP  
*Soft Materials with Carbon-Rich Nanostructures*
- 11:00 – 11:30 M. Freitag, US  
*Photovoltage microscopy and Raman spectroscopy of individual carbon nanotubes*
- 11:30 – 12:00 Y. Nakayama, JP  
*Plastic Bending Deformation of Carbon Nanotubes*
- 12:00 – 17:00 Mini Workshops
- 17:00 – 18:30 Dinner
- 18:30 – 19:00 A. Bachtold, ES  
*Nanotube ElectroMechanics*
- 19:00 – 19:30 C. Hierold, CH  
*SWNT Functional Structures in Sensors*
- 19:30 – 20:00 B. Yakobson, US  
*Nanotubes in global warming: From dynamic topology in superplasticity to hyperthermia in cancer treatment*
- 20:00 – 21:00 Poster Session - TUE

Tuesday, March 4



**8:30****Quantum Transport in Carbon Nanotubes**Jean-Christophe Charlier

Université Catholique de Louvain Unité de Physico-Chimie et de Physique des Matériaux (PCPM), Place Croix du Sud 1, B-1348 Louvain-la-Neuve, Belgium

Their unusual electronic and structural physical properties promote carbon nanotubes as promising candidates for a wide range of nanoscience and nanotechnology applications. Not only can nanotubes be metallic, but they are mechanically very stable and strong, and their carrier mobility is equivalent to that of good metals, suggesting that they would make ideal interconnects in nanosized devices. Further, the intrinsic semiconducting character of other tubes, as controlled by their topology, allows us to build logic devices at the nanometer scale, as already demonstrated in many laboratories.

The tremendous importance of the transport properties of nanotubes [1], both from a fundamental and technological point of view, justifies wealth of work and theories developed to deal with 1D systems involving a confined electron gas. The purpose of the present talk is to define the electronic and transport properties of nanotubes in relation with their atomic structures. Since quantum effects are prominent in nanotube physics, the electronic quantum transport has been investigated using both the Landauer-Buttiker and the Kubo-Greenwood formalisms, allowing to extract generic properties such as quantum conductance, conduction mechanisms, mean-free-paths... Within both frameworks, the well-known ballistic properties of armchair metallic nanotubes have been reproduced. However, defects, doping and chemical functionalisation can alter this ideal situation. For example, even a small amount of boron or nitrogen dopants can drastically modify the electronic transport properties of the tube, which is certainly a key effect for future nanoelectronics [2]. The chemical sensitivity of the electronic transport in carbon nanotubes under the physisorption of molecular species [3] or covalent functionalisation is also an important issue as for example in sensing applications.

Like in most materials, the presence of defects in carbon nanotube has been demonstrated experimentally. These defects may take different forms : vacancy, bi-vacancy, "Stone-Wales" defect, 5/7 pair, atom in substitution, ... and are known to modify the electronic properties of carbon nanotubes [4]. It is crucial to understand the properties of these defects in order to conquer their detrimental effects, but also because controlled defect introduction may be used to tune nanotube properties in a desired direction. Consequently, the modifications induced by those defects in the electronic properties of the carbon hexagonal network have been investigated using first-principles calculations. Computed constant-current STM images of these defects have been calculated within a tight-binding approach in order to facilitate the interpretation of STM images of defected carbon nanostructures. At last, as these defects should also play a key role in the chemical reactivity of carbon nanotubes, the study of the modulation of the conductance due to specific molecules adsorbed at the defected nanotube surface will be presented.

1. Electronic and transport properties of nanotubes, J.-C. Charlier, X. Blase, and S. Roche, *Reviews of Modern Physics* 79, 677-732 (2007)
2. Mesoscopic transport in chemically doped carbon nanotubes, S. Latil, S. Roche, D. Mayou, and J.-C. Charlier, *Physical Review Letters* 92, 256805 (2004)
3. Electronic transport in carbon nanotubes with random coverage of physisorbed molecules, S. Latil, S. Roche, and J.-C. Charlier, *Nano Letters* 5, 2216-2219 (2005)
4. Scanning tunneling microscopy fingerprints of point defects in graphene : a theoretical prediction, H. Amara, S. Latil, V. Meunier, Ph. Lambin, and J.-C. Charlier, *Physical Review B* 76, 115423 (2007)

**9:30**

### **The One-Dimensional Wigner Crystal in Carbon Nanotubes**

Marc Bockrath

California Institute of Technology, Pasadena

in the absence of disorder, a dilute system of carriers interacting through long-range Coulomb forces has been predicted to form a periodic solid called the Wigner crystal. We demonstrate using low-temperature single-electron transport spectroscopy that a low-density hole system in low-disorder carbon nanotubes with a band gap is a realization of the one-dimensional (1D) Wigner crystal. The two inequivalent Dirac points defining the nanotubes' band structure yield an isospin degree of freedom so that the holes act as a spin and isospin chain. We find three distinct regimes as the applied axial magnetic field and carrier density are varied: a fully spin and isospin polarized regime, an isospin-polarized, spin antiferromagnetically-ordered regime, and an unpolarized regime.

These regimes arise from a competition between exchange and magnetic energy in the spin and isospin chain. We account for our observations quantitatively using the gapped Luttinger-liquid model of Levitov and Tsvelik. We also observe an abrupt disappearance of the Kondo effect versus magnetic field, which we attribute to the interplay between the spin and isospin degrees of freedom that yields larger spin states than would be predicted using a shell-filling picture. Our observation provides a clear demonstration of the effect of electronic correlations on the properties of 1D systems and provides a clean platform for testing theories of interacting electrons in low-dimensional materials.

**10:30****Soft Materials with Carbon-Rich Nanostructures**Takanori Fukushima

RIKEN, JST ERATO-SORST, U. Tokyo

Our recent studies on novel soft materials consisting of carbon-rich nanostructures will be described. For example, single-walled carbon nanotubes, when suspended in imidazolium ion-based ionic liquids and ground in an agate mortar, form physical gels, where heavily entangled bundles of carbon nanotubes are exfoliated to give highly dispersed fine bundles. By utilizing the gels, the first printable actuators that operate in air for a long time without any external electrolytes were developed. Furthermore, the use of polymerizable ionic liquids as the gelling media gave rise to the formation of electroconductive polymer/nanotube composites with enhanced mechanical properties. The lecture will also include the synthesis of new carbon-rich nanotubes via self-assembly of Gemini-shaped hexabenzocoronene amphiphiles. The walls of the nanotubes consist of pi-stacked hexabenzocoronene units, providing charge-carrier transport pathways. The use of hexabenzocoronene derivatives with functional groups results in the formation of nanotubes with various interesting properties.

**11:00****Photovoltage microscopy and Raman spectroscopy of individual carbon nanotubes**Marcus Freitag

T.J. Watson Research Center, IBM, Yorktown Heights, NY

In this talk, we describe microscopic measurements of important device parameters of carbon nanotube field-effect transistors. The Schottky barrier heights and depletion widths are extracted from photovoltage images acquired under a scanned focused laser spot. In fact, the entire band bending in ambipolar transistors can be observed as it changes from p-type through intrinsic to n-type, during a gate voltage sweep. Single defects are also seen in these images. In either n-type or p-type on-states, the band bending changes only weakly because of the high density of states at the band edge in one-dimensional materials. However, we find by Raman spectroscopy that the energies of the G-band phonons renormalize in a predictable way, allowing the extraction of the charge density and thus the capacitance of the device. The phonon renormalization is present even though related effects, such as Kohn anomalies or charge density waves, are absent in semiconducting materials. In metallic carbon nanotubes, we find in agreement with previous measurements on graphene that both energy and linewidth of the G-band phonons change upon doping. This work was done in collaboration with James Tsang, Vasili Perebeinos, Ageeth Bol, Dongning Yuan, Jie Liu, and Phaeton Avouris.

**11:30****Plastic Bending Deformation of Carbon Nanotubes**

Yoshikazu Nakayama

Osaka University, 2-1 Yamadaoka, Suita, Osaka 565-0871, Japan

We have investigated two types of current-induced plastic bending deformation of carbon nanotubes (CNTs). The first one (mode I) is the transformation from elastic bend without buckling to plastic bend by applying a current [1]. The second one (mode II) is from elastic bend with buckling to plastic bend [2].

For mode I the onset of circumferential current density is quite low as compared with that for the sublimation of CNTs and decreases with increasing the diameter. The resultant bending angles are from 20 to 30 degrees. We have discovered the one-way shape memory effect (SME) that the original straight position of a CNT with an artificially induced plastic bend is recovered by applying a high current nearly equivalent to the value causing sublimation [3]. We also found that applying such high current to the elastic bend with buckling causes the plastic bend with a larger bending angle. This is mode II of the plastic deformation.

The computer simulations based on the analysis using minimum energy path calculation with a bond-order potential have revealed that the plastic deformation of mode I is only thermodynamically favorable above a threshold curvature [4]. The initiation is 5-7-7-5 defect nucleation with ca. 5 eV at the maximum strain region, and then two 5-7 pairs are separated from the defect and glide toward a position with a minimum formation energy to form the plastic bend.

The theoretical work on the plastic bending of (5,5)/(10,10) double-walled CNT revealed that only the outer tube is plastically deformed at a lower applied bending curvature and both the inner and outer tubes at a higher bending curvature [5]. The outer tube is tougher for the plastic deformation than the single-walled CNT with the same diameter due to tube-tube interactions. In contrast, the plastic deformation of the inner tube is not strongly affected by the presence of the outer tube.

The simulation on the SME clarified that the defect annihilation energy is negative in both single- and double-walled CNTs [5]. The thermal recovery of double-walled CNT is kinetically easier than that of single-walled CNT, because the 5-7 pair energy increases with its migration in the first several steps for single-walled CNT.

- 1 Y. Nakayama et al., Jpn. J. Appl. Phys. 44, L720 (2005).
- 2 H. Somada et al., Jpn. J. Appl. Phys. 46, L1055 (2007).
- 3 O. Suekane et al., Appl. Phys. Lett. 89, 183110 (2006).
- 4 H. Mori et al., Phys. Rev. B 74, 165418 (2006).
- 5 H. Mori et al., Phys. Rev. B 76, 165405 (2007).



Tuesday, March 2

NT transport, Devices

**18:30****Nanotube ElectroMechanics**Adrian Bachtold

CIN2 Barcelona, Bellaterra

Carbon nanotubes are often recognized as the ultimate material for high-frequency mechanical resonators. For instance, nanotube resonator devices hold promise for ultralow mass detection or quantum electromechanical experiments. However, the detection of the mechanical vibrations remains very challenging. In this talk, I will present a novel detection method of nanotube vibrations, which is based on atomic force microscopy. This method enables the detection of the resonances up to 3.1 GHz with subnanometer resolution in vibration amplitude. Importantly, it allows the imaging of the mode-shape for the first eigenmodes.

I will also report on a new artificial nanofabricated motor in which one short nanotube moves relative to another coaxial nanotube. This motion is shown to consist of translation and/or rotation, depending on the atomic interaction between the nanotubes. The motion is actuated by imposing a thermal gradient along the device, allowing for sub-nanometer displacements. This is, to our knowledge, the first experimental demonstration of displacive actuation at the nanoscale by means of a thermal gradient.

**19:00****SWNT Functional Structures in Sensors**Christofer Hierold<sup>1</sup> Thomas Helbling<sup>1</sup> Lukas Durrer<sup>1</sup> Cosmin Roman<sup>1</sup><sup>1</sup>ETH Zurich, Zurich

SWNT are considered excellent functional structures for sensors: outstanding electromechanical properties in semiconducting SWNTs, high surface to volume ratio and lowest mass for sensitive (bio)chemical sensors down to single molecule detection. This paper reports on concepts for carbon nanotube based sensors for mechanical and chemical quantities. We focus on single-walled carbon nanotubes as simple macro molecular functional structures with an option for integration in micro- and nanosystems or MEMS and NEMS. We present and discuss research results on CNT fabrication and integration, and electronic characterization, including signal-to-noise in SWNT-FET based structures and zero-level packaging by ALD alumina.

**19:30****Nanotubes in global warming: From dynamic topology in superplasticity to hyperthermia in cancer treatment**Boris I. Yakobson

Department of Mechanical Engineering &amp; Materials Science, Department of Chemistry, and the Smalley Institute for Nanoscale Science and Technology, Rice University, Houston, TX

Two phenomena involving carbon nanotubes (NT) will be discussed. One occurs at very high temperature near 2000 C. Another takes place upon relatively minor yet biologically significant warming by just 10-50 C.

Early considerations of dynamic topology of NT at high temperatures have predicted the possibility of plastic flow and quantized necking accompanied by the change of the electronic band gap. Past two years have brought spectacular experimental confirmations to those predictions, while also revealed new intriguing features of superplasticity. The glide of pentagon-heptagon defects and a particular type of their pseudo-climb, act concurrently to maintain the tube perfection, even in spite of great mass loss in sublimation [1]. Their dynamics disobey the Frank rule, showing fundamental difference of dislocation theory in non-Euclidean 2D lattice of NT [2] from the traditional 3D crystals.

Recently, our MDACC collaborators have discovered the ability of NT to heat up in a radiofrequency (RF) electromagnetic field, which can be used to locally induce hyperthermia to kill cancer cells and tumors [3]. One working hypothesis of RF-induced Joule heating emphasizes the role of large aspect ratio (length/diameter) and allows us to reconcile the high power deposition (up to 100,000 W/g of NT) with very low NT concentrations (from  $10^{-5}$ ) in aqueous solution.

- 1 F. Ding, et al., Phys. Rev. Lett. 98, 075503 (2007); Nano Lett. 7, 681 (2007).
- 2 J. Huang, et al., Phys. Rev. Lett. 99, 175503 (2007); Phys. Rev. Lett. 100, 035503 (2008).
- 3 C. Gannon, P. Cherukuri, et al., Cancer 110, 2654 (2007).



NT transport, Devices

Tuesday, March 2

Tuesday, March 2

NT transport, Devices

**1****REORGANIZATION OF FULLERENE CLUSTERS IN THE SYSTEM C60/NMP/WATER AFTER ADDITION OF WATER**

V.L. Aksenov<sup>1</sup> M. V. Avdeev<sup>1</sup> O.A. Kyzyma<sup>2</sup> T.V. Tropin<sup>1</sup> S.V. Snegir<sup>3</sup> M.V. Korobov<sup>4</sup> L. Rosta<sup>5</sup>

<sup>1</sup>Frank Laboratory of Neutron Physics, Joint Institute for Nuclear Research, Dubna, Moscow Region, Russia

<sup>2</sup>Physical Faculty, Kyiv Taras Shevchenko National University, Kyiv, Ukraine

<sup>3</sup>Institute of surface chemistry, NAS of Ukraine, Kiev, Ukraine

<sup>4</sup>Department of Chemistry, Lomonosov Moscow State University, Moscow, Russia

<sup>5</sup>Research Institute for Solid State Physics and Optics, Budapest, Hungary

The clusterization of fullerene C60 in different nitrogen-containing solvents, as well as in their mixtures with water is connected with specific features of this class of solvents regarding the interaction with fullerenes. In the present work we analyze the effect of cluster decomposition in the system C60/N-methylpyrrolidone (NMP) after addition of water as observed by small-angle neutron scattering (SANS) and mass spectroscopy. It is shown that the characteristic size (more than 100 nm) in pure C60/NMP solution decreases after addition of water into the system. Mass spectra indicate that the decomposition of clusters in solutions occurs as a result of the monomer detachment. The observed mechanism explains the age effect of the initial C60/NMP solution on the cluster size in the system C60/NMP/water.

**2****Irradiation induced increase of GeOx responsible for 3.2 eV emission at Ge nano-crystals a-SiO2 interfaces**

Antonino Alessi<sup>1</sup> Simonpietro Agnello<sup>1</sup> Franco Mario Gelardi<sup>1</sup> Roberto Boscaino<sup>1</sup>

<sup>1</sup>Department of Physical and Astronomical Sciences, University of Palermo, Palermo

Many investigations, about the generation processes of Ge nanocrystals (ncGe) in amorphous SiO<sub>2</sub> (aSiO<sub>2</sub>), have shown that together with such structures there is an interface layer of substoichiometric Ge oxide: GeO<sub>x</sub>, with x between 0 and 2. In such structured materials, together with ncGe, the presence of a photoluminescence band centred at 3.2 eV is often detected. This emission is attributed to an excitonic electron hole pair recombination process in the ncGe, but different studies have suggested that this emission activity is related to a recombination on defects of the GeO<sub>x</sub> layer. The type of defects responsible of this luminescence is the twofold coordinated Ge, a Ge atom connected with two oxygens and with two electron forming a lone pair. Our data on <sup>60</sup>Co gamma irradiated Ge doped aSiO<sub>2</sub> show the possibility to induce these centers in bulk samples. The induced defects concentration depends on Ge content, as expected, and are explained on the

basis of irradiation enhancement of substoichiometry. This suggests the possibility to probe the origin of the photoluminescence at ncGe/aSiO<sub>2</sub> interfaces by increasing the concentration of twofold coordinated Ge and as a consequence of the 3.2 eV emission intensity.

### 3

#### **Structural properties of carbon nanostructures under low temperature and high pressure**

Vincent JOURDAIN<sup>1</sup> Robert ALMAIRAC<sup>1</sup> Rozenn LEPARC<sup>1</sup> Jean Louis SAUVAJOL<sup>1</sup> Jean Louis BANTIGNIES<sup>1</sup> LAURENT ALVAREZ<sup>1</sup> Jonathan ROSE<sup>2</sup> Lawrence T. SCOTT<sup>2</sup>

<sup>1</sup>LCVN, University Montpellier II, Montpellier, FRANCE

<sup>2</sup>MERKERT CHEMISTRY CENTER, Boston College, Chestnut Hill, Massachusetts, USA

We propose here a study of the structural evolutions of corannulene under high pressure and low temperature. Results obtained by Raman spectroscopy are presented.

### 4

#### **A Method to Coat Sharpened Tungsten Wires with Multiwall Carbon Nanotubes for Enhanced Electrode Performance**

Alberto Ansaldo<sup>1</sup> Siegmund Roth<sup>2</sup> Davide Ricci<sup>1</sup>

<sup>1</sup>Istituto Italiano di Tecnologia, Genova - Italy

<sup>2</sup>Max-Planck-Institut für Festkörperforschung, Stuttgart - Germany

Carbon Nanotubes (CNTs), thanks to their ability to provide an efficient path for charge transport, have been proposed in various occasions for electrochemical applications. Electrodes coated with CNTs improve their performance thanks both to the greatly increased exposed surface and to the reduced effective work function. While several experiments of CNTs deposited or grown onto planar metal electrodes have been reported, direct growth of Multiwall Carbon Nanotubes (MWCNTs) via Chemical Vapour Deposition (CVD) onto electrochemically sharpened Tungsten wires is, to our knowledge, a new result that we present in this work. To this end, a key issue has been the ability to coat with the CNT catalytic precursors the Tungsten wire up to its very apex. The tips have been dipped into a precursor ink containing Nickel nanoparticles and then placed in a reactor where MWCNTs have been grown directly using alcohol CVD at a temperature between 550 and 700°C. The morphology of the MWCNTs coatings depending on the catalyst precursor ink concentration and thermodynamic growth parameters is discussed. A comparison in the performance of pristine versus coated electrodes is performed and their electrochemical properties and applications are discussed.

**5****Anomalous Structural Dependence of the Radial Breathing Mode in Single Wall Carbon Nanotubes**

Stephen K. Doorn<sup>1</sup> Huihong Qian<sup>2</sup> Achim Hartschuh<sup>2</sup> Paulo T Araujo<sup>3</sup> Ado Jorio<sup>3</sup> Indhira O. Maciel<sup>3</sup> Pedro B. Pesce<sup>3</sup> Marcos A. Pimenta<sup>3</sup> Simone S. Alexandre<sup>3</sup> Helio Chacham<sup>3</sup> Mathias Steiner<sup>4</sup> Kenji Hata<sup>5</sup>

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<sup>3</sup>Departamento de Fisica-Instituto de Ciencias Exatas-ICEX, Universidade Federal de Minas Gerais-UFMG, Belo Horizonte, MG.

<sup>4</sup>IBM Research Division, T.J. Watson Research Center, Yorktown Heights, New York.

<sup>5</sup>Research Center for Advanced Carbon Materials, (AIST), Tsukuba, Japan.

Despite its importance, the structural dependence of the single wall carbon nanotube (SWNT) radial breathing mode frequencies ( $\omega_{RBM}$ ) is not established. Here resonant Raman scattering is used to determine the  $\omega_{RBM}$  of SWNTs grown by the water assisted chemical vapor deposition method. We find an anomalous dependence of the  $\omega_{RBM}$  versus tube diameter ( $d_t$ ), as compared to tubes grown by other techniques. First, the  $\omega_{RBM}$  are downshifted by an amount that increases, in modulus, with  $d_t$ . Second, the frequencies are well fitted by the relation  $\omega_{RBM}=227.0/d_t$ , which agrees with the graphene sheet limit ( $1/d_t \rightarrow 0$ ), in contrast with the relation  $\omega_{RBM}=A/d_t+B$  that is obtained for tubes grown by other techniques. We propose possible extrinsic and intrinsic effects that may account for the observed anomalies.

**6****New Phase of Polymeric C<sub>60</sub>: Double Chains via [2+2] Cycloaddition**

Vasilii I. Artyukhov<sup>1</sup> Elena E. Belova<sup>1</sup> Leonid A. Chernozatonskii<sup>1</sup>

<sup>1</sup>Institute of Biochemical Physics, Russian Academy of Sciences, Moscow, 119334 Russia

We report *ab initio* calculations of a new phase of polymeric C<sub>60</sub>. This phase has recently been demonstrated to form from the O phase of polymeric C<sub>60</sub> at high pressures ( $\approx 0.5$  GPa) under laser or x-ray irradiation [R. Le Parc *et. al.*, *Chem. Phys. Lett.* 438, 63 (2007)]. We investigate the structural changes that polymeric C<sub>60</sub> undergoes under such conditions. The transition to the new phase involves additional [2+2] cycloadditions between neighboring C<sub>60</sub> chains of the O phase, forming double chains of C<sub>60</sub> units along the [100] direction. The new phase is a semiconductor, like the original O phase, but with a smaller band gap.

**7****Electronic and Optical properties of B-doped Single Wall Carbon Nanotubes**Paola Ayala<sup>1</sup> Thomas Pichler<sup>2</sup> Hans Kuzmany<sup>2</sup> Esko Kauppinen<sup>1</sup><sup>1</sup>Lab.of Physics and Center for New Materials, Helsinki University of Technology, Espoo, FINLAND<sup>2</sup>Faculty of Physics, Universitaet Wien, Vienna, AUSTRIA

It is well known that one efficient method of tailoring the electronic properties of single wall carbon nanotubes is the chemical modification through incorporation of heteroatoms within the tube walls. In fact, an efficient doping can be achieved by substituting carbon by heteroatoms such as boron due to its chemical electronic configuration. Besides the experimental reports on the successful synthesis of boron doped carbon nanotubes (CNTs) with methods such as substitution reactions, arc discharge and laser ablation we reported recently a chemical vapor deposition method which involves exclusively the use of a pure liquid C/B feedstock. In the present contribution we show how the use of solely one feedstock of a constant vapor pressure facilitates a controlled growth of even morphology samples. Raman spectroscopy and TEM have revealed very low defect concentration concomitant with small nanotube bundles containing 5-8 tubes with a narrow diameter distribution. Regarding the incorporation profile of B within the tubes, a pioneering x-ray photoelectron spectroscopy analysis will be presented revealing unusual features in the peak positioning and shape according to B incorporation. An overall observation demonstrates a growth window for doped SWCNT with diameters ranging between 1 and 3 diameters between 770 and 1000C. Although, a multifrequency Raman analysis has proved that the tube diameter distribution remains constant notwithstanding the synthesis temperature, added to a narrow diameter distribution of small diameter tubes between 0.9 and 1.4nm. An analysis of the tube relative fraction of metallic tubes as well as the tube chiralities has been carried out based on the theoretical and experimental Kataura plots. Furthermore comparative fluorescence studies on the same samples will be presented.

Work supported by DFG and BCN-Nanotubes EU project.

**8****In situ growth of carbon nanotubes in thermogravimetric analyzer coupled to mass spectrometer**Alicja Bachmatiuk<sup>1</sup> Anna Steplewska<sup>1</sup> Ewa Borowiak-Palen<sup>1</sup> Ryszard J. Kalenczuk<sup>1</sup><sup>1</sup>Szczecin University of Technology, Poland, Szczecin

Here we present the preliminary result on a novel experiment of the insitu growth of different carbon nanotubes in thermogravimetric analyzer coupled to mass spectrometer (TGA-MS). The system allows to analyze the changes in mass in TGA mode and the evolved gases during the process in MS mode. We believe that the

detailed study of the process can lead to the better understanding of the process of the carbon nanotubes mechanism growth. The analysis of this phenomena will be supported by high resolution transmission electron microscope (HR-TEM) and resonance Raman spectroscopy.

## 9

### **NEXAFS study of nitrogen doping of carbon nanotubes**

Jean-Louis Bantignies<sup>1</sup> Laurent Alvarez<sup>1</sup> Francois Lenormand<sup>2</sup> Shaima Enouz<sup>3</sup>

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High resolution near edge X-ray absorption fine structure (NEXAFS) spectroscopy have been used to investigate the nitrogen doping of multi wall and single wall carbon nanotubes. NEXAFS spectroscopy at the nitrogen K-edge reveals three different chemical bonding of the nitrogen similar for both single and multiwall nanotubes. In particular pyridine-like structure is clearly exhibited. The relative concentration of the different chemical environments of the nitrogen is clearly different for single and multi wall nanotubes. High resolution NEXAFS experiments show that the main nitrogen concentration observed for multi wall carbon nanotubes originates from a high amount of molecular N<sub>2</sub> encapsulated into the tubes and adsorbed at the surface of the internal tube.

## 10

### **Investigation of Sodium Dodecyl Benzene Sulphonate Assisted Dispersion and Debundling of Single Wall Carbon Nanotubes.**

PRIYA BASKAR RAO

FOCAS, DUBLIN INSTITUTE OF TECHNOLOGY, DUBLIN

The dispersion limit of HiPco Single Wall Carbon Nanotubes in 1 percentage by weight Sodium Dodecyl Benzene Sulphonate assisted dispersions in water is reported. The starting concentration of the tubes in water surfactant solution was 5 mg per ml which was diluted sequentially by a factor of 2 down to 0.0012 mg per ml. Sonication and centrifugation were performed to obtain a homogenous dispersion of HiPco SWCNTs in water surfactant solution. Concentration dependent absorption and Raman spectroscopic studies were used to analyse the SWCNTs behaviour in water based solution, and AFM was employed to examine the aggregation state of the nanotubes over the concentration range. Both spectroscopic techniques demonstrate a clear concentration below which the nanotube bundles become significantly dispersed in the solution. The concentration limit at which debundling starts was

found to be 0.07 plus or minus 0.03 mg per ml. The dispersion behaviour and optical parameters determined are compared with those established for other solvent media

## 11

### **Quantum Conductance in Carbon Nanotube Networks**

Mark Baxendale<sup>1</sup> Zahra Alemipour<sup>1</sup>

<sup>1</sup>Queen Mary, University of London, London

The electrical conductance of single- and double-wall carbon nanotube systems was measured by a mechanically controllable break-junction technique using free-standing nanotubes not subject to any chemical modification. For metallic single-wall carbon nanotubes two channels with transmission coefficient 0.88 - 1 contribute to ballistic electronic transport at room temperature. In double-wall carbon nanotubes, one metallic channel contributes to ballistic electronic transport and an additional field- and temperature-dependent two-channel contribution was observed. Multi-wall carbon nanotubes were found to have a broad range of conductance behaviour dependent on the number of walls and crystalline quality.

## 12

### **Electrostatic Modeling of Carbon Nanotubes in Asymmetric Electric Fields**

Sabine Blatt<sup>1</sup> Aravind Vijayaraghavan<sup>1</sup> Ralph Krupke<sup>1</sup>

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Recently, high density arrays of single walled carbon nanotube (SWNT) devices have been produced by the deposition of individual nanotubes between a biased electrode and an electrically floating counter electrode.[1] After depositing a nanotube, this constitutes a 3-terminal device with the biased electrode acting as source, the floating electrode forming the drain and the underlying silicon substrate the gate. Application of a gate-source potential then leads to an asymmetric potential profile along the nanotube which affects the charge distribution on the nanotube.

We use finite element simulation to model the potential profile and charge distribution for a semiconducting nanotube in such a device.[2] Since we are interested in the equilibrium situation where the net currents are zero, it is sufficient to solve self-consistently the Poisson equation taking induced charges on the nanotube into account.

We compare 2d, 3d cylindrical and 3d cartesian geometries to explore the influence of the floating electrode. The results for planar devices with asymmetric bias are compared to experimental observation of charge distribution by voltage contrast scanning electron microscopy.[3]

1 A. Vijayaraghavan et al., Nano Letters 7, 1556-1560, 2007



- 2 D. L. John et al., IEEE Trans. Nanotechnol. 2, 175-180, 2003
- 3 A. Vijayaraghavan et al., Visualizing Electronic Properties of Carbon Nanotube Devices by Voltage Contrast Scanning Electron Microscopy, IWEPNM 2008

**13****Single-walled Carbon nanotubes as anticancer drug delivery system**

Ewa Borowiak-Palen<sup>1</sup> Carla Tripisciano<sup>1</sup>

<sup>1</sup>Institute of Chemical and Environment Engineering, Szczecin University of Technology, Szczecin

Conventional administration of chemiotherapeutic agents is frequently impaired by their lack of selectivity and accidental damage inflicted to healthy tissues. Since Carbon Nanotubes possess the unique feature of being able to enter a living cell without causing its death, they can be used to deliver small organic drug molecules into the diseased cells not damaging the healthy ones. Thus therapeutic and diagnostic agents can functionalize the structure of carbon nanotubes. Here, the functionalization of Single-Walled CNTs with Cisplatin (Cis-Diamminedichloroplatinum (CDDP) - a platinum based chemotherapy drug used to treat various types of cancers) is presented. The purity of SWCNTs sample was estimated with thermogravimetric (TGA) study and the remaining amount of the catalysts were analysed with atomic absorption spectroscopy (AAS). The morphology characterization was carried with X-ray diffraction (XRD) and high resolution transmission electron microscopy (HR-TEM). The sample chemical composition analysis was performed with energy dispersive X-Ray spectroscopy (EDX). Raman Spectroscopy was exploited to observe whether Cisplatin was placed on SWCNTs external surface or inside the tubes. Additionally, the CDDP release from the samples in physiological solution was studied using inductively coupled plasma (ICP) analysis.

**14****INFLUENCE OF NITROGEN DOPING ON FIELD ELECTRON EMISSION AND LITHIUM INTERCALATION OF CARBON NANOTUBES**

Lyubov G Bulusheva<sup>1</sup> Alexander V Okotrub<sup>1</sup> Alexander G Kurenya<sup>1</sup> Olga V Sedelnikova<sup>1</sup> Ian Kinloch<sup>2</sup> Huaihe Song<sup>3</sup>

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Arrays of multiwall carbon nanotubes (CNT) and nitrogen-doped carbon (CNx) nanotubes have been synthesized by aerosol CVD method with injection of ferrocene solution in toluene, acetonitrile and in a mixture of toluene and acetonitrile. X-ray photoelectron spectroscopy estimated the CNx nanotubes produced from

pure acetonitrile contain 6% of nitrogen. Raman spectroscopy showed increase of intensity ratio of D and G modes with enhancement of nitrogen concentration in CNx nanotubes. The measurements of field emission characteristics of the samples produced demonstrated lowering of the applied voltage threshold with nitrogen doping of CNT. Quantum-chemical calculations on carbon nanotubes incorporated three-coordinated nitrogen atoms and pyridinic-like nitrogen, performed within density functional theory (DFT), found the first kind of nitrogen atoms should provide improvement of field emission characteristics of CNx nanotubes. Theory predicts decrease of voltage threshold till nitrogen concentration in CNx nanotubes is lower than 4%. The measurements of charge-discharge characteristics on CNT and CNx nanotubes showed increase of lithium capacity for nitrogen-doped nanotubes. By the results of DFT calculations the lithium cation more readily interacts with pyridinic-like nitrogen. We found that lithium can penetrate inside of CNx nanotube through the atomic vacancy, which zigzag boundaries are presented by nitrogen atoms. The estimated barrier for intercalation of nitrogen-doped nanotube with lithium cation is 1.35 eV.

## 15

### **Nanotubes in macrophages: imaging and chemical analysis by micro X-ray fluorescence**

Julien Cambedouzou<sup>1</sup> Cyril Bussy<sup>1</sup> Sophie Lanone<sup>2</sup> Emilie Leccia<sup>1</sup> Mathieu Pinault<sup>3</sup> Martine Mayne l Hermite<sup>3</sup> Marine Cotte<sup>4</sup> Jean Doucet<sup>1</sup> Jorge Boczkowski<sup>2</sup> Pascale Launois<sup>1</sup>

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A growing concern about the potential human health impact associated with carbon nanotubes (CNT) in occupational environment emerges. One key-issue in understanding CNT biological effects is to characterize their interactions with cells. A prerequisite is the localization of CNTs in cells [1]. A second point is to have information about cell modifications after contact with CNTs. Both points can be addressed using synchrotron-based micro X-ray fluorescence ( $\mu$ XRF), used here for the first time to study CNT-cell interactions [2]. Experiments have been performed for exposition of murine macrophage to either SWCNTs or MWCNTs, the choice of macrophage cells being dictated by their crucial role in inflammation and respiratory responses to exogenous agents.

Analysis of the fluorescence signal of iron catalyst particles attached to the nanotubes gives information about their cell localization. A dose-response effect is measured for the cellular iron signal in CNT-exposed cells. In some of the exposed cells, an additional calcium signal is observed, which also seems to follow a dose-response pattern. This finding could be of strong importance in the perspective of

understanding the toxicological effects of CNTs, since excess of calcium could be linked to functional disorder like cytotoxicity, oxidative stress and inflammation [3]. Accordingly, first pharmacological assays with calcium chelators and inhibitors show a role of calcium on cytotoxicity and cell inflammatory response after exposure to CNT.

- 1 A. E. Porter, M. Gass, et al., Nature Nanotechnology 2007, 2, 713
- 2 C. Bussy, J. Cambedouzou, et al., submitted
- 3 D.M. Brown, L. Hutchison, et al., Am. J. Physiol. Lung Cell. Mol. Physiol. 2007, 292, L1444

## 16

### **A two-step mechanism for the oxidation of vacancies in graphene**

Johan M. Carlsson<sup>1</sup> Felix Hanke<sup>1</sup> Matthias Scheffler<sup>1</sup>

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Complete oxidation of graphitic carbon materials leads to combustion and the formation of CO<sub>2</sub>, but it has been suggested that partial oxidation of vacancies on the basal plane can yield catalytically interesting materials. The characterization of such oxidized graphite in temperature-programmed desorption (TPD) experiments detected a surprising amount of CO and significantly less CO<sub>2</sub> [1]. This work aims to clarify the oxidation mechanisms and surface structures under different experimental conditions.

We use density functional calculations with PBE exchange-correlation to obtain the structural and energetic properties of oxidized graphene vacancies. To understand the TPD spectra, reaction barriers and rates for CO and CO<sub>2</sub> desorption are calculated from transition state theory and the nudged elastic band method. An *ab-initio* thermodynamics analysis shows a significant partial pressure-dependence of the oxygen content in vacancies. This indicates a two step mechanism for the initial oxidation, by which strongly bound CO-releasing C-O-C and C=O groups saturate the vacancies under the ultra-high vacuum conditions of TPD experiments. Atmospheric partial pressures lead to additional oxygen adsorption into extended groups such as C-O-C=O and O=C-O-C=O, which in return can desorb as CO<sub>2</sub>, with a lower desorption barrier than the CO-desorption from the C-O-C and C=O groups.

- 1 B. Marchon et al., Carbon **26**, 507 (1988).

**17****Seeing and counting graphene layers with Rayleigh scattering**

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Developing tools for quick, non-destructive identification of graphene layers is key to enable graphene technology. Raman scattering has emerged as an ideal, non-destructive technique for the identification of graphene and its doping [1, 2]. However, the Raman scattered photons are a minority compared to those elastically scattered. Elastic scattering (Rayleigh scattering) can provide a much quicker means of identification of single and multi-layer samples. Here we report an extensive investigation of graphene by monochromatic and white-light Rayleigh scattering and the theoretical understanding of the experimental data [3]. Samples consisting of increasing number of layers are identified by a combination of AFM and Raman Spectroscopy. Confocal Rayleigh maps are obtained by raster scanning the sample with a piezoelectric stage. The scattered light depends on the dielectric function of the samples and their geometry. In particular we show that i) for a given interface layer, the graphene contrast can be tailored by adjusting its thickness; ii) for a given interface thickness, the contrast can be maximized by changing interface material, such as SiN instead of SiO<sub>2</sub>; iii) for a given interface material or thickness, the contrast can be maximized by changing the wavelength of the incident light; iv) In all cases, the contrast strongly depends on the graphite thickness and the intensity varies with the number of layers. Using this technique, large graphene layers can be mapped and identified in a few minutes. Furthermore, graphene imaging can also be efficiently accomplished by using standard microscopy and a set of light filters.

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2 S. Pisana et al., Nature Materials 6, 198 (2007).

3 C. Casiraghi et al. Nano Letters 7, 2711 (2007).

**18****Electronic properties of functionalised graphene nano-ribbons**

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Graphene nanoribbons (GNRs) can be regarded as the counterpart of carbon nanotubes in graphene-based nanoelectronics [1]. The electronic properties of GNRs

are determined by the geometry of the edges [2] (zigzag, armchair or a combination of the two), and can be perturbed and modified by chemical disorder [3].

Here we present a detailed investigation, of the electronic properties of GNRs performed by density functional theory calculations. In particular, we focus on the effects of edge functionalization (single-sided and double-sided), chemisorption, adsorption and atomic substitutions [3].

We find that single edge functionalization of zigzag ribbons with  $\text{NO}_2$ ,  $\text{NH}_2$ ,  $\text{COOH}$  and  $\text{OH}$  radicals does not introduce impurity levels in the band gap, but breaks the spin degeneracy. This can result in the onset of either a half-semiconducting state -with two spin channels having a different band gap- or a spin-polarized half-semiconducting state -where the spin in the valence and conduction bands are oppositely polarized [3]. On the contrary, we find that oxygen edge-functionalization and oxygen edge-substitution in zigzag ribbons induces a semiconductor-metal transition. A semiconductor-metal transition also happens with nitrogen bulk substitution in a  $\text{NH}_2$  edge functionalized zigzag ribbon.

For armchair ribbons, we find that edge functionalization and edge atomic substitution modify the size of the band gap only by a few percent. On the contrary, nitrogen and boron bulk substitution can significantly shift the Fermi energy, inducing a semiconductor-metal transition.

Finally, we also report the effect of  $\text{H}_2\text{O}$  and  $\text{O}_2$  adsorbed molecules as well as  $\text{H}$  and  $\text{OH}$  chemisorbed radicals on the ribbons electronic structure.

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## 19

### Solubilisation of Single-Walled Carbon Nanotubes in organic solvents

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Significant effort has been devoted to looking for suitable media in which as produced or modified SWNTs can be dissolved to a useful concentration. Organic solvents which can directly interact with the side wall of SWNTs have attracted significant interest in the recent years. Amongst previous studies into organic solvents, amide solvents have been systematically studied [1]. However the solubility of SWNTs is not as high as that reported for some aromatic solvents such as monochlorobenzene and 1,2dichlorobenzene. Motivated by this observation, a systematic study of the effect of aromatic solvents on the dispersion of HiPco SWNTs has been conducted. Chlorine substituted benzene solvents such as monochlorobenzene,

1,2-dichlorobenzene, 1,3-dichlorobenzene and 1,2,4-trichlorobenzene are investigated first. UV/vis/NIR spectroscopy equipped with an integrating sphere is employed to investigate the debundling concentration. For each solvent, a concentration dependent study of absorbance and scattering demonstrates a clear transition between aggregates and dispersed tubes. Raman spectroscopy and Atomic Force Microscope (AFM) are used to demonstrate the spectral differences above and below debundling point and thus give a confirmation of the debundling. A critical examination of the efficacy of the solvents in dispersing and solubilising the nanotubes is presented.

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## 20

### **Environmental Effects of the Photoluminescence Spectra from Suspended Single-walled Carbon Nanotubes**

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We measured the photoluminescence (PL) spectra from the suspended single-walled carbon nanotubes (SWNTs) between the pair of the quartz pillars, and investigated their environmental effects. In ethanol gas atmosphere, the PL spectra were dependent on the gas pressure and showed a rapid energy shift at a gas pressure (transition pressure). The transition pressure was dependent on temperature and the tube diameter, and the PL peak did not depend on the pressure below the transition pressure. The gas pressure dependence was explained by adsorption and desorption phenomena of ethanol molecules on SWNT surface, because the adsorbed ethanol molecules changed the dielectric constant surrounding the SWNT. On the other hand, when the SWNT surface was deposited with small metal particles, a small peak appeared on the long-wavelength side of the main peak. The deposited material would slightly deform the SWNT structure and the emission from the lower energy state was enhanced.

## 21

### **Carbon nanotube nanomechanical mass sensors**

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<sup>2</sup>California State University, Northridge

Single-walled carbon nanotubes are arguably the lightest and smallest wires in the world, and have recently been shown to act as nanomechanical resonators [1]. As a result, single-wall carbon nanotubes are excellent candidates for highly sensitive mass sensing [2].

We observed the down shift of the resonant frequency of a suspended double-clamped carbon nanotube resonator at cryogenic temperatures upon helium mass loading. Using a straightforward estimate of the nanotube mass, the observed frequency shift corresponds to the mass of 1000 helium atoms, which is the zeptogram range. This is considerably smaller than found previously with nanotube resonators, and comparable to that found using nanowire resonators [3]. Our noise floor is currently Xenon atom per root Hz, which may enable single-atom detection in future experiments. Experiments to detect individual atoms or molecules are ongoing; our latest results will be presented.

We also observe discrete features of resonant frequencies and non-monotonic dependence of frequency on gate voltage. We attribute this to Coulomb blockade at cryogenic temperatures.

1 Vera Sazonova, et al., *Nature* **431**, 284 (2004).

2 H. B. Peng, et al. *Phys. Rev. Lett.* **97**, 087203 (2006)

3 Y. T. Yang, et al. *Nano Lett.* **6**, 583 (2006).

## 22

### Fullerene transformations in peapods under extreme conditions

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Nanostructures obtained by the insertion of molecules in the hollow cavity of single-walled carbon nanotubes (SWNT) give to the scientific community the opportunity of studying original confined quasi-1D phases [1]. When inserted molecules are fullerenes, resulting nanostructures are called peapods, the structure reminding pods (nanotubes) full of peas (fullerenes). Different kinds of peapods are synthesized according to the nature of the molecule inserted, for instance the spherical C60 molecule or the egg-shaped C70 molecule. In this study, we focus on the fate of the fullerene confined inside SWNT when they are submitted to high pressure and/or high temperature (HPHT) conditions. In a first part, we show ex situ X-ray diffraction results from peapods having been exposed to HPHT conditions. Same HPHT treatments were applied to both C60 peapods and C70 peapods. We observed that confined C60 polymerize inside the nanotubes for the same temperature/pressure range than bulk C60. However, we did not observe any polymerization of C70 molecules, even for HPHT conditions leading to polymerization in the bulk C70 phase.

The different structural behaviours observed for C60 and C70 peapods after extreme conditions is explained in terms of geometrical arguments. Our results testify for the strong influence of the confinement inside the nanotube on the orientational ordering and on the chemistry of the C70 molecules [2]. In a second part, we will focus on the high temperature activated transformation from peapods to double-walled carbon nanotubes (DWNT). The fine X-ray diffraction analysis of DWNT resulting of the coalescence of both C60 and C70 chains shows that the structure of the DWNT is not influenced by the type of starting fullerene. This result, together with the determination of the structural parameters of the DWNT, allows us to discuss the possible scenario for the growth of the inner tube from fullerenes.

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2 M. Chorro, J. Cambedouzou, et al. , *Europhys. Lett.* 2007 79, 56003

### 23

#### **Electron spin resonance study of single walled nanotubes filled with La@C<sub>82</sub>**

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We report ESR studies on La@C<sub>82</sub> and La@C<sub>82</sub> filled peapods. The latter material was investigated for applications in quantum information processing. The ESR spectra were measured at X- and Q-bands in the temperature range from 4K to 295K. The ESR spectrum of La@C<sub>82</sub> in CS<sub>2</sub> shows hyperfine splitting of 8 lines, having the linewidth of 0.15G at room temperature. We also studied the photo-catalytic properties of La@C<sub>82</sub> in CS<sub>2</sub> and we found that under illumination with white light La@C<sub>82</sub> does not generate singlet oxygen via type II energy transfer. For preparing peapods from spin-active La@C<sub>82</sub> fullerenes the starting material was mixed with C<sub>60</sub> in 1-10% proportions to avoid spin-spin interaction broadening. ESR studies on peapods were performed for 1, 5, 10 and 100% of La@C<sub>82</sub> in C<sub>60</sub>. Filling of SWCNTs was also tracked by TEM and the results were related to parallel ESR observations. Acknowledgment: This work is performed in the frame of the European network IMPRESS. The endohedral fullerenes from the Shinohara-group is gratefully acknowledged.

### 24

#### **Time-Correlated Single Photon Counting of Structurally Sorted Single-Wall Carbon Nanotube Suspensions**

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We present preliminary results from a time-correlated single photon counting study of structurally sorted single-wall carbon nanotube suspensions. Photoluminescence decay times of different types of carbon nanotube suspensions are determined with 5 ps time-resolution. For structural sorting we use density gradient ultracentrifugation. This technique allows to not only isolate individual SWNT-micelles from SWNT aggregate-micelles and SWNT-crystallites (or bundles) which are present in the supernatant of typical nanotube suspensions but it also allows to isolate SWNT aggregates with different buoyancy. We use samples of nanotube aggregates with specific buoyancy to study the gradual decrease of PL quantum yields and the associated changes in PL decay times as a function of increasing SWNT crystallite size. The results clearly illustrate why early measurements suggested that PL quantum yields on the order of  $10^{-3}$  or even  $10^{-4}$  are nearly two orders of magnitude smaller than yields in excess of  $10^{-2}$  obtained for today's best structurally sorted samples.

## 25

### **Electronic properties of catalyst-free SWNT probed by resonant and non-resonant microwave absorption**

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Using electron paramagnetic resonance (EPR) it was possible to identify two different temperature regimes of the electronic properties of bulk samples of single wall carbon nanotubes [1-3] synthesized by 'super-growth CVD method [4]: at high temperatures the spectrum shows clear evidence for the presence of itinerant spins with a freezing temperature of about 100 K; below this temperature the spectrum is dominated by localized spins not detectable at higher temperatures. At very low temperatures ( $T < 12$  K) the samples show strong non-resonant and non-linear mw absorption. This behaviour is interpreted as indicative for the formation of a superconducting phase of a part of the sample. In addition, a part of the sample shows a magnetic ordering transition at a somewhat higher temperature, which can be suppressed by the application of a small magnetic field. We also found evidence for the existence of a metastable state at low temperatures, which shows a distinct creeping behaviour in the time-scale of several hours. The occurrence of this metastable phase can be controlled by sample treatment with molecular oxygen at ambient conditions and heating of sample under vacuum.

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**26****Internalisation of Carbon Nanotubes into Human Embryonic Stem Cells**Alan Dalton

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Silencing Efficiency, Toxicity and Potential Applications Carbon nanotubes (CNTs) are showing increasing versatility for the cellular internalization and delivery of biological agents including proteins, antibodies, polynucleotides and more. Combinations of these agents may lead to advanced drug delivery transporters for a variety of in vitro and in vivo biological applications. While work advances for CNTs, human embryonic stem cells (hESCs) hold much potential for drug screening applications, regenerative therapy, and new generations of medicine. Fundamental understanding of hESCs functioning and development focus on the roles active genes assert over the inner mechanisms of the cells, and silencing of these genes shed new understanding on the role they play. While current gene silencing and transfection methods often damage the cell populations viability and growth or have low silencing efficiencies, CNT transporter schemes have been shown to surpass commercially available transfection agents with negligible detrimental effects to the cells. Here in we report the cellular internalization, silencing efficiency, and toxicity effects of CNTs for hESCs.

**27****An experimental study of the interaction between single walled carbon nanotubes and polycyclic aromatic hydrocarbons.**Sourabhi Debnath<sup>1</sup> Q. Cheng<sup>1</sup> T. G. Hedderman<sup>1</sup> H.J. Byrne<sup>1</sup><sup>1</sup>Dublin Institute of Tecnology, Dublin Institute of Tecnology, Dublin

Single-walled carbon nanotubes (SWNTs) are proposed to be the most promising of all nanomaterials, with unique electronic and mechanical properties which lend themselves to a variety of applications. In all cases the quality of the SWNTs material is important, and for some applications it is paramount. There are several production processes of SWNTs. Despite sustained efforts, all currently known SWNTs synthetic techniques generate significant quantities of impurities. They also grow in bundles or ropes and are largely insoluble in common organic solvents. SWNTs can have a range of structures and their electronic properties depends on their structure and as well as their diameter. Currently there is no production process that can produce only one particular type of SWNTs. Due to these reasons

carbon nanotubes have been slow to reach real potential applications. To speed up the potential applications of SWNTs it has now become mandatory to purify, increase the solubility, disperse and separate SWNTs according to their electronic properties. It has been found that organic solvents in the presence of organic dyes such as polycyclic aromatic hydrocarbons (PAHs) can easily interact with SWNTs and the solubility of SWNTs are increased in the solvent [1]. This process is also used to purify and disperse SWNTs from their bundles. In this work dyes of two oligomer series, the acene series and phenyl series, are used to study the interaction between SWNTs and organic dyes.

The interactions and debundling of SWNTs are investigated through spectroscopic methods such as fluorescence. The fluorescence concentration dependence studies define the concentration range where aggregated dyes and isolated dyes exist. A fluorescence model based on the fraction of free hydrocarbons is used to indicate the concentration range where isolated and bundled SWNTs and organic dyes are interacting [2]. By using this model the binding energy between SWNTs and organic dyes is also obtained.

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## 28

### **Modification of electrical properties of SWNT bucky paper by heavy ion irradiation**

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Samples of SWNT mats, called bucky paper, have been irradiated with a  $^{12}\text{C}^{+4}$  ion beam in order to improve their mechanical stability. Investigations by Raman spectroscopy show that the irradiation creates  $\text{sp}^3$  defects. The intensity of the D mode line increases continuously with increasing the irradiation dose. The ion beam irradiation changes also electrical properties of the bucky paper. The conductivity measured at room temperature decreases with the irradiation time. The temperature dependence of conductivity indicates changes in the conduction mechanism. Whereas the pristine sample exhibits mixed metallic-nonmetallic character according to fluctuation assisted tunneling, the irradiated samples change to semiconducting behavior described by variable range hopping.

**29****Synthesis of vertically aligned single-walled carbon nanotubes and their anomalous Raman spectra**

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We review our recent studies on the synthesis of vertically aligned single-walled carbon nanotube (VA-SWNT) films by chemical vapor deposition (CVD) of alcohol [1]. In particular, we look at how the ethanol pressure affects the growth process in real-time using an *in situ* optical absorbance measurement [2]. We find there is an optimum ethanol pressure that increases with CVD temperature, below which the growth is governed by a first-order reaction. The growth rate of the film is also found to be sensitive to changes in the growth environment, and can change on short time scales. We also report preliminary results from polarized Raman spectroscopic studies that show anomalous anisotropic behavior in the radial breathing mode. A possible connection between this anisotropy and the small-bundle structure of the VA-SWNT films [3] is discussed.

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- 3 E. Einarsson, H. Shiozawa, C. Kramberger, M.H. Rümmele, A. Grüneis, T. Pichler, S. Maruyama, *J. Phys. Chem. C* **111** (2007) 17861.

**30****Boson-controlled quantum transport in electronically low-dimensional materials**

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The interplay of collective dynamics and damping in the presence of correlations and bosonic fluctuations is studied within the framework of a newly proposed model, which captures the principal transport mechanisms that apply to a variety of physical systems. The close connections to the transport of lattice and spin polarons, or the dynamics of a particle coupled to a bath are established. The model is analysed in the one-particle sector by exactly calculating the optical conductivity, Drude weight, inverse photoemission spectra, quasiparticle mass and band dispersion, as well as particle-boson correlation functions, for finite and infinite one-dimensional

systems. For the half-filled band case a metal-insulator quantum phase transition is proved to exist.

### 31

#### **Electron-Electron Interaction, Electron-Phonon Coupling Renormalisation and the Raman spectrum of Graphene**

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Recent years have seen a steady advance in the understanding of the Raman spectra of carbon-materials. This includes the double resonance mechanism for the activation of the D and D' peaks around  $\mathbf{K}$  and  $\Gamma$  [1], and the presence of Kohn anomalies for phonons at  $\mathbf{K}$  and  $\Gamma$  induced by their large electron-phonon coupling (EPC) [2]. The latter explain, e.g., the G Peak doping dependence and the different behaviour of the  $G^+$  and  $G^-$  peaks, with wider implications for electron and phonon transport [2,4].

Still, some basic facts remain unexplained. First, the intensity of the second order 2D peak in graphene is far higher than that of the 2D', and strongly depends on doping [5]. Second, since the EPC cannot contribute to its broadening [4], the 2D peak should be extremely sharp compared to the G peak [4], in contrast with experiments. Third, the sum of the EPCs at  $\mathbf{K}$  and  $\Gamma$  is too small to account for the kinks observed in the ARPES spectra of graphene [6]. Since the calculated G peak EPC was experimentally validated [2-4], current DFT calculations may thus underestimate the EPC at  $\mathbf{K}$  [2].

Here we show that all these results can be explained by taking into account Coulomb interactions when calculating the EPC. The key observations are that: (i) in fully resonant two-phonon Raman processes the generated electron-hole pairs can scatter not just with phonons, but also with electrons and holes [8], and this cannot be neglected, as done so far [2,4]; (ii) Coulomb interactions enhance the EPC of the D peak, but not that of the G peak [7]. We explain the experimental width, intensity, doping and excitation dependence of the 2D peak and show how these can be used to determine its EPC [9]. Our measured and calculated EPC is now a factor of two higher than previously predicted by DFT [2, 9].

Furthermore, we show that Raman spectroscopy can be used as simple tool for measurement of electron-electron interactions and lifetimes [7,9], getting values consistent with direct ARPES measurements for electrons of similar energy [9]. While here we focus on graphene, significant implications are also expected for nanotubes.

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### 32

#### **Indirect exchange coupling between localized magnetic in carbon nanotubes**

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The exchange coupling between localized magnetic moments indirectly mediated by the conduction electrons of metallic hosts often plays a significant role in determining the magnetic order of low-dimensional structures. For low dimensional metallic structures, such as nanotubes, this interaction is predicted to decay rather slowly. Ab-initio calculations have nevertheless been unable to reproduce this prediction. To clarify this matter, we make use of a simple theoretical formalism that describes the coupling of magnetic atoms adsorbed to the walls of a nanotube. While confirming the predicted long range of the exchange interaction, our formalism also points to situations in which the coupling may display unexpectedly shorter ranges. We show that the interaction range depends rather sensitively on the location of the magnetic moments, which explains the difficulty in probing the long range character of the indirect exchange coupling from standard ab-initio calculations. Finally, we show that time-dependent excitations of the magnetic moments lead to a dynamic coupling whose range may be far superior.

### 33

#### **Impedance Characterisation of Carbon Nanotube Thin Films**

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Transparent conducting single wall carbon nanotube (SWNT) thin films are of great interest, due to many applications under development, as they present a high electrical conductivity and 80-90 % transparency as well as they are flexible. They

could be useful as transparent electrodes, flexible transistors or sensors [1-3]. It has been reported that either the conductance or  $I(V)$  characteristics depend strongly on the amount of SWNT deposited on the substrate, and on chemical treatment [4-5]. Some applications of SWNT thin films or networks on a substrate at different frequencies are envisaged, with medical use or other. In order to be able to construct reproducible devices with similar conductance  $G(f)$  and impedance  $Z(f)$ , it is important to relate the electrical properties with the amount of SWNT present in the network. We analysed different networks containing different amounts of SWNT, using an Agilent Impedance analyzer up to 0,2 GHz. The amount of SWNT per  $\text{cm}^2$  present have been determined from TGA, and is correlated to the transparency determined by optical spectroscopy.

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### 34

#### **Optical and electrical simulation of molecular layer systems**

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The goal of this work is to create an optoelectronic simulation tool for molecular layer systems like organic solar cells (OSC) using macroscopic material properties and fundamental physical processes in the bulk as well as at interfaces. Exemplary, metal-phthalocyanine/C60 OSC on indium-tin-oxide substrates are studied. The impact of exciton and charge transport in the bulk, exciton dissociation at the donor-acceptor interface as well as charge transfer at the organic-inorganic interfaces is investigated. A transfer matrix algorithm and an exciton diffusion model (optical) were applied to fit external quantum efficiency data using merely optical constants as experimentally determined input. Furthermore a drift diffusion model for charge carriers (electrical) is applied to simulate I-V curves in order to give hints for optimization of alternative cell concepts. Important parameters like exciton diffusion lengths, charge carrier mobilities, HOMO/LUMO levels etc. can be estimated.

**35****In-situ Vis/NIR spectroelectrochemistry of single-walled carbon nanotubes enriched with the (6,5) tubes**

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An in-situ optical Vis/NIR spectroelectrochemistry study was performed on single-walled carbon nanotubes (CoMoCat) enriched with (6,5) tubes via density-gradient ultracentrifugation, as described in [1]. Thin semitransparent solid films were prepared from the solution of sorted nanotubes by vacuum filtration and purification with water. Despite some bundling of the nanotubes appears after this procedure, the peaks corresponding to optical transitions of tubes with distinct chiralities may be observed in the spectra. The effects of electrochemical redox doping on the electronic state of the nanotubes was investigated in a potential window between -2 and +2 V vs. Fc/Fc<sup>+</sup>. The bleaching of  $\Delta E_{11}^S$  and  $\Delta E_{22}^S$  optical transitions is traced for particular tubes, which allows a partial quantification of changes in electronic density of states (DOS) caused by filling with electrons or holes. The experimental values can be compared with data obtained by DFT calculations [2].

1 M.S. Arnold et al. Nature Nanotech. 2006, 1, 60.

2 J. Kurti et al. IWEPNM 2008.

**36****Ultrafast relaxation in MoSI nanowires**

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MoSI nanowires are a prototypical one-dimensional material, due to their particularly weak interaction with their neighbours. Any application in optics or electronics relies on functional properties of the active material in a non-equilibrium situation and its relaxation to equilibrium. We studied ultrafast electron relaxation in oriented MoSI nanowire thin films with femtosecond pump-probe spectroscopy. Resonant excitation leads to a depletion of the ground state absorption, superimposed on a structured photoinduced absorption spectrum. The temporal evolution of this spectrum reveals a complex relaxation behaviour which involves three distinct states. We found a cascade relaxation through these states, and determined their lifetimes, which are 0.5 ps, 5 ps, and longer than 1 ns, respectively. We discuss the



possible electronic nature of these states and the implications for fast optical and electronic applications.

**37****Non-Markovian decoherence of localized nanotube excitons by acoustic phonons**

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We demonstrate that electron-phonon interaction in quantum dots embedded in one-dimensional systems leads to pronounced, non-Markovian decoherence of optical transitions. The experiments we present focus on the lineshape of photoluminescence from low-temperature axially localized carbon nanotube excitons. The independent boson model that we use to model the phonon interactions reproduces with very high accuracy the broad and asymmetric emission lines and the weak red-detuned radial breathing mode replicas observed in the experiments. The intrinsic phonon-induced pure-dephasing of the zero-phonon line is two orders of magnitude larger than the lifetime broadening and is a hallmark of the reduced dimensionality of the phonon bath. The non-Markovian nature of this decoherence mechanism may have adverse consequences for applications of one-dimensional systems in quantum information processing.

**38****Probing the doping in metallic and semiconducting nanotubes by Raman**

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By means of ab-initio calculations we determine the dependence on the doping of the  $G^-$  and  $G^+$  Raman peaks for both metallic and semiconducting carbon nanotubes. The essential ingredient for the correct description of the vibrational properties is the inclusion of dynamical effects beyond the standard adiabatic Born-Oppenheimer approximation. The fully ab-initio calculations essentially confirm the results we previously obtained via a simplified model for metallic tubes [1]. An analogous behavior is now determined for semiconducting tubes. Our findings are in agreement with several independent measurements (see e.g. [2]). We find an important increase of the  $G^-$  peak in metallic tubes and of the  $G^+$  in semiconducting ones as a function of electron and hole doping. This implies that Raman spectroscopy can be used as a measure of the doping in both metallic and semiconducting tubes.

1 N. Caudal et al., Phys. Rev. B **75**, 115423 (2007).

2 A. Das et al., Phys. Rev. Lett. **99**, 136803 (2007).

**39****OPTICAL ABSORPTION SPECTRA OF BN-NANOSTRUCTURES**

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Samples of single-wall and multiwall BN-nanotubes, amorphous and hexagonal BN have been investigated by optical absorption spectroscopy, transmission electron microscopy and atomic-force microscopy. It was revealed that optical absorption spectrum of as-prepared single-wall BN-nanotubes has three absorption bands at 6.2 eV, 5.4 eV and 4.45 eV. The last band is represented by superposition of two bands with energies 4.37 and 4.49 eV. Investigation of this sample by transmission electron microscopy showed a presence of 60-70% of single-wall nanotubes. To reveal the origin of the recorded absorption bands the spectra of reference samples of multiwall BN nanotubes, hexagonal BN and amorphous BN have been measured. Only a single band located at 6 eV was detected for amorphous BN sample while the samples of multiwall nanotubes and hexagonal BN were found to be characterized by two bands at 6.2 eV and 5.4 eV. Thus, it is possible to assume, that absorption bands with energies 4.37 and 4.49 eV are characteristic of single-wall BN-nanotubes. Effect of hydration of BN nanotube surface on optical absorption has been investigated. The spectrum of the sample kept in the water vapors during 15 minutes showed no absorption bands. To remove water molecules the sample was heated in vacuum at temperature 300C. We found that after annealing the spectrum of the sample still has no detectable bands. As atomic-force microscopy showed the presence in the sample of tubular structures with average diameter 0.25 μm we suggest formation of strong hydrogen bonds between water molecules and BN-nanotubes that drastically changes their electronic structure.

**40****Electron spin and charge in Carbon Nanotube Quantum Dots**

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In the past few years, great progress has been made in confining electrons in Quantum Dots (QDs) in Carbon Nanotubes (CNTs). We expect that the spin of a single electron in CNTs shows interesting new properties and may serve as Quantum bit with a very long coherence time. This is, because of the special properties of carbon (weak spin orbit coupling, no nuclear spin). Our experiments aim at measuring the relaxation time of an confined electron spin and manipulate the electron spin in a controlled way. Here, we show that we can use a Single Electron Transistor to detect

the charge of a single electron in a CNT QD. This is an important step, opening the door to many interesting experiments with single electrons. Furthermore, we report on our ongoing efforts to measure the electron spin relaxation time in CNT QDs. As well, we show a new approach to define very clean but still tunable QDs in a CNT.

#### 41

##### **Tunable Coulomb Blockade in Nanostructured Graphene**

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We have measured the Coulomb blockade effect and Coulomb diamonds on an etched, tunable single-layer graphene single electron transistor (SET)[1]. The device consisting of a graphene island connected via two narrow graphene constrictions is fully tunable by three lateral graphene side gates. The functionality of lateral graphene gates has been investigated in detail and a transport gap is found to exist in the narrow graphene constrictions. On the nanostructured SET Coulomb blockade resonances are observed for suitably tuned in-plane gates. From Coulomb diamond measurements a charging energy of 3.5 meV is extracted. For increasing temperatures we detect an intricate interplay between the temperature dependence of the transmission of the nanostructured graphene barriers and that of the Coulomb blockade resonances.

- 1 C. Stampfer, J. Güttinger, F. Molitor, D. Graf, T. Ihn, and K. Ensslin, APL, in print, arXiv:0709.3799, (2007)

#### 42

##### **Growth of CNTs by the Laser Assisted CVD method**

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We report on the growth of carbon nanotubes (CNTs) with a Laser-Assisted Chemical Vapor Deposition (LA-CVD) method. The basic concept is to use a laser beam to create a hot spot on the substrate surface to promote locally CNTs growth. Our LA-CVD reactor contains two optical systems allowing an independent focusing and positioning of two laser beams with different wavelengths. A CO<sub>2</sub> laser (wavelength of 10.6 micro m) and a laser diode (wavelength of 800 nm) are operated in a cw regime and have maximal power of 10 W and 35 W, respectively. Ethylene is used as a carbon source. Its flow rate, and dilution with Ar are computer controlled. In order to reduce metal oxides, H<sub>2</sub> is added into the gas flow. In this work, we synthesized CNTs on flat substrates composed from Si/Al<sub>2</sub>O<sub>3</sub>/metal catalyst or

Si/SiO<sub>2</sub>/metal catalyst layers. The metal catalyst is deposited by PVD in the form of a 1-2 nm thin layer of Fe or Co. Raman spectroscopy and SEM were used to characterize the growth products. SWCNTs or/and MWCNTs have been obtained as two and three dimensional nonaligned networks as well as vertically oriented CNTs forests.

### 43

#### **Elucidating the Dynamics of Carbon Nanotube Film Growth by In Situ X-Ray Scattering**

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We investigate growth of vertically-aligned carbon nanotube (CNT) forests by *in situ* X-ray scattering, and thereby elucidate the dynamics of catalyst particle coarsening, forest self-organization, temperature- and reactant-driven CNT structure evolution, and growth termination. A custom-built atmospheric-pressure CVD reactor featuring a resistively-heated substrate platform is mounted directly in the synchrotron beamline, enabling real-time grazing incidence (GI-SAXS) and transmission (SAXS, WAXS) scattering studies. Simultaneous laser measurement of the forest height captures the growth kinetics, the heated platform enables rapid temperature changes (100 °C/s) during annealing and growth, and the reactant gas is independently pre-treated to create active carbon species. Starting with a catalyst thin-film of Fe/Al<sub>2</sub>O<sub>3</sub> on Si, we observe rapid coarsening of Fe at temperatures as low as 550 °C. CNT diameter and growth rate are directly proportional to the substrate temperature, and tuning of annealing and growth conditions using SAXS-derived diameter measurements reveals that CNT forests with mean diameters ranging from 4-20 nm can be grown from the same starting catalyst film thickness. Rapid changes of substrate temperature initiate simultaneous growth of bi-modal CNT diameter distributions within forests. Growth self-terminates abruptly, accompanied by a sudden loss of alignment at the CNT-substrate interface; this appears to be a universal chemical and/or mechanical signature in our experiments. Our apparatus and investigation technique offer significant potential to further understand the limiting mechanisms of CNT forest growth, and for rapid tuning of process conditions to engineer application-oriented structural characteristics of nanotubes and nanowires.

### 44

#### **Fluctuation enhanced gas sensing on functionalized carbon nanotube thin films**

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Thin films of functionalized single-wall carbon nanotubes were deposited on silicon chips by drop-coating and inkjet printing. These sensors were subjected to 1-100 ppm NO<sub>x</sub>, CO, H<sub>2</sub>S and H<sub>2</sub>O vapor in synthetic air. We have found relatively small changes in the electrical resistance of the films exposed to different test gases, however chemical classification of these could still be achieved. To this end pattern recognition technique (principal component analysis) was applied on the acquired power spectral density of the stationary resistance fluctuations of the sensors. This phenomenon is called fluctuation enhanced sensing and it can be used to increase the amount of information gathered from a carbon nanotube sensor device.

## 45

### **Electronic structure of a graphene monolayer grown by chemical vapour deposition on Ni(111)**

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We employ chemical vapour deposition of propylene for the growth of high quality graphene monolayers on Ni(111). Inspecting the dispersion of the pi electrons in the supported graphene layer by angle-resolved photoemission, we observe a "kink-like" feature which indicates a strong hybridization between the pi and d states of graphene and nickel, respectively. Upon deposition and gradual intercalation of potassium atoms into the graphene/Ni(111) interface, the kink-like structure becomes less pronounced pointing at a potassium mediated attenuation of the interaction between the graphene and the substrate. This points towards a doping induced transition from a strong hybridization regime to a rigid band shift model. The experimental ARPES spectra of the graphene@potassium@Ni(111) system are in excellent agreement to tight binding calculations.

**46****Integrated 3-Dimensional Microelectromechanical Devices from Processable Carbon Nanotube Wafers**Yuhei Hayamizu<sup>1</sup> Takeo Yamada<sup>1</sup> Kohei Mizuno<sup>1</sup> Robert C. Davis<sup>2</sup> Don N. Futaba<sup>1</sup> Motoo Yumura<sup>1</sup> Kenji Hata<sup>2</sup><sup>1</sup>National Institute of Advanced Industrial Science and Technology, Tsukuba, Japan<sup>2</sup>Department of Physics and Astronomy, Brigham Young University, Provo, UT, USA

We describe the successful controlled self-assembly of carbon nanotubes into closely packed and highly aligned 3-dimensional (3D) films that we denote as CNT wafers. From CNT-wafers, we fabricated a wide range of complex 3D nanotube structures spanning from CNT islands on substrates, suspended sheets and beams linking single or multiple horizontal planes, and 3D cantilevers. These structures, each fabricated from thousands of nanotubes, are few microns in size and can be further integrated into functional 3D nanodevices. Every fabrication step is parallel and scalable, and thus massive nanodevices can be made simultaneously. Our approach opens up a new opportunity to fabricate rational nanodevices with unprecedented structural complexities and functionalities, and represents a giant step towards economical, scalable, and realistic nanodevice systems.

**47****Improving Separation Techniques for Single-Walled Carbon Nanotubes: Towards Monodisperse Samples**Frank Hennrich<sup>1</sup> Sergei Lebedkin<sup>1</sup> Kentaro Sato<sup>2</sup> Riichiro Saito<sup>2</sup> Manfred M. Kappes<sup>1</sup><sup>1</sup>Institut fuer Nanotechnologie, Karlsruhe Institute of Technology, Eggenstein-Leopoldshafen<sup>2</sup>Department of Physics, Tohoku University, Japan

Separation techniques for single walled carbon nanotubes (SWCNTs) have become an important research field within the last few years, mainly for the reason that the synthesis of carbon nanotubes does not allow to grow nanotubes with 100% contribution aims at giving an overview over separation techniques we are currently using for SWNTs. It will discuss (i) how one can achieve separation due to different electronic properties (metallic, semiconducting), length, diameter and chirality via size exclusion chromatography, dielectrophoresis, density gradient centrifugation and selective dispersing, (ii) how those separation methods work for different SWNT materials from different sources (HiPco, Laser ablation, CoMoCat) and (iii) which parameters (pre-treatments, sonication, different surfactants) influence the separation efficiency. In particular evidence will be presented that we have achieved the isolation of only one (n,m)-species. Our most important characterization

tool for SWNT suspensions is photoluminescence mapping. The obtained linewidth extracted for different (n,m)-species from emission spectra at room temperature is broader than the theoretically predicted linewidth. Linewidth broadening can occur due to (i) bundles (tube tube interaction), (ii) solvent/surfactant tube interaction (inhomogeneous broadening), (iii) impurities (defects), (iv) finite length effects and (v) exciton phonon coupling. We have found evidence that for bundle-free SWNTs samples which contain defect-free, long tubes exciton phonon coupling is the major contribution to the linewidth broadening.

## 48

### Ultrafast exciton dynamics in carbon nanotubes

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We discuss recent investigations of several key photophysical properties of Carbon Nanotubes: 1) the decay of the  $E_{22}$  resonance [1] 2) the size and 3) the mobility of  $E_{11}$  excitons in semiconducting SWNTs [2] and 4) the nonlinear optical response of  $M_{11}$  excitons in metallic SWNTs [3]. Some of these investigations have benefited tremendously from recent advances of post synthesis sample preparation techniques [4,5]. Specifically, we find that samples prepared by density gradient ultracentrifugation are free of contaminations from small bundles or ropes of nanotubes which may have affected earlier studies using colloidal nanotube suspensions.

- 1 T. Hertel, V. Perebeinos, J. Crochet, K. Arnold, M. Kappes, and Ph. Avouris, Nano Lett. (in press).
- 2 L. Lüer, S. Hoseinkhani, D. Polli, J. Crochet, T. Hertel, G. Lanzani, (in preparation).
- 3 T. Hertel, Z. Zhu, M.S. Arnold, M.C. Hersam, (in preparation)
- 4 M.S. Arnold, S.I. Stupp and M.C. Hersam, Nano Lett., **5** (2005) 713.
- 5 Crochet, J., M. Clemens, and T. Hertel, J. Am. Chem. Soc., **129** (2007) 8058.

**49****Drift effect on fluctuation enhanced gas sensing**Zoltan Konya<sup>1</sup> Akos Kukovecz<sup>1</sup> Henrik Haspel<sup>1</sup> Imre Kiricsi<sup>1</sup> Radu Ionescu<sup>2</sup> Robert Mingesz<sup>3</sup> Zoltan Gingl<sup>3</sup> Peter Heszler<sup>4</sup> Krisztian Kordás<sup>5</sup> Niina Halonen<sup>5</sup> Geza Toth<sup>5</sup> Jani Maklin<sup>5</sup> Jouko Vahakangas<sup>5</sup> Tero Mustonen<sup>5</sup> Hannu Moilanen<sup>5</sup><sup>1</sup>Dept. of Applied and Environmental Chemistry, University of Szeged, Szeged, Hungary<sup>2</sup>Dept. of Electronics, Electrical and Automatic Engineering, Rovira i Virgili University, Tarragona, Spain<sup>3</sup>Dept. of Experimental Physics, University of Szeged, Szeged, Hungary<sup>4</sup>Dept. of Optics and Quantum Electronics, University of Szeged, Szeged, Hungary<sup>5</sup>Microelectronics and Materials Physics Laboratories, Dept. of Electrical and Information Engineering, University of Oulu, Oulu, Finland

A low-noise electronic system is built and tested for fluctuation enhanced gas sensing (FES). This latter is a new technique and based on the determination of the power spectral density (PSD) of the stationary resistance fluctuations of semiconductor gas sensors. Its use is advantageous for improving the chemical selectivity of the sensors. However, subsequent to an initial fast change, as a sensor is exposed to an analyte gas, a typical drift of the resistance can be observed. This effect hinders evolving stationary conditions and thus acquiring fast measurements when applying FES. Therefore, this drift effect is studied both experimentally and theoretically. PSDs are gathered and simulated numerically with and without drift conditions, the results are compared and lower frequency limits are given for correct use of FES. Functionalized single wall carbon nanotube layers on silicon chips serve as active material for the experimental investigations.

**50****Carrier-carrier interaction in single-wall carbon nanotubes: Theory of non-equilibrium and ultrafast nonlinear optical properties**Stephanie Reich<sup>1</sup> Christian Thomsen<sup>2</sup> Matthias Hirtschulz<sup>3</sup> Frank Milde<sup>3</sup> Ermin Malic<sup>3</sup> Andreas Knorr<sup>3</sup><sup>1</sup>Fachbereich Physik, Freie Universität Berlin, Berlin<sup>2</sup>Institut für Festkörperphysik, Technische Universität Berlin, Berlin<sup>3</sup>Institut für theoretische Physik, Technische Universität Berlin, Berlin

Recent theoretical studies of optical properties of carbon nanotubes (CNTs) are focused on their linear optics including the formation of excitons. Here, we present a carbon nanotube Bloch equation (CNBE) approach in the time domain. The equations reproduce well-known linear excitonic absorption spectra, but extend the theory to non-stationary non-equilibrium situations where carrier-carrier scattering dominates the ultrafast nonlinear response. First, the time dependent Hartree-Fock contributions to the equation of motion for the interband coherence and electron



densities are discussed. We show how our approach predicts band renormalization and excitonic effects. Both contributions lead to a net blue shift of the CNT absorption and reshaping of the optical resonances via exciton formation. Second, we analyze, in a screened Hartree-Fock model, the nonlinear carrier density dynamics and the optical Stark effect of CNTs. We discuss the response of CNTs to an ultrafast pump pulse, treating the non-stationary dynamics of the non-equilibrium CNBEs. Third, we extend the theory beyond the time dependent Hartree-Fock level and take into account electron correlations, in particular electron-electron scattering for highly excited CNTs. The influence of carrier-carrier scattering on nonlinear optical properties of CNTs is discussed.

## 51

### **Interface dynamics of crystalline catalysts during Si nanowire and carbon nanotube CVD**

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Self-assembled nanowires and nanotubes offer the prospect of accurate and scalable device engineering at an atomistic scale. However, deterministic nanotube growth and the control of nanowire dopant profiles and heterostructures are limited by an incomplete understanding of the role of commonly used catalysts and specifically their interface dynamics.

Here, we present lattice-resolution, video-rate in-situ transmission electron microscopy studies of the nucleation and growth of Si nanowires for liquid and solid catalyst systems [1]. In both cases, the Si nuclei are initially smaller than the catalyst particles, before expanding and pushing the catalysts onto the tips of the growing wires. For solid catalyst crystals the dominant, coherent nanowire growth interface is observed directly to advance by the lateral propagation of ledges. We propose that interfacial ledge propagation plays a central role in nanowire self-assembly and compare the dynamic catalyst reshaping to graphene nucleation on stepped transition metal films and carbon nanotube formation [2].

- 1 Hofmann S., Sharma R., Wirth C. T., Cervantes-Sodi F., Ducati C., Kasama T., Dunin-Borkowski R., Drucker J., Bennett P., Robertson J., submitted (2007).
- 2 Hofmann S., Sharma R., Ducati C., Du G., Mattevi C., Cepek C., Cantoro M., Pisana S., Parvez A., Ferrari A. C., Dunin-Borkowski R., Lizzit S., Petaccia L., Goldoni A., Robertson J., *Nano Lett.* 7, 602 (2007).

**52****SILICON CARBIDE NANOWIRES: CHEMICAL CHARACTERIZATION AND MORPHOLOGY**A. Huczko<sup>1</sup> S. Cudzilo<sup>2</sup> K. Polanski<sup>3</sup> W. Olejniczak<sup>3</sup> Z. Klusek<sup>3</sup> P.J. Kowalczyk<sup>3</sup>  
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Silicon carbide nanowires (SiC NWs) have been efficiently obtained via combustion synthesis route from Si/PTFE mixtures. The protocol of isolation and purification of SiC NWs has been elaborated. We present the results of studies of the morphology of these nanostructures. The SEM investigations were carried out to find out the lengths and diameters of the nanowires (20-100 nm dia. and several microns in length). EDX, XPS and AES were used to investigate the chemical composition of the nanowires. From XPS and AES we found out that the SiC nanowires are nitrogen doped. In addition, the ARXPS results showed that outer regions of the nanowires are carbon rich. These results in conjunction with low resistance of the nanowires suggest that nitrogen dopant and the carbon rich surface are responsible for conducting properties of SiC NWs. Acknowledgement. This work was supported by the Ministry of Education and Science through the Department of Chemistry, Warsaw University, under Grant No. 3 T08D 012 28.

**53****Electronic system of graphene probed by Raman spectroscopy**Martin Hulman<sup>1</sup> Miro Haluška<sup>2</sup> Giusy Scalia<sup>3</sup> Dirk Oberfell<sup>3</sup> Siegmur Roth<sup>3</sup><sup>1</sup>Danubia NanoTech, Bratislava, Slovakia<sup>2</sup>Nano and Micro Scale Engineering, TU Eindhoven, Netherlands<sup>3</sup>Max-Planck Institut für Festkörperphysik, Stuttgart, Germany

We present the results of the Raman scattering experiments performed on different single-layer graphene samples. The position and the line width of the Raman-active *G* - band changes as a result of the intentional doping from the environment. From the former, the temperature of the electronic system could be derived. Even for a low laser power, the temperature is significantly higher than the temperature of the sample. On the other hand, the phonon system is not affected and a heating induced down-shift of the *G* - band was not observed. A low-intensity satellite line was observed in addition to the main peak in the *G*-band. Unlikely the latter, the position of the satellite is independent of the carrier concentration. We assigned the line due to intraband electronic transitions predicted theoretically.

**54****Singlet-Triplet Physics and Shell Filling in Carbon Nanotube Double Quantum Dots**Henrik Ingerslev Jørgensen<sup>1</sup> Kasper Grove-Rasmussen<sup>1</sup> Poul Erik Lindelof<sup>1</sup><sup>1</sup>Niels Bohr Institute, Nano-Science Center, Copenhagen University

We have fabricated devices consisting of a nanotube contacted by titanium electrodes, and gated by three narrow local gates. At low temperatures the device behaves as two serially coupled quantum dots, i.e., a double quantum dot, where each quantum dot is tunable by one of the local gates [1-2]. The local gates are fabricated as either top-gates, or as gates underneath the nanotube called trench-gates.

When adding electrons to the nanotube double quantum dot by tuning the local gates we observe that it has a shell structure of either 4 electrons or 8 electrons [2]. We have made magnetic field spectroscopy on one of the 4-electron shells and identified singlet and triplets states. The splitting between the singlet and triplet states, called the exchange energy, is also estimated. Finally, we observe that the singlet and triplet states can mediate inelastic cotunneling.

1 H. I. Jørgensen et. al. Appl. Phys. Lett. 89, 232113 (2006).

2 H. I. Jørgensen et. al. arXiv:0711.3245

**55****Optical properties of individual boron nitride nanotubes**Perine Jaffrennou<sup>1</sup> Julien Barjon<sup>2</sup> Jean Sebastien Laurent<sup>3</sup> Brigitte Attal Tretout<sup>4</sup>  
Francois Ducastelle<sup>1</sup> Annick Loiseau<sup>1</sup><sup>1</sup>Laboratoire d Etude des Microstructures, ONERA, Chatillon, France<sup>2</sup>GEMaC, Universite Versailles St Quentin, Meudon, France<sup>3</sup>LPQM, ENS Cachan, Cachan, France<sup>4</sup>DMPH, ONERA, Palaiseau, France

Boron nitride nanotubes (BNNT), which were discovered in 1995, are composed of rolled up hexagonal boron nitride (hBN) sheets. According to calculations, they are, as their related bulk material, hBN [1], wide band gap semiconductors and are expected to strongly emit in the UV range [2, 3]. On contrary to carbon nanotubes, it is predicted that their electronic and optical properties should not depend too much on their diameter and helicity. In addition, recent theoretical calculations show that, as it has been experimentally demonstrated for hBN [4, 5], strong excitonic effects should occur in BNNT, with localized excitons of very large binding energy (quasi-Frenkel excitons) [2, 3]. Such effects have not been experimentally demonstrated yet, especially because of a lack of pure BNNT samples.

In our study, cathodoluminescence spectroscopy and imaging on individual BNNT have been undertaken and are compared to photoluminescence and photoluminescence excitation experiments on BNNT macroscopic samples [6, 7]. The results

confirm that BNNT are strongly UV luminescent materials and that light is emitted all along the nanotube. In order to understand the origin of this UV emission, the spectra are compared to those obtained for the bulk material, hBN. The optical signal of BNNT is composed of two emission bands located in the range of 3 to 6 eV. The far UV luminescence band observed at about 5.3 eV is attributed to excitonic recombinations and the 3.8 eV luminescence band is related to impurity centers.

- 1 B. Arnaud et al., Phys. Rev. Lett. 96, 026402 (2006).
- 2 C.-H. Park et al., Phys. Rev. Lett. 96, 126105 (2006).
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- 4 K. Watanabe et al., Nat. Mat. 3, 404 (2004).
- 5 P. Jaffrennou et al., J. Appl. Phys. 112, 116102 (2007).
- 6 P. Jaffrennou et al., Chem. Phys. Lett. 442, 372 (2007).
- 7 P. Jaffrennou et al., phys. stat. sol. (b) 244, 4147 (2007).

## 56

### **Photoluminescence spectroscopy and atomic force microscopy of individual SWNTs**

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Photoluminescence microscopy (PL) and atomic force microscopy (AFM) are used to examine individual SWNTs supported on sapphire. The nanotubes can be reproducibly relocated by markers, prepared by electron beam lithography. PL allows the examination of the electronic properties of semiconducting SWNTs. High resolution AFM images provide information about the tube diameter, and allow to distinguish between individual SWNTs and bundles or assemblies. The combination of both experiments results in information about the electronic properties, which do not only depend on the chiral indices (n,m), but also on the local tube environment, and interactions with adjacent tubes. We present a statistics of individual SWNTs and bundles.

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**The two-peaks Gprime band in carbon nanotubes**Ado Jorio<sup>1</sup> Indhira O. Maciel<sup>1</sup> Marcos A. Pimenta<sup>1</sup> Humberto Terrones<sup>2</sup> Maruricio Terrones<sup>2</sup> Apparao M. Rao<sup>3</sup><sup>1</sup>Departamento de Física, Universidade Federal de Minas Gerais, Belo Horizonte<sup>2</sup>IPICYT, San Luis Potosí, MEXICO<sup>3</sup>Clemson University, Clemson, USA

In this work we study the effect of defects in the electronic structure of carbon nanotubes using Raman spectroscopy. We study carbon nanotubes grown by laser ablation and chemical vapor deposition (CVD), the last ones known to be more defective. We also study laser ablation and CVD grown doped tubes using B, N and P in the precursor materials. The lower frequency peak of the doublet G' band comes from the defective areas, as shown by near-field Raman and near-field photoluminescence experiments. From its frequency dependence on the excitation laser energy, and from the dependence on p vs n doping, we propose the new feature is shifted from the pristine G' due to renormalization of the electron energy.

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**MEMS-enabled Raman thermal imaging of suspended individual SWNTs**Alain Jungen<sup>1</sup> Julius Gauckler<sup>1</sup> Christoph Stampfer<sup>2</sup> Christofer Hierold<sup>1</sup><sup>1</sup>Micro and Nanosystems, ETH Zurich<sup>2</sup>Solid State Physics Laboratory, ETH Zurich

Microelectromechanical systems (MEMS) have been used as a particular placeholder for individual single-walled carbon nanotubes (SWNTs) for the study of their properties [1]. Besides presenting an overview of enabling nanoscience through MEMS, we present spatially resolved Raman studies of individual SWNTs. Samples were comprised of microheaters with integrated and suspended SWNTs. We found the phonon frequency to depend on temperature by  $d\omega/dT = -0.0305 \text{ cm}^{-1}/\text{K}$ , measured at the G-line  $\Gamma$ -phonon. The highest measured *local* (spotsize of approx. 400 nm) temperature in a SWNT was about 1000 K. Further studies emphasize the effect of temperature on the energy shift of the optical transition energies. By performing spatially resolved line cuts across the tubes, we found a strong off-resonance effect [2] resulting in reduced Raman intensity due to transition energy shifts.

1 A. Jungen, S. Hofmann, J. C. Meyer, C. Stampfer, J. Robertson, S. Roth, and C. Hierold, *J. Micromech. Microeng.*, 17 (2007), 603-608.

2 A. Jungen, V. N. Popov, C. Stampfer, L. Durrer, S. Stoll, and C. Hierold, *Phys. Rev. B*, 75 (2007), 041405(R).

**59****Doped and irradiated SWNT networks: what is the origin of the correlation between conductivity and Raman peak frequencies?**Alan B. Kaiser<sup>1</sup> Viera Skakalova<sup>2</sup><sup>1</sup>MacDiarmid Institute for Advanced Materials and Nanotechnology, Victoria University of Wellington, Wellington<sup>2</sup>Max Planck Institute for Solid State Research, Heisenbergstr. 1, 70569 Stuttgart, Germany

Despite the different origins of the G, D and D\* Raman modes, there is a remarkable correlation between their frequency shifts and changes in the conductivity and Young modulus of freestanding single-wall carbon nanotube (SWNT) networks. On ion irradiation, all these properties show similar peaks as a function of irradiation dose. On acceptor doping that increases conductivity, increases are also observed in the Raman mode frequencies that are closely analogous to the irradiation effects. We discuss the most likely reasons for the correlations between changes in these properties, including a discussion of the conduction mechanisms involved [1] and the effect of thermal annealing due to irradiation on conductivity[2].

1 A.B. Kaiser, V. Skakalova and S. Roth, *Physica E*, to appear (2008).

2 V. Skakalova, A.B. Kaiser, Z. Osvath, G. Vertesy, L.P. Biro and S. Roth, *Applied Physics A*, to appear (2008).

**60****The in-situ Raman spectroelectrochemistry of individual SWCNTs**Martin Kalbac<sup>1</sup> Ladislav Kavan<sup>1</sup> Hootan Farhat<sup>2</sup> Jing Kong<sup>2</sup> Mildred S. Dresselhaus<sup>2</sup><sup>1</sup>J. Heyrovsky Institute of Physical Chemistry, ASCR, Dolejskova 3, CZ-18223 Prague 8, Czech Republic. Tel: 420 2 6605 3804; Fax: 420 2 8658 2307;<sup>2</sup>Department of Electrical Engineering and Computer Science, MIT, Cambridge, Massachusetts 02139, USA

The probing of the sensitivity of carbon nanostructures to electrochemical doping gives important information on the structure of these materials. In situ Raman spectroelectrochemistry is a well established method to investigate the behavior of single wall carbon nanotubes (SWCNTs) during doping. We have shown previously that electrochemical doping has a strong impact on the development of the Raman spectra of SWCNT bundles. However the interpretation of these results on bundle samples was extremely difficult. Here we show results on electrochemical doping of individual SWCNTs. We have been able to follow precisely the development of the Raman modes of particular SWCNTs, which significantly simplifies the analysis of the measured data. In general, we have found that the results obtained on individual

SWCNTs are in agreement with those measured on SWCNT bundles. However the magnitude of the effects seems to be specific for SWCNTs with particular (n,m). In extreme cases even opposite trends in development of nanotube modes during the electrochemical charging can be observed. These effects can not be obviously found on SWCNT bundles since such effects are averaged out in summing over the ensemble of tubes and new effects arising from the interaction between tubes must also be considered.

## 61

### **Selective adhesion of osteoblasts on differently patterned nanocrystalline diamonds**

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The compatibility of engineered surfaces with tissues, cells and biological molecules is crucial in development of medical diagnostics, biosensors, drug delivery systems, etc. Nanocrystalline diamond (NCD) films represent novel materials, which have superior electro-optical and mechanical properties for such devices (wide band gap up to far UV, 2D surface conductivity, high resistance, stability and wear resistance) and are amendable for selective surface termination, which may ensure optimal conditions for bio-molecules and cell attachment and behavior. In this work, we studied adhesion, growth and viability of human osteoblastic cells on NCD films with the aim to define optimal semiconductor surfaces for novel thin-film biosensor devices. Human osteoblasts (SAOS-2) were cultured on differently patterned and terminated NCD films with alternating H- and O-terminated stripes of different width (30, 100, 200 micrometers) and different patterns like triangles, squares or circles. Cells were seeded at different densities and fluorescently visualized at different time points. We found that cell attachment is guided by the hydrophobic/hydrophilic structures with preferential primary adhesion on O-terminated surfaces. Our observations suggest that the cell adhesion is selectively controlled on thin film electronic device surfaces, through the patterning of hydrophobic/hydrophilic surface coatings.

## 62

### **Effect of band structure on field emission**

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The field emission of crystalline AAA graphite is studied within a simple analy-

tical approach with account of the dispersion relation near the Fermi level. The emission current is calculated for two crystal orientations with respect to the applied electric field. It is found that the exponent of the Fowler-Nordheim equation remains the same while the preexponential factor is markedly modified. For both field directions, the linear field dependence is found in weak fields and the standard quadratic Fowler-Nordheim behavior takes place in strong fields. A strong dependence of the emission current from the interlayer distance is observed. As an illustration of the method the known case of a single-walled carbon nanotube is considered.

### 63

#### **Novel organometallic surfactant for solubilization of single walled carbon nanotubes: Application for Li-ion batteries**

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The amphiphilic Ru-bipyridine complex NaRu(4-carboxylic acid-4\*-carboxylate-2,2\*-bipyridine)(4,4\*-dinonyl-2,2\*-bipyridine)(NCS)<sub>2</sub> can be used as a surfactant for solubilization of single walled carbon nanotubes (SWNTs). The Ru-complex represents a redox functionality of 3.5 V vs. Li/Li<sup>+</sup> anchored to SWNT. Electrodes fabricated from the Ru-complex/SWNT/LiFePO<sub>4</sub>(olivine) composite exhibited greatly enhanced activity for electrochemical Li extraction/insertion compared to the performance of electrodes made from LiFePO<sub>4</sub> derivatized either by adsorption of sole Ru-bipyridine complex or by carbon nanotubes dispersed with the redox inactive pyrene butanoic acid. This so called nanotube wiring effect is based on a synergic action of adsorbed redox-active molecule, which provides the interfacial charge transfer to the olivine surface and the SWNT, which transports charge to longer distances in the composite. The paper will review our initial study of the effect [1], and it will also summarize new results from recent studies of SWNTs of varying diameters. The narrow CVD-grown carbon tubes (CoMoCat) enhance the wiring effect similarly to wider laser-grown tubes, but, interestingly, the narrow tubes improve the activity for anodic wiring more than the laser-grown tubes, and vice versa for cathodic wiring.

1 L. Kavan I. Exnar, J. Cech, M. Graetzel, Chem.Mater. 2007, 19, 4716.



**64****Photoluminescence Excitation Spectroscopy on individual SWNTs: suspended, on a surface and under strain**

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Photoluminescence-excitation (PLE) spectroscopy was applied to ultra-long individual single-walled carbon nanotubes (SWNTs), directly CVD-grown on Si/SiO<sub>2</sub> substrates. Due to etched trenches in the substrate, we are able to detect changes in PL (relative quantum yield, shifts in optical transition energies E<sub>11</sub> and E<sub>22</sub>, FWHM of E<sub>11</sub> emission and E<sub>22</sub> excitation) between suspended and unsuspended parts of individual SWNTs and up to 600 micrometer along a nanotube using PLE spectroscopy with a home-built PL microscope.

We also applied uniaxial and torsional strain to individual SWNTs on the surface via AFM manipulation. E<sub>11</sub>/E<sub>22</sub> variations due to uniaxial strain follow the well-known family pattern related to  $q = (n-m) \bmod 3$  whereas for torsional strain E<sub>11</sub> and E<sub>22</sub> increase for both  $q = 1$  and  $-1$ . The propagation range of uniaxial strain is approx. 100 microns and hence a lot larger than torsional strain with approx. 10 microns, in agreement with the results of Liu and Kong et al.[1, 2] However, we find an abrupt return to the unstrained SWNT as opposed to a continuous relaxation found by Liu and Kong et al.

Apart from shifts in E<sub>11</sub> and E<sub>22</sub> we also present variations in relative quantum yield and FWHM along strained SWNTs.

1 Xiaojie Duan et al, Nano Letters **7**, 2116 (2007).

2 Bo Gao et al, Nano Letters **7**, 750, (2007).

**65****Raman spectroscopy of isolated double walled carbon nanotubes**

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We have studied isolated double walled carbon nanotube(DWCNT) by Raman spectroscopy. After isolation procedure, clustered lines of radial breathing mode(RBM)

disappeared. Instead, a sharp RBM peak emerged. The peak frequency changed with different outer tube diameters. The unusual behaviour of the G band was explained by the resonance enhancement of the outer tubes.

**66****FIELD EMISSION from SINGLE-WALL CARBON NANOTUBES SYNTHESIZED from C:BN MIXTURES**

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Theoretical study of C:BN heterophase nanotubes predicts a possibility to change their bandgap depending on the amount of BN inclusions into the carbon nanotube walls. Such materials with a controllable bandgap are demanded for many applications (vacuum electronics, laser physics etc.) We used arc-discharge technique to embed hexagonal boron nitride (h-BN) into single-wall carbon nanotubes (CSWNTs). We started from the optimal synthesis conditions of CSWNTs and then partially (up to 50%) replaced the graphite fraction by h-BN powder in the anode filling. According to the Raman data single-wall nanotubes were synthesized in all cases. We report here the study of field emission properties of the materials obtained. Experiments were held in a vacuum diode system consisting of a cathode formed from a raw soot and a plane anode mounted in a vacuum chamber. Current-voltage characteristics of our samples have shown the emission threshold field lowering with the BN percentage increasing. A slope of the Fowler-Nordheim dependence has been found to increase proportionally to BN concentration. Besides for the samples grown from C:BN mixtures the Fowler-Nordheim plots demonstrated a non-linear behavior at high fields. These results may be interpreted as an indirect evidence of the BN embedding into the carbon nanotube walls. The work is supported by FP6, INTAS and RAS projects.

**67****Phonon dispersion of small diameter semiconducting chiral carbon nanotubes - a theoretical study**

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Significant changes in the phonon dispersion of single walled carbon nanotubes with decreasing diameter have been observed experimentally. We present first principles calculations on the phonon dispersion of a large number of small diameter semiconducting chiral single walled carbon nanotubes. The use of density functional

theory for unit cells containing hundreds of atoms was only possible because the helical symmetry was taken into account explicitly in our calculations. The results can be useful for interpretation of experiments, especially on CoMoCat tubes, but also on HiPCo tubes and the inner walls of double walled carbon nanotubes. The phonon dispersion of several larger diameter tubes have also been calculated for comparison. A clear phonon softening with decreasing diameter can be observed.

**68****TEM imaging of organic molecules in motion in/on CNT**Masanori Koshino<sup>1</sup> Kazutomo Suenaga<sup>2</sup> Eiichi Nakamura<sup>1</sup><sup>1</sup>ERATO, JST, Hongo, Bunkyo-ku, Tokyo 113-0033, Japan<sup>2</sup>Research Centre for Advanced Carbon Materials, National Institute of Advanced Industrial Science and Technology (AIST), Higashi, Tsukuba, Ibaraki 305-8565, Japan

We report herein the observation of a single small organic molecule either at rest or in motion interacting with a single wall carbon nanotube (CNT), with near-atomic resolution. Hydrocarbon chains, aromatic rings, amide bond, and other fundamental groups in biology connected with a carborane or  $C_{60}$  fullerene tags are visualized during their movements by transmission electron microscopy (TEM), despite the assumed high sensitivity of organic molecules to damage by electron impact, which has discouraged previous explorations of the imaging. The effects of external temperature, electron irradiation, inside or outside of CNT on the observations are discussed based on the TEM observations of acceleration voltage of 120 kV at 293 K and 4K.

**69****Linear plasmon dispersion in single wall carbon and the excitation spectrum of graphene**Christian Kramberger<sup>1</sup> Thomas Pichler<sup>1</sup> Ralf Hambach<sup>2</sup> Lucia Reinning<sup>2</sup> Erik Einarsson<sup>3</sup> Shigeo Maruyama<sup>3</sup><sup>1</sup>IFW Dresden, Germany<sup>2</sup>Ecole Polytechnique, Palaiseau, France<sup>3</sup>Univ. Tokyo, Dept Mech Engn, Japan

We have measured a strictly linear  $\pi$  plasmon dispersion along the axis of individualized single wall carbon nanotubes, which is completely different from plasmon dispersions of graphite or bundled single wall carbon nanotubes. Comparative *ab initio* studies on graphene based systems allow us to reproduce the different dispersions. This suggests that individualized nanotubes provide viable experimental access to the fundamental electronic excitations of graphene, and it validates the use of graphene to understand electronic excitations of carbon nanotubes. In particular, the calculations reveal that depolarization effects cause a mixing of electronic

transitions, including the ‘Dirac cone’, resulting in the observed linear dispersion.

## 70

### **Ion energy influence on the carbon nanostructuring in C:Ni nanocomposite films**

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Nanocomposites are heterogeneous materials wherein the lateral extension of at least one component is lower than 100 nm.[1] They are advanced functional materials whose structural, electronic, and mechanical properties cannot be predicted from the properties of their constituents alone. This is partially due to the presence of interfaces, which occupy a considerable volume fraction of the nanocomposites. Nanocomposites, comprised of metallic nano-particles embedded in a carbon matrix have recently attracted considerable attention,[2]-[4] due to their promising mechanical, optical and magnetic properties. Despite many studies, the mechanisms responsible for the nanostructuring of both constituents are not well understood.

In this study, the influence of the energy of the depositing species in the C:Ni system is investigated. This is achieved by the comparison of the C:Ni film structures grown by ion beam sputtering (IBS) and pulsed filtered cathodic vacuum arc (PFCVA) deposition. The films studied were deposited with substrate temperature in the range (RT-500C) and with Ni contents of 8 at%, 15 at%, and 30 at%. The main difference between the two growth methods is the energy distribution of depositing species which is 1-10 eV for IBS and 100 eV PFCVA. The higher ion energy allows the synthesis of hydrogen free tetrahedral amorphous carbon (ta-C) in Ni-free films. Structural properties of the films were analysed by XRD and wavelength dependent Raman spectroscopy. The structures observed for nickel were correlated with the growth temperature and the nickel concentration and included a low-crystalline, a hexagonal and a fcc phase. Independent of its crystalline structure, Ni catalyses the formation and the long-range ordering of 6-fold aromatic ring structures in the carbon phase. This effect was observed in films deposited by both methods. The higher energy in PFCVA appears to counteract the ordering process, as indicated by the lower I(D)/ I(G) Raman line intensity ratio and by the smaller splitting of carbon D- and G-line compared to IBS grown C:Ni thin films. The higher incoming energy flux also enhanced the formation of new carbon structures with Raman spectroscopic features at 550 and 1100 cm<sup>-1</sup> both of which showing a resonance enhancement for visible laser excitation.

1 P. M. Ajayan, L.S. Schadler, P.V. Braun, Nanocomposites Science and Technology, Wiley, (2005).

2 T. Hayashi et al., Nature 381, 772-774 (1996).

3 C. Guerretpiecourt et al., Nature 372, 761-765 (1994).

4 M. Krause et al., Phys. Stat. Sol. (B), 244, 4236-4239 (2007); G. Abrasonis et al., Carbon, 45, 2995-3006 (2007).

## 71

### **Low impedance multi-wall carbon nanotube films made suitable for temperature and pressure measurement by localized charge injection**

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Self-supporting mats of multi-wall carbon nanotubes (MWCNT buckypaper) are an interesting and versatile nanotube application platform. In the course of our explorations in this field we prepared MWCNT buckypapers with a surface density of 5.6 mg/cm<sup>2</sup> and modified their surface with pyroelectric LiNbO<sub>3</sub> and piezoelectric KNbO<sub>3</sub> crystals. The temperature and pressure sensitivity of the prepared devices was larger than that of the undoped buckypapers. A possible explanation for this phenomenon is that the dopant crystals act as localized charge injection points influencing the conduction paths in the buckypaper. We believe that the described method opens a new path for buckypaper functionalization.

## 72

### **Infrared microreflectance study of magnetically aligned single-wall carbon nanotubes under pressure**

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We report on the pressure-dependent optical response of single-wall carbon nanotube networks (buckypapers) aligned in a magnetic field. The degree of orientation of the tubes in the buckypaper was about 70%. By measuring the polarization-dependent microreflectance spectra from the far-infrared up to the visible frequency range we wanted to find out about how the anisotropy of the buckypaper changes under pressure. Furthermore, our goal was to find signatures of a pressure-induced

structural phase transition and to study the effect of intertube interaction on the optical response.

The shift of the electronic excitations with pressure shows an anomaly at around 2.7 GPa which we attribute to a structural phase transition. This transition pressure is higher compared to earlier studies on various carbon nanotube materials. Furthermore, the anisotropy in the optical response is preserved up to the highest measured pressure (7 GPa), suggesting that the influence of intertube interaction on the transport properties is small.

*Supported by the DFG. Provision of beamtime at the ANKA Angströmquelle Karlsruhe is acknowledged.*

### 73

#### **Conductive, capacitive and visco-elastic properties of a new composite of the C<sub>60</sub>-Pd conducting polymer and single-wall carbon nanotubes**

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A thin film of a new composite consisting of the redox conductive C<sub>60</sub>-Pd polymer and single-wall carbon nanotubes non-covalently functionalized with 1-pyrenebutiric acid, (pyr-SWCNTs), was electrochemically prepared in acetonitrile:toluene (1:4, v:v) or o-dichlorobenzene containing C<sub>60</sub>, pyr-SWCNTs, and Pd acetate under multi-scan cyclic voltammetry (CV) conditions. A 0.1 M (TBA)ClO<sub>4</sub> or (TBA)PF<sub>6</sub> solution was used as the supporting electrolyte. Conductive, capacitive and visco-elastic properties of the composite were examined by CV and electrochemical impedance spectroscopy (EIS). The observed Raman peaks, characteristic for both pyr-SWCNTs (radial breathing modes, RBM) and C<sub>60</sub>-Pd, confirmed incorporation of pyr-SWCNTs into the film. The RBM peaks for the pyr-SWCNTs film were deconvoluted in the spectrum of the composite presumably due to diverse aggregation of pyr-SWCNTs. Mass of the deposited composite film was measured in situ by piezoelectric microgravimetry with the use of an electrochemical quartz crystal microbalance. A quartz crystal resonator with its electrode coated by either the C<sub>60</sub>-Pd or composite film was transferred to a blank supporting electrolyte solution and the current, resonance frequency changes, and dynamic resistance changes vs. potential were simultaneously recorded in different potential ranges. Both films revealed electrochemical activity at potentials more negative than ca. -0.7 V. Both cathodic and anodic currents for the composite film were almost twice as high as those for the C<sub>60</sub>-Pd films. The presence of pyr-SWCNTs in the film resulted in its higher capacitance. For potentials exceeding -1.2 V, both changes of resonant frequency and dynamic resistance, recorded during the negative potential excursions,

on, were three times smaller for the composite film than those for the C<sub>60</sub>-Pd film indicating that the former film is more rigid. Redox conductivity of the composite film ( $14.8 \times 10^{-5}$  S) was higher than that of the C<sub>60</sub>-Pd film ( $9.2 \times 10^{-5}$  S). The EIS measurements for different negative potentials revealed capacitive nature of the C<sub>60</sub>-Pd film and both conductive and capacitive nature of the composite film.

## 74

### Tunable electromagnetic response in onion-like carbon based materials

Vladimir L. Kuznetsov<sup>1</sup> Sergey I. Moseenkov<sup>1</sup> Olga V. Ruhavets<sup>2</sup> Aleksander V. Gusinski<sup>2</sup> Arcadii V. Ischenko<sup>1</sup> Polina P. Kuzhir<sup>3</sup> Sergey A. Maksimenko<sup>3</sup> Olga A. Shenderova<sup>4</sup> Anatoly I. Romanenko<sup>5</sup> Timofey I. Buryakov<sup>5</sup> Olga B. Anikeeva<sup>5</sup>

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Nanocarbons have potential applications as electromagnetic radiation absorbing and shielding materials. The first results of the microwave (MW) characterization in S-, X- and Ka-bands of onion-like carbon powders (OLC) and OLC-polymer films presented in [1-4] demonstrate high attenuation of a variety of nanocarbon materials over the wide MW frequency range. Here we present the results on the electromagnetic response of OLC of variable composition in Ka-band range (26-37 GHz) combined with measurements of temperature dependence of conductivity.

Controllable graphitization in vacuum of the explosive nanodiamond (ND, d 4-5 nm) within the temperature range 1200-1900 K allows to produce the diamond-nanographite composites. As annealing temperature is increased the ratio between diamond core and outer defective curved graphitic shells (sp<sup>2</sup>-sp<sup>3</sup> nanocomposites) decreases, finally resulting in OLC structure. Due to the aggregation of primary ND its annealing products (OLC particles) are organized into aggregates with joint defective graphene shells covering several primary OLC cores. The observed one dimensional variable range hopping conductivity of OLC powders (measured at 4-300 K) combined with HR TEM structural analysis was attributed to the formation of continuous ribbon-like defective graphene. The increase of ND annealing temperature results in the increase of density of states of conductive electrons and corresponding increase of conductivity of OLC produced. It was found that conductivity data correlates with EM response of OLC in 26-37.5 GHz range. So the increase of conductivity of OLC results in the increase of EM wave attenuation ability because of growing reflectance ability. Thus the variation of the composition of OLC allows producing materials with controllable EM response.

Acknowledgements: The work was partially supported by the NATO Science for Peace program (grant Sfp-981051), INTAS (grant 06-100013-9225), the State Committee for Science and Technology of Belarus and INTAS (grants 03-50-4409), the

the Belarus Foundation for Basic Research (grant F06R-091), the Russian Foundation for Basic Research (06-03-81038).

- 1 S.A. Maksimenko et al., *Diamond and Related Materials* (2007) v.16, 1231.
- 2 S.A. Maksimenko et al., *Proc. of Metamaterials 2007*, Rome, Oct. 2007, pp 903.
- 3 O.Shenderova et al., *Diamond and Related Materials* (2007).
- 4 J.Macutkevic et.al., *Diamond and Related Materials* (Ref. No.: DIAM2007 0157).

## 75

### **Theoretical study of the electronic band structure and the totally symmetric vibrations of selected CoMoCat carbon nanotubes**

Jenő Kürti<sup>1</sup> János Koltai<sup>1</sup> Viktor Zólyomi<sup>2</sup>

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<sup>2</sup>Research Institute for Solid State Physics and Optics of the Hungarian Academy of Sciences, Budapest

In situ Raman spectroelectrochemical studies of CoMoCat single-walled carbon nanotubes enriched in (6,5) tubes have been carried out recently. We performed calculations on the density functional theory level using local density approximation for the electronic and vibronic properties of the most abundant tubes in the samples. The following chiral semiconducting tubes were investigated: (6,4), (7,3), (6,5), (9,1), (8,3) and (7,5). The calculated and the measured frequencies of the RBM and  $G^-$  modes agree within several wave numbers. The calculated  $E_{11}$ ,  $E_{22}$  transition energies -after 30% upscaling- are comparable with the experimental values. The quenching of the RBM band with p- and n-doping can be interpreted within the rigid band approximation. The validity of the rigid band approximation was shown by calculating the density of states for neutral and charged tubes.

## 76

### **Electronic structure of hexagonal and non-hexagonal carbon surfaces**

Istvan Laszlo

Institute of Physics, Budapest University of Technology and Economics, Budapest

We call a carbon structure carbon surface if the carbon atoms can be imagined being on a 2-dimensional surface. Thus the simplest carbon surface is the graphene sheet. The fullerenes, nanotubes, nanotube junctions and networks of nanotubes are curved graphene sheets. The electronic properties of carbon nanotubes are usually obtained with the help of the zone folding method, which is based on the graphene electronic structure. The rolling up of the graphene changes, however, the angles



and distances between the carbon atoms in the hexagonal network and in a more sophisticated calculation curvature effects and the corresponding hybridizations must be taken into account. The electronic structure is modified also by possible non hexagonal polygons in the hexagonal network. Here we shall present a simple one orbital one site Hamiltonian for the study of the electronic structure of curved surfaces. The hybridization and the effect of the non-hexagonal polygons is included in the parametrization of the method.

**77****Phase Coherent Transport in Graphene Devices**

Chun Ning (Jeanie) Lau

University of California, Riverside, riverside

We experimentally investigate electronic transport in graphene devices at low temperatures, including supercurrent in graphene devices and quantum hall effect in graphene p-n junctions.

**78****Atomic and electronic structure of few layer graphene on SiC(0001) studied with scanning tunneling microscopy and spectroscopy**Peter Lauffer<sup>1</sup> Konstantin V. Emtsev<sup>1</sup> Ralf Graupner<sup>1</sup> Thomas Seyller<sup>1</sup> Lothar Ley<sup>1</sup><sup>1</sup>Technische Physik, Universität Erlangen, Deutschland

Epitaxial growth of graphene on SiC surfaces by solid state graphitization is a promising route for future development of graphene based electronics. In the present work we study the morphology, atomic scale structure, and electronic structure of thin films of few-layer graphene (FLG) on SiC(0001) by scanning tunneling microscopy (STM) and spectroscopy (STS). First we discuss how a quantitative evaluation of the roughness induced by the SiC interface layer can serve as a means to determine the numbers of graphene layers. STS spectra of 2, 3, and 4 ML thick FLG films exhibit characteristic structures that are interpreted in terms of a simple tight binding model [1] which includes a layer dependent potential that is induced by charge transfer from the SiC substrate. Spatially resolved STS measurements on bilayer graphene indicate a local variation of the charge distribution. This is of obvious importance for transport properties and for the question of a substrate induced band gap opening. In addition, we investigate adsorbed PTCDA, a promising organic semiconductor candidate, on FLG surfaces of different thicknesses at liquid helium temperatures. The arrangement of these molecules on graphene is studied and the electronic interaction with the underlying FLG substrate is investigated by tunnelling spectroscopy.

1 Ohta et al., PRL 98, 206802 (2007)

**79****Single-beam pumped Coherent anti-Stokes Raman Scattering on carbon nanotubes**Serge LEFRANT<sup>1</sup> Jean-Pierre BUISSON<sup>1</sup> Jean-Yves MEVELLEC<sup>1</sup> Ioan BALTOG<sup>2</sup> Mihaela BAIBARAC<sup>2</sup><sup>1</sup>Institut des matériaux Jean Rouxel, University of Nantes, Nantes, France<sup>2</sup>National Institute of Materials Physics, Bucharest, Romania

Giant optical fields locally generated in nanoscale metal structures through the local excitation of surface plasmons underlie a variety of nonlinear optical processes. Using silver and gold rough metallic films, reproducible enhancements of the Raman signal on various materials including carbon nanotubes have been demonstrated. In addition, an abnormal anti-Stokes Raman emission, reminiscent of Coherent anti-Stokes Raman Scattering (CARS), is accurately observed on nanometric thin films. We demonstrate that under a tight focusing of the excitation light, a CARS emission, resulting from a wave mixing process between the incident laser light and Stokes Raman light generated by a SERS mechanism, is produced. The results which corroborate the CARS emission are the following: a square relationship between the CARS signal intensity and the film thickness; a square relationship between the CARS signal intensity and the exciting laser intensity; a dependence of the CARS intensity on the numerical aperture (NA) of the microscope objective used for the detection of the anti-Stokes signal; a polarization ratio in the anti-Stokes side always greater in comparison with that measured for an usual Stokes Raman signal. We demonstrate also that this mechanism may contribute to a clear identification of the state of the observed nanotubes, i.e. in isolated or bundled forms.

**80****INFLUENCE OF EXTRUSION CONDITIONS ON THE IN-LINE MEASURED ELECTRICAL CONDUCTIVITY OF POLYMER-MULTIWALLED CARBON NANOTUBE COMPOSITES**Dirk Lellinger<sup>1</sup> Martin Engel<sup>1</sup> Ingo Alig<sup>1</sup> Petra Pötschke<sup>2</sup><sup>1</sup>Deutsches Kunststoff-Institut, Darmstadt<sup>2</sup>Leibniz-Institut für Polymerforschung Dresden e.V.

In recent years, the applications of polymer - carbon nanotube (CNT) composites have grown rapidly. Although, first polymer-CNT composites are commercially available, a major restraint in market acceptance is the wide variation in electrical conductivity as a function of the processing conditions. In order to study the influence of extrusion conditions on electrical properties experiments have been performed in a slit die flanged to the outlet of a twin screw extruder. The measurement slit die contains two electrodes in plate-plate geometry. AC conductivity and the related complex permittivity were measured in the frequency range bet-

ween 20 Hz and 1 MHz for different extrusion conditions (melt temperature, screw speed, throughput etc.) and after stopping the extruder. The investigations have been performed during melt processing of polycarbonate (PC) and polyamid-6 (PA6) containing 0.5 - 2 wt% and 0.7 - 2.7 wt% of multi-walled carbon nanotubes (MWNT), respectively, for different processing temperatures. During extrusion the conductivity in all samples was found to be comparable to that of the polymer matrix. After the extruder was stopped (model experiment for injection moulding) the conductivity shows a tremendous increase with time (conductivity recovery after shearing). This process was more pronounced for samples with CNT content close to the percolation threshold. The finding can be explained by the reorganization of the conducting network-like filler structure which was (at least partially) destroyed under shear. The reformation kinetics of filler clusters is due to a cooperative aggregation, which is related to the kinetics of cluster-cluster aggregation in a percolating system.

## 81

### **Sulfonated Poly(ether ether ketone) Chains Grafted on Carbon Nanotubes Surface**

Vincent Leon<sup>1</sup> Moulay-Rachid Babaa<sup>2</sup> Jean-Louis Bantignies<sup>1</sup>

<sup>1</sup>LCVN, Université Montpellier II, Montpellier, France

<sup>2</sup>CEA-Saclay, France

Among many extraordinary properties, CNTs have a very high Young's modulus, generally reported with a value of 1 TPa, making this kind of material even stronger than diamond and carbon fiber. Moreover their very light weight makes them first choice materials for mechanical reinforcement of polymer matrices. Most of previous studies using CNTs deal with so-called physical mixing, meaning that there is no strong link between polymer and nanotube surface, resulting in a relatively poor enhancement of the composite Young's modulus. In our study, we first investigated the functionalization of multi-walled carbon nanotubes (MWNTs) surface by sulfonated poly(ether ether ketone) SPEEK chains using a direct attachment reaction, PEEK being known as a very promising polymer especially in aerospace field for its particularly high glass transition and melting temperature, compared with most of polymer material. MWNTs were oxidized by a nitric acid treatment to generate carboxyl groups on their surface, which then react with sulfonated groups of SPEEK using hexane diamine as an interlinking molecule. Evidence of covalent functionalization of MWNTs by SPEEK macromolecules was given by near-edge X-ray absorption fine structure spectroscopy (NEXAFS) at the C K-edge, O K-edge, and N K-edge and X-ray photoelectron spectroscopy (XPS). Mechanical properties of the MWNT-SPEEK composite material were then tested to quantify the enhancement of Young's modulus.

**82****In-situ Observation on Raman Spectra and Transport Properties of Isolated Single-Wall Carbon Nanotubes**Chen-Wei Liang<sup>1</sup> Wei-Yang Lee<sup>2</sup> Siegmar Roth<sup>1</sup> Cheun-Horng Tsai<sup>2</sup><sup>1</sup>Max-Planck-Institute for Solid State Research, Stuttgart, Germany<sup>2</sup>Department of Engineering and System Science, National Tsing Hua University, HsingChu, Taiwan

Electrons, when transported in single-wall carbon nanotubes (SWNTs), are scattered by phonons due to electron-phonon coupling (EPC). Such scattering is dominated by different modes of phonons, i.e. acoustic phonons or optical phonons, at different bias range. Modifications of transport properties of SWNTs after applying high bias have been observed and the mechanism is attributed to gas desorption resulting from high-energy phonons due to EPC. To understand the details of the high-field transport and its influence on gas desorption of SWNTs, we conducted an experiment to combine micro-Raman spectra and electrical transport of SWNTs. Results show that the electrical transport generate little effect on Raman shift. This implicates the non-equilibrium state of the phonon induced by EPC. The experimental details and data will be discussed in the presentation.

Poster session

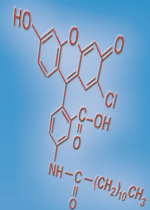
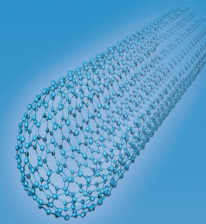
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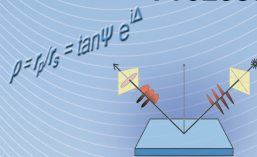
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Explore the Future

**HORIBA**

Wednesday, March 5

Non-carbon materials, Fullerenes, DWNT

- 8:30 – 9:00 A. K. Zettl, US  
*Catching Waves and Particles With Nanoscale Resonators*
- 9:00 – 9:30 A. Loiseau, FR  
*Understanding the formation of C-SWNT via in situ and ex situ diagnostics in laser vaporization and CVD techniques*
- 9:30 – 10:00 D. Mihailovic, SI  
*Mo<sub>6</sub>S<sub>9-x</sub>I<sub>x</sub> Nanowire Recognitive Molecular-Scale Connectivity: On the Road to Large-Scale Molecular Electronics*
- 10:00 – 10:30 Coffee break
- 10:30 – 11:00 A. Khlobystov, UK  
*Functionalised Fullerenes in Carbon Nanotubes: Controlled Assembly of Molecular Chains*
- 11:00 – 11:30 A. Hirsch, DE  
*Chemical Functionalization of Fullerenes and CNTs*
- 11:30 – 12:00 U. Kaiser, DE  
*Study of Nano-Carbons by Aberration Corrected Low Voltage Electron Microscopy.*
- 12:30 – 17:00 Mini Workshops
- 17:00 – 18:30 Dinner
- 18:30 – 19:00 X. Bao, CN  
*Catalysis Chemistry of the Confined Nano-Systems*
- 19:00 – 19:30 A. Minett, AU  
*Modification of CNT Architectures for Alternative Energy Applications*
- 19:30 – 20:00 J. Fischer, US  
*Gallium Nitride Nanowires: Impurity and Disorder Effects on Thermal Conductivity*
- 20:00 – 20:30 A. Tausenev, RU  
*Mode-locked 177-fs soliton erbium-doped fiber laser based on a cellulose polymer film containing SWCNTs synthesized by the arc-discharge method*

Wednesday, March 5



**8:30****Catching Waves and Particles With Nanoscale Resonators**Alex K ZettlDepartment of Physics, University of California at Berkeley, Berkeley, CA 94720  
U.S.A.

Nanoscale systems are of interest from both basic science and novel applications viewpoints. In particular, nanoscale mechanical resonators, as might be formed from thin membranes, nanowires, or nanotubes, could exploit novel physics in the interaction of EM waves or atoms and molecules with solids. I will describe work being pursued in Berkeley in using vibrating carbon nanotubes as detectors of radio-frequency EM radiation, as well as them as fully integrated circuit elements for performing rf amplification and decoding functions. Similar geometries may be useful for detection of other system perturbations, including adsorbed atomic and molecular species. The fundamental limits of such transduction systems will be discussed for the different applications.

**9:00****Understanding the formation of C-SWNT via in situ and ex situ diagnostics in laser vaporization and CVD techniques**Annick Loiseau<sup>1</sup> Michèle Cau<sup>2</sup> Marie-Faith Fiawoo<sup>1</sup> Nelly Dorval<sup>2</sup> Jean-Lou Cochon<sup>2</sup>  
Anne-Marie Bonnot<sup>3</sup> Brigitte Attal-Tretout<sup>2</sup><sup>1</sup>ONERA, BP 72, LEM, UMR 104-CNRS-ONERA, Chatillon<sup>2</sup>ONERA, Chemin de la Hunière, 91761 Palaiseau, France<sup>3</sup>Institut Neel, CNRS-UJF, BP166, 38042 Grenoble, France

This talk will review on the experimental approaches we have developed at LEM and at ONERA for understanding the formation mechanism of C-SWNT and the role of the chemical nature of the catalyst in both high temperature and CVD techniques.

Concerning high temperature techniques, we have developed a dedicated synthesis reactor based on the continuous laser vaporization of a metal-graphite target and performed various in situ optical diagnostics, using Coherent Anti-Stokes Raman Scattering, Laser Induced Fluorescence and Laser Induced Incandescence to probe vapour and condensed phases involving the carbon soot [1]. The results validate the phenomenological VLS model proposed previously on the basis of TEM analyses of the soot [2] and provide a very comprehensive and quantitative picture of the synthesis process. They reveal the dramatic effect of the metal catalyst on both size and time evolution of the carbon aggregates, which has been studied in detail and evidence for the first time the nanotube onset which is found to arise once the condensation of the metal vapour into liquid particles is achieved [3]. Furthermore the results show, by comparing two metal catalysts, Ni and Co, how the nature of the catalyst influences the carbon condensation and the nanotube formation.

Concerning CVD techniques, our approach has consisted to study, by TEM, the evolution of the nanotube nucleation and growth and of the metal particles as a function of the synthesis time and to perform a statistical analysis of the structural and chemical relationships between nanotubes and particles and their substrate. We will show that this approach is very complementary to in situ TEM observations of the nucleation developed recently, and reveal different nucleation mechanisms which will be discussed as a function of both metal and substrate.

- 1 M. Cau, N. Dorval, B. Cao, B. Attal-Tretout, J.L. Cochon, A. Loiseau, S. Farhat, and C.D. Scott. Journal of Nanosciences and Nanotechnology, vol. 6, 2006, p1-11
- 2 J. Gavillet et al, Phys. Rev. Lett. vol 87, 275504, 2001
- 3 M. Cau, N. Dorval, J.L. Cochon, A. Foutel-Richard, C.D. Scott , B. Attal-Tretout, A. Loiseau, Carbon submitted 2008

**9:30****Mo<sub>6</sub>S<sub>9-x</sub>I<sub>x</sub> Nanowire Recognitive Molecular-Scale Connectivity: On the Road to Large-Scale Molecular Electronics**

Dragan Mihailovic

Jozef Stefan Institute, University of Ljubljana, Ljubljana

We report on a new highly reproducible route to recognitive self-assembly of molecular-scale circuits using sulfur-terminated subnanometer diameter Mo<sub>6</sub>S<sub>9-x</sub>I<sub>x</sub> (MoSI<sub>x</sub>) molecular nanowires. We demonstrate solution-processed attachment of MoSI<sub>x</sub> connecting leads to gold nanoparticles (GNPs). We also show that naked nanowires have the potential to bind thiolated proteins such as green fluorescent protein directly, thus providing a universal construct to which almost any protein could be attached. We further demonstrate three-terminal branched circuits with GNPs, opening a self-assembly route to multiscale complex molecular-scale architectures at the single-molecule level.

**10:30****Functionalised Fullerenes in Carbon Nanotubes: Controlled Assembly of Molecular Chains**

Thomas W. Chamberlain<sup>1</sup> Rudolf Pfeiffer<sup>2</sup> Herwig Peterlik<sup>2</sup> Hans Kuzmany<sup>2</sup> Francesco Zerbetto<sup>3</sup> Manuel Melle-Franco<sup>3</sup> Luke Staddon<sup>1</sup> Neil R. Champness<sup>1</sup> G. Andrew D. Briggs<sup>4</sup> Andrei N. Khlobystov<sup>1</sup>

<sup>1</sup>School of Chemistry, University of Nottingham, Nottingham NG7 2RD, UK

<sup>2</sup>Fakultat für Physik, Universität Wien, Austria

<sup>3</sup>Dipartimento di Chimica

<sup>4</sup>Department of Materials, University of Oxford, Oxford OX1 3PH, UK

Due to their tubular nature, carbon nanotubes (NTs) have been demonstrated to encapsulate different molecules, thus forming quasi one-dimensional molecular systems. Provided that the mechanisms of interactions between the molecules and nanotubes are understood, nanotubes could be used to template formation of molecular chains of desired structure and properties. Previously it has been demonstrated that the most important parameter defining the efficiency of the NT-molecule interaction is the nanotube internal diameter. For example, for spheroidal molecules, such as C<sub>60</sub>, the nanotube diameter controls the packing arrangement of the molecules. For ellipsoidal C<sub>70</sub> or other higher fullerenes the nanotube diameter also controls the orientations of molecules.

An alternative mechanism for controlling the assembly of molecular arrays in NTs is via the size and geometry of functional groups of the molecules inserted in nanotubes. We have synthesised a series of fullerenes functionalised with alkyl- or aryl-groups of various length, width and conformational rigidity to explore this effect systematically. We have inserted these molecules into nanotubes under mild conditions to prevent decomposition of the molecules and to form C<sub>60</sub>X@NT structures (where X is a functional group). The molecular chains thus formed have been studied by high resolution TEM, XRD, and Raman spectroscopy revealing that the functional groups increase the inter-fullerene separation proportionally with the size of X. However, the functional groups themselves appear to adopt various conformations (as in the case of X = alkyl) or different orientations with respect of each other (as in the case of X = aryl). All these effects create a distribution of inter-fullerene separations in NTs. Our experimental observations are discussed in terms of molecular geometries and inter-molecular interactions and compared with theoretical simulations carried out for these systems.

Acknowledgement: this work is supported by a EUROCORES Programme on Fundamentals of Nanoelectronics (IMPRESS project).

**11:00****Chemical Functionalization of Fullerenes and Carbon Nanotubes**Andreas Hirsch

Department of Chemistry and Pharmacy, Erlangen, Erlangen

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Fullerenes and carbon nanotubes represent new families of molecular and macromolecular carbon allotropes with unprecedented properties. In order to further modify such properties and in particular to combine them with those of other compound classes chemical functionalization such as, for example, the addition of organic functional molecules to the conjugated pi-systems of the fullerene core or the sidewalls of single walled carbon nanotubes (SWNTs) is a very desirable task. In the last one and a half decade we have systematically investigated the basic principles of covalent fullerene- and SWNT chemistry and have synthesized and characterized hundreds of derivatives with very interesting physical-, biomedical and materials properties.[1,2] A selection of such new functional carbon-rich compounds including their hierarchical supramolecular organization will be presented.[3] A major key point for the synthesis of fullerene- and SWNT derivatives with tailor made properties is to achieve control over chemo- and regioselectivity of addition reactions. In this presentation we will also demonstrate both inherent selectivity principles of the carbon allotropes themselves, such as the preferred addition to metallic and small diameter SWNTs, as well as the development of chemical concepts for their highly selective functionalization, such as the tether controlled synthesis of hexakisadducts of C60 with an octahedral addition pattern.

- 1 A. Hirsch, M. Brettreich, Fullerenes, Chemistry and Reactions, Wiley-VCH, Weinheim, 2004.
- 2 a) A. Hirsch, Angew. Chem. Int. Ed. 2002, 41, 1853, b) O. Vostrowsky, A. Hirsch, Angew. Chem. 2004, 116, 2380-2383; Angew. Chem. Int. Ed. 2004, 43, 2326-2329.
- 3 a) R. Graupner *et al.*, J. Am. Chem. Soc. 2006, 128, 6683-6689, b) J. Dannhaeuser *et al.*, Angew. Chem. Int. Ed. 2006, 45, 3368-3372; c) U. Hartnagel *et al.*, Angew. Int. Ed. 2007, 46 4293; O. Vostrowsky, A. Hirsch, Chem. Rev. 2007, 106, 5191.

**11:30**

**Study of Nano-Carbons by Aberration Corrected Low Voltage Electron Microscopy**

U. Kaiser<sup>1</sup> A. Chuvilin<sup>1</sup> D. Obergfell<sup>2</sup> S. Roth<sup>2</sup>

<sup>1</sup>University of Ulm, Central Facility of Electron Microscopy, Electron Microscopy Group of Materials Science, Albert Einstein Allee 11, 89069 Ulm, Germany

<sup>2</sup>Max Planck Institute for Solid State Research, Heisenbergstrasse 1, 70569 Stuttgart, Germany.

Over the last few years the performance of transmission electron microscopes has undergone an enormous improvement with revolutionary advances in both spatial resolution and spectroscopic capability including its energy resolution. This has been made possible through the development of electron optical components for the correction of the intrinsic aberrations present in conventional electromagnetic round lenses. It is now possible to acquire atomic resolution structural data from a wide range of materials even imaging light elements such as carbon. This talk will provide a brief overview of the basics of this development and of the instrumental advances emphasizing the new insights that they can provide to several areas of materials science on the example of nano-carbons. We will report on direct observation of carbon atoms and dynamical processes in (Dy@C<sub>82</sub>)@SWNT metallofullerene peapods.

Wednesday, March 5

Non-carbon materials, Fullerenes, DWNT

**18:30****Catalysis Chemistry of the Confined Nano-Systems**Xinhe Bao<sup>1,2</sup><sup>1</sup>Dalian Institute of Chem. Phys., Chinese Academy of Sciences, Dalian<sup>2</sup>State Key Laboratory of Catalysis, Dalian Institute of Chemical Physics, the Chinese Academy of Sciences, Dalian 116023, P. R. China

This talk will be focused on the application of nano-related science and technology in the fields of catalysis, with an emphasis on the electron properties of the confined systems and the synergetic confinement effects between the encapsulated metallic nanoparticle and carbon nanotubes. (1) The effect of electron quantum confinement on the catalytic activities of 2D ultra-thin metal films is explored by comparing the work function change and the initial reaction rate of atomically flat films of different thickness on silicon surfaces, using complementary microscopy and spectroscopy techniques. The obvious oscillations of the oxidation rate of lead films are observed, which are attributed to be a manifestation of the Fabry-Pérot interference modes of electron de Broglie waves (quantum well states) in the films. The modulation of the electron density of states near the Fermi level opens a new demission for tuning the catalytic performance of metal systems via size- and thickness-dependent quantum size effects, which will be illustrated through two examples. (2) Carbon nanotubes (CNTs) have well defined hollow interiors and exhibit unusual mechanical and thermal stability as well as electron conductivity. This opens intriguing possibilities to introduce other matter into the cavities, which may lead to nanocomposite materials with interesting properties or behaviour different from the bulk. In the present talk, two unique properties concerning the redox and catalysis of the CNT-encapsulated metals and metal oxides will be addressed. The autoreduction of the encapsulated Fe<sub>2</sub>O<sub>3</sub> is significantly facilitated inside CNTs with respect to the outside nanoparticles, and it becomes more facile with decreasing CNT channel diameter as evidenced by temperature programmed reaction, in situ XRD and Raman spectroscopy. The oxidation of encapsulated metallic Fe nanoparticles on the other hand is retarded in comparison to that of the outside Fe particles as shown by in situ XRD and gravimetric measurements with an on-line microbalance. A striking enhancement of the catalytic activity of Rh particles confined inside nanotubes for the conversion of CO and H<sub>2</sub> to ethanol has been found. The overall formation rate of ethanol inside nanotubes exceeds that on the outside of the nanotubes by more than an order of magnitude, although the latter is much better accessible. We attribute these unique behaviours of transition metal nanoparticles inside CNTs to a particular electronic interaction of the encapsulates with the interior CNT surface.

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5. Wei Chen *et al.*, *J. Am. Chem. Soc.*, 128 (10) (2006) 3136-3137x

**19:00**

**Modification of Carbon Nanotube Architectures for Alternative Energy Applications**

Andrew Minett

Intelligent Polymer Research Institute, University of Wollongong, Wollongong, Australia

Due to their high surface area, electro-chemical stability and versatility, carbon nanotube electrodes have been attracting great interest for use in alternative energy applications such as supercapacitors, Li-ion batteries and fuel cells. In this presentation, we describe the production and modification of flexible pre-formed carbon nanotube architectures with various entities such as conducting polymers or metal nanoparticles for use as superior electrode materials. Recently, we have reported on the direct use of various carbon nanotube assemblies as electrodes for Li-ion batteries, supercapacitors or catalyst supports. In one process, a flexible aCNT/PEDOT/PVDF membrane electrode is prepared via conventional CCVD. This electrode structure was assembled into a lithium-ion battery for testing, by stacking a porous polypropylene separator containing liquid electrolyte between the aCNT/PEDOT/PVDF electrode and a lithium-foil counter electrode. While this assembly showed a large irreversible first cycle capacity, a highly stable discharge capacity of 265 mAh/g is observed for the second to fiftieth cycle. In a second related approach, a novel carbon composite electrode shows vastly superior reversible capacities of over 600mAh/g, stable over 100 cycles. What is remarkable with this electrode system is that there is no large drop in capacity during charge cycles. To demonstrate the versatility of these carbon composite electrodes, the introduction of metal nanoparticles for catalysis or enhanced electrochemical properties allows the use of these electrodes as either the anode or cathode in fuel cell applications or as supercapacitors. Eight different metals have been deposited by a simple method with nanoparticle sizes as small as 4nm. Energy densities of 70Wh/kg and power densities up to 10kW/kg for these composite electrodes highlight promise for alternative energy applications.

**19:30**

**Gallium Nitride Nanowires: Impurity and Disorder Effects on Thermal Conductivity**

Jack Fischer<sup>1</sup> Csaba Guthy<sup>2</sup> Chang-Yong Nam<sup>3</sup> Douglas Tham<sup>4</sup>

<sup>1</sup>Materials Science and Engineering, University of Pennsylvania, Philadelphia, PA

<sup>2</sup>University of Alberta, Saskatchewan Canada

<sup>3</sup>Brookhaven National Laboratory, Upton NY USA

<sup>4</sup>California Institute of Technology, Pasadena CA USA



Gallium nitride is an important large-gap high mobility semiconductor for high power optoelectronic and fast-switching electronic applications, both of which require high thermal conductivity for heat dissipation. Limitations in bulk crystal growth and epitaxial film deposition motivate in part a growing interest in GaN nanowires, so it is important to understand and control thermal properties at the nanoscale.

In this study we measured the thermal conductivity of individual GaN NWs grown by thermal chemical vapor deposition (CVD) with diameters ranging from 97 to 181 nm, using the “suspended islands“ method. We observed unexpectedly large reduction compared to the bulk, with room temperature values in the range 13-19 W/mK and weak diameter dependence. We also observe unusual  $T^{1.8}$  power law dependence at low  $T$ . The Callaway model suggests only moderate reduction due to increased boundary scattering for small cross-sections. TEM analysis revealed the presence of stacking faults which limit the phonon mean free path. Furthermore, EELS measurements indicate high Si and O impurity concentrations estimated at 0.1-1 at% and 0.01-0.1 at%, respectively. Based on extensive numerical calculations we conclude that both the unexpectedly low thermal conductivity as well as the  $T^{1.8}$  low-temperature dependence are caused by unusually large mass-difference scattering, primarily from Si impurities. Our analysis also suggests that the mass-difference scattering rates are significantly enhanced by the reduced phonon group velocity in nanoscale systems.

Supported by DOE Grant No. DE-FG02-98ER45701.

## 20:00

### **Mode-locked 177-fs soliton erbium-doped fiber laser based on a cellulose polymer film containing carbon single-wall nanotubes synthesized by the arc-discharge method**

Anton Tausenev<sup>1</sup> Elena Obraztsova<sup>2</sup> Anatolii Lobach<sup>3</sup> Petr G. Kryukov<sup>1</sup> Alexander Chernov<sup>4</sup> Vitaly Konov<sup>2</sup> Eugeny Dianov<sup>1</sup>

<sup>1</sup>Fiber Optics Research Center RAS, Moscow, Russia

<sup>2</sup>A.M. Prokhorov General Physics Institute, RAS, Moscow, Russia

<sup>3</sup>Institute of Problems of Chemical Physics RAS, Chernogolovka, Russia

<sup>4</sup>Department of Physics, M.V. Lomonosov Moscow State University, Moscow, Russia

Non-linear optical properties of single-wall carbon nanotubes (SWNTs) is a basis for new optical media for ultrafast modulation for mode-locked fiber lasers. In this work we demonstrate a new mode-locked soliton erbium-doped fiber laser generating 177-fs pulses. The laser pumped by a 85-mW, 980-nm laser diode emits 7 mW at 1.56  $\mu\text{m}$  at a pulse repetition rate of 50 MHz. Passive mode-locking is achieved with the help of a saturable absorber made of a high-optical quality film based on cellulose derivatives with dispersed carbon single-wall nanotubes. The film is prepared by the original technique by using carbon nanotubes synthesized by the

Wednesday, March 5

Non-carbon materials, Fullerenes, DWNT

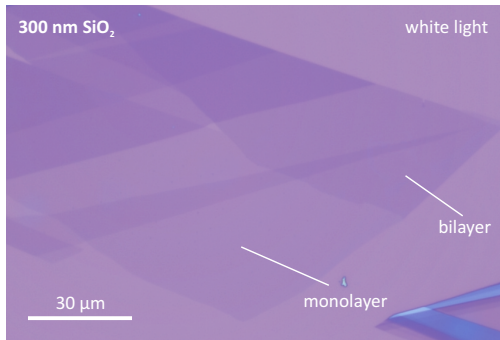
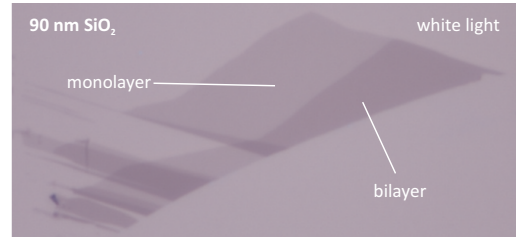
arc-discharge method.



Providing graphene flakes and devices to industry and academia

### Graphene on Si|SiO<sub>2</sub>

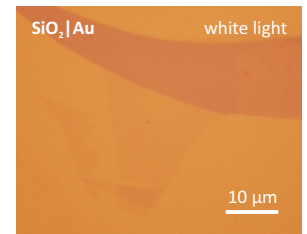
- We offer single, double, triple and >3 layer graphene flakes on 300 nm and 90 nm SiO<sub>2</sub>.
- 90 nm SiO<sub>2</sub> is a new substrate that offers higher graphene contrast than the traditional 300 nm.
- Flakes are prepared from natural graphite using micro-mechanical cleavage.



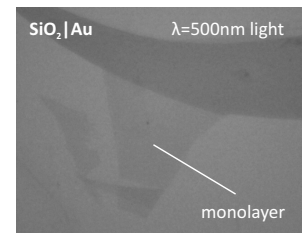
<b>Flake sizes</b>	Typically < 600 μm <sup>2</sup> ; largest monolayer found to date: 7000 μm <sup>2</sup>
<b>Pricing guide</b>	≈ € 0.60 per μm <sup>2</sup> area, with reductions if the flake is difficult to use for lithography, e.g. close to wafer's edge or surrounded by thick graphite flakes
<b>Applications</b>	transistors, spintronics, gas sensors, metrology, Raman spectroscopy, AFM, STM, etc.
<b>Wafer sizes</b>	min: 5 mm × 5 mm max: 76 mm diameter circle

### Graphene on Si|SiO<sub>2</sub>|Au

- We can isolate graphene flakes on gold using optical microscopy.
- A thin layer of gold is deposited onto an oxidised silicon wafer before preparing flakes.
- The gold layer is continuous and electrically conductive, but thin enough to maintain the optical interference effect that makes graphene visible.
- Narrow band-pass filters can be used to enhance the contrast.



<b>Flake sizes</b>	Typically < 400 μm <sup>2</sup> ; largest monolayer found to date: 1000 μm <sup>2</sup>
<b>Pricing guide</b>	≈ € 3 per μm <sup>2</sup> area
<b>Applications</b>	STM, Raman spectroscopy
<b>Wafer sizes</b>	min: 5 mm × 5 mm; max: 25 mm × 25 mm



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Thursday, March 6

Growth

- 8:30 – 9:30 H. Kataura, JP  
*Optical Properties of Metallic and Semiconducting Single-Wall Carbon Nanotubes*
- 9:30 – 10:00 J. Robertson, UK  
*Carbon nanotubes as IC Interconnects*
- 10:00 – 10:30 Coffee break
- 10:30 – 11:00 K. Hata, JP  
*Super Growth: Working to Supply the World with Catalyst-Free SWNTs*
- 11:00 – 11:30 K. Suenaga, JP  
*Imaging the carbon networks in carbon nanostructures*
- 11:30 – 12:00 M. Terrones, MX  
*The Importance of Defects in Carbon Nanotubes: How to Identify them using Different Techniques*
- 12:00 – 17:00 Mini Workshops
- 17:00 – 18:30 Dinner
- 18:30 – 19:00 M. Hersam, US  
*Preparation, characterization, and applications of monodisperse single-walled carbon nanotubes*
- 19:00 – 19:30 S. Maruyama, JP  
*Cross-polarized optical excitation of single-walled carbon nanotubes*
- 19:30 – 20:00 M. Strano, UK  
*Optical modulation of single walled carbon nanotubes for chemical and biological detection*
- 20:00 – 21:00 Poster Session - THU

Thursday, March 6

**8:30****Optical Properties of Metallic and Semiconducting Single-Wall Carbon Nanotubes**Hironmichi Kataura<sup>1</sup> Yasumitsu Miyata<sup>2</sup> Kazuhiro Yanagi<sup>1</sup> Yutaka Maniwa<sup>2</sup><sup>1</sup>Nanotechnology Research Institute, AIST, Tsukuba, Japan, and JST-CREST<sup>2</sup>Department of Physics, Tokyo Metropolitan University, Tokyo, Japan, and JST-CREST

Recently, Arnold et al. have reported a new method to sort SWCNTs by their electronic types using a density gradient ultracentrifugation. [1] We have improved their method and successfully separated metallic and semiconducting SWCNTs in mass quantity with 99% purity. [2] We have measured electronic and optical properties of them with various diameters.

It was revealed that the metallic SWCNTs show bright colors, cyan, magenta, and yellow, depending on their mean diameter. [2] These colors are not strange because the metallic SWCNT has only one absorption band due to van Hove singularities in the visible range. The colorful SWCNTs could be used as highly durable full color conductive inks. Interestingly, conductivity of the metallic SWCNT thin film exhibited an extreme stability against a chemical carrier doping because of the constant electronic density of states near the Fermi level in the metallic SWCNT. [3] Resonance Raman spectra also showed very interesting results. It is well known that the frequency of D and G prime peak is depending on the excitation laser wavelength. This can be understood by the double resonance model which is concerning with the electronic band structure and the phonon dispersion. [4] It was found that the D and G prime peak frequency and their derivatives to the excitation energy of the metallic SWCNTs are different from that of the semiconducting ones. This result suggests an intrinsic difference in the physical properties of them.

- 1 M.S.Arnold et al., Nat. Nanotechnol. 1 (2006) 60.
- 2 Yanagi et al., submitted.
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- 4 C. Thomsen and S. Reich, Phys. Rev. Lett. 85 (2000) 5214.

**9:30****Carbon nanotubes as IC Interconnects**John Robertson

Engineering Dept, Cambridge University, Cambridge, UK

Carbon nanotubes have by far the highest current carrying capacity of any solid (1E9 A/cm<sup>2</sup>) and are generally proposed for interconnects in future integrated circuits. However, this application is not as secure as it may seem. The interconnects

must not only carry a high current but also have a lower resistance than the equivalent Cu version, bearing in mind that 1D conductors have an effective series quantum resistance. Secondly, for SWNTs, the net resistance depends on nanotube density, which is not so high. In practice this means that the obvious application of vertical interconnects (Vias) is less advantageous than the more difficult horizontal interconnects. Finally, chirality selection is an issue as we must selectively grow metallic nanotubes, preferably at low temperature. Developments in the growth or deposition, characterisation and modelling on CNT interconnects are described.

**10:30****Super Growth: Working to Supply the World with Catalyst-Free SWNTs**Kenji Hata

National Institute of Advanced Industrial Science and Technology, Tsukuba

In addition to scientific innovation, the development of carbon nanotube (CNT) technology (and consequently a CNT-based industry) is reliant upon the availability of CNTs. In particular, the extremely high commercial cost of single-walled carbon nanotubes (SWNTs), stemming from the lack of efficient and clean synthesis, represents a significant obstacle to wide-range industrial and scientific. However to realize this, not only is an efficient and pure process necessary, low-cost scalability plays a critical role which Super-growth CVD [1] has emerged as an emerging technology to overcome this barrier. This presentation will provide an overview of our recent development of carbon nanotube synthesis and their applications based on the Super Growth CVD in particular our efforts for industrial-scale mass production. After providing a brief introduction to the Super-Growth concept, I will outline our major challenges and recent progress toward the realization of industrial-scale mass production of high-purity, long, and aligned SWNTs to establish a carbon nanotube-based industry.

**11:00****Imaging the carbon networks in carbon nanostructures**Kazu SUENAGA

AIST, Tsukuba

Physical and chemical properties of carbon nanotubes can be drastically altered by its atomic defects and chirality. Imaging of the carbon networks is therefore of prime importance to characterize the carbon nanotubes and has been recently made possible by pushing the HR-TEM to the extreme of its possibilities. In this presentation some examples for the atomic-level characterization of carbon nanotubes and their derivatives by means of the HR-TEM will be demonstrated. The topics involves the stability and mobility of atomic defects in carbon nanotube (1,2) and the embedded/attached molecules within nanotubes (3,4,5).

The presented work is partially supported by CREST-JST, ERATO-JST, NEDO,



KAKENHI and JSPS.

- 1 K. Suenaga et al., Nature Nanotech. 2 (2007) 358
- 2 C.-H. Jin et al., Nature Nanotech. 3 (2008) 17
- 3 Z. Liu et al., J. Am. Chem. Soc., 129 (2007) 6666
- 4 Z. Liu et al., Nature Nanotech. 2 (2007) 422
- 5 Y. Sato et al., Nano Lett., 7 (2007) 3004

**11:30**

**The Importance of Defects in Carbon Nanotubes: How to Identify them using Different Techniques**

Mauricio Terrones

Advanced Materials Department, IPICYT, San Luis Potosi

In this talk, defects within carbon nanotubes will be categorized in 4 different groups: (1) Structural defects, related to imperfections that significantly distort the curvature of the hexagonal carbon honeycomb structure; these defects are usually caused by the presence of non-hexagonal rings (e.g. pentagons, heptagons, or octagons); (2) Topological defects, occurring on the nanotube surface, which do not result in large curvature distortions of the tubule. In particular, these defects could be 5-7 pairs embedded in the hexagonal network or Stone-Wales (SW-type) defects that could be created by rotating a carbon bond within 4 neighboring hexagons, thus resulting in the transformation of 2 pentagons and 2 heptagons; (3) Doping-induced defects, arising from substitutional non-carbon atoms embedded (or incorporated) into the tubular lattice, and (4) Non-sp<sup>2</sup> carbon defects or edge-sites caused the presence of highly reactive carbons such as dangling bonds, carbon chains, interstitials (free atoms trapped between SWNTs or between graphene sheets), edges (open nanotubes), add-atoms and vacancies.

From the theoretical standpoint, the structural stability and electronic properties of nanotubes containing hexagons, pentagons and heptagons will also be discussed in detail. These structures, now termed Haeckelites, are predicted to be metallic and exhibit enhanced electronic conductances when compared to standard pure carbon nanotubes. High resolution transmission electron microscopy (HRTEM) studies and theoretical calculations reveal that these type of structures are likely to be synthesized.

This presentation will review recent work related to different techniques used to identify defects using HRTEM, scanning tunneling microscopy (STM), scanning tunneling spectroscopy (STS), Raman spectroscopy (RS), atomic force microscopy (AFM), thermogravimetric analyses (TGA), electron and thermal transport measurements, etc. It is important to mention that most of the time the presence of

defects and their identification has been overlooked by numerous scientists. However these play a key role in the nanotubes physico-chemical properties. There are numerous challenges that will be discussed in this presentation: How do we identify defects efficiently? Could we distinguish among various defects? Would it be possible to establish a protocol able to quantify and control the amount of these defects? How many defects are necessary to fabricate robust polymer composites or nanotube junctions? Could we observe ferromagnetism in defective nanocarbons?, etc.

Growth

Thursday, March 6

**18:30****Preparation, characterization, and applications of monodisperse single-walled carbon nanotubes**Mark C. Hersam

Department of Materials Science and Engineering, Northwestern University, Evanston, IL 60208-3108 USA

Large-scale production of monodisperse single-walled carbon nanotubes (SWNTs) has the potential to enable and/or improve many applications for SWNTs such as thin film transistors, transparent conductors, optical amplifiers, and biosensors. Recently, we have developed a scalable and flexible technique for sorting SWNTs by their physical and electronic structure using density gradient ultracentrifugation (DGU) in aqueous solution [1-3]. For sorting by physical structure, DGU exploits inherent differences in buoyant density as a function of SWNT diameter. Alternatively, for sorting by electronic structure, DGU takes advantage of subtle differences in buoyant density that result from differential adsorption of co-surfactant mixtures to metal versus semiconducting SWNTs. This talk will delineate recent developments in DGU including efforts to improve purity, yield, and throughput of monodisperse SWNTs. In addition, this talk will explore the improvements that monodisperse SWNTs enable in both fundamental experiments and applied technologies. For example, SWNTs sorted by DGU enable unambiguous interpretation of SWNT exciton dynamics in ensemble pump-probe spectroscopy measurements [4]. From a technology perspective, chirality-resolved SWNTs allow the optical and electrical properties of semi-transparent, conductive films to be independently tuned. Specifically, the ability to sort metallic SWNTs by diameter enables the formation of conductive films with tunable optical adsorption throughout the visible and infrared portion of the electromagnetic spectrum. The properties and potential applications of this semi-transparent, conductive SWNT stained glass will be discussed. This talk will conclude with the prospects of DGU for sorting other nanomaterials by their physical and electronic structure.

- 1 M. S. Arnold, et al., Enrichment of single-walled carbon nanotubes by diameter in density gradients, *Nano Letters*, 5, 713 (2005).
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- 3 A. A. Green and M. C. Hersam, Ultracentrifugation of single-walled carbon nanotubes, *Materials Today*, 10, 59 (2007).
- 4 Z. Zhu, et al., Pump-probe spectroscopy of exciton dynamics in (6,5) carbon nanotubes, *J. Phys. Chem. C*, 111, 3831 (2007).

**19:00****Cross-polarized optical excitation of single-walled carbon nanotubes**Shigeo Maruyama

Department of Mechanical Engineering, The University of Tokyo, Tokyo

Because of the depolarization effect, or so-called antenna effect, cross-polarized optical absorption of single-walled carbon nanotubes (SWNTs) is believed to be quite weak. However, in photoluminescence (PL) excitation spectra of micelle wrapped SWNTs, clearly identified PL peaks due to cross-polarized excitation gives an important measure of optical transition energy of E12 or E21 [1]. The cross-polarized absorption must be dominant in the absorption of vertically aligned film of SWNTs [2] when excited from the top of a film. In our previous study of polarized UV/Vis absorption, pi-plasmon absorption at 5.25 eV was revealed in contrast to 4.5 eV for parallel excitation [3]. The consistent physical view of electron energy loss spectroscopy (EELS) is now being explored [4] with the understanding that the bundle side of such a vertically aligned SWNT film is as small as 5-8 nanotubes [5]. Detailed polarized Raman study is performed to further study the anisotropic optical properties of SWNTs.

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- 2 Y. Murakami et al., S. Chiashi, Y. Miyauchi, M. Hu, M. Ogura, T. Okubo, S. Maruyama, Chem. Phys. Lett. 385 (2004) 298.
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- 5 E. Einarsson, H. Shiozawa, C. Kramberger, M. H. Ruemmeli, A. Grüneis, T. Pichler, S. Maruyama, J. Phys. Chem. C, 111 (2007) 17861.

**19:30****Optical modulation of single walled carbon nanotubes for chemical and biological detection**Michael S Strano

Department of Chemical Engineering, MIT, Cambridge

Nanoscale sensing elements offer promise for single molecule analyte detection in physically or biologically constrained environments. Molecular adsorption can be amplified via modulation of sharp singularities in the electronic density of states

that arise from 1D quantum confinement. Single-walled carbon nanotubes (SW-NT), as optical sensors<sup>1,2</sup>, offer unique advantages such as photostable near-infrared (n-IR) emission for prolonged detection through biological media, single-molecule sensitivity and, nearly orthogonal optical modes<sup>3</sup> for signal transduction that can be used to identify distinct classes of analytes. This presentation will describe our efforts to understand chemically induced optical modulation of carbon nanostructures and their development in various sensor applications.

1 DA Heller, et al.. Science 311 (2006) 508-511.

2 PW Barone, et. al. Nature Materials 4 (2005) 86-92.

3 Choi, J. H. and Strano, M. S. Applied Physics Letters. 90 (2007) 22314.

Growth

Thursday, March 6

**1****Combined STM/STS, TEM/EELS and optical absorption investigation of C<sub>x</sub>N<sub>y</sub>-SWNTs**H. Lin<sup>1</sup> J. Lagoute<sup>2</sup> C. Chacon<sup>2</sup> V. Repain<sup>2</sup> L. Bresson<sup>1</sup> S. Enouz<sup>1</sup> J-S. Lauret<sup>3</sup> S. Rousset<sup>2</sup> A. Loiseau<sup>1</sup><sup>1</sup>LEM UMR 104, ONERA-CNRS, BP 72, 92322 Chatillon, France<sup>2</sup>MPQ, UMR 7162 Université Paris Diderot, CNRS, Case 7021, 72205 Paris Cedex 05, France<sup>3</sup>LPQM, ENS Cachan, France

Carbon nanotubes are tubular structures with unique electronic properties, which can further be modified by adding hetero-atoms such as boron or nitrogen into the carbon network. By combining STM/STS and TEM/EELS techniques, the objectives of this study are first to identify the hetero-atoms present in the carbon network and then to understand their role on the electronic structures. Whereas TEM/EELS provides useful information on the morphology of the tubes and their concentration in hetero-atoms, STM and STS have been shown to be powerful techniques for investigating the relationship between the atomic and electronic structures since atomic resolution images of nanotubes can be achieved together with local spectroscopy. To this aim, C<sub>x</sub>N<sub>y</sub>-SWNTs have been synthesized by vaporizing by a CO<sub>2</sub> continuous laser a C:Ni\Y target under a nitrogen atmosphere. According to TEM observations, the tubes are mostly single-walled and display a very similar morphology to that obtained under a helium atmosphere. EELS analysis indicate a nitrogen concentration lower than 1at.%. STM observations of both pure C-SWNTs and C<sub>x</sub>N<sub>y</sub>-SWNTs have been then performed. For both kinds of tubes, atomic resolution images have been obtained by STM as well as STS spectra, from which electronic density of states have been extracted and compared to optical absorption spectra. Comparison of the results for both kinds of tubes and discussion will be given in the poster.

**2****NOVEL APPROACHES TO PURIFICATION OF SINGLE-WALL BORON NITRIDE NANOTUBES**Anatoly Lobach<sup>1</sup> Natalia Spitsina<sup>1</sup> Elena Obraztsova<sup>2</sup> Natalia Arutyunyan<sup>2</sup> Annick Loiseau<sup>3</sup> Aude Maguer<sup>3</sup> Lionel Bresson<sup>3</sup><sup>1</sup>Institute of Problems of Chemical Physics Russian Academy of Sciences, Chernogolovka, Russia<sup>2</sup>A.M. Prokhorov General Physics Institute RAS, 38 Vavilov Street, 119991 Moscow, Russia<sup>3</sup>LEM, ONERA-CNRS, BP72 92322 Chatillon Cedex, France

A raw materials of single-walled boron nitride nanotubes (SWBNNT) contains several components in addition to nanotubes: boric acid, boron oxide, and nano-



particles of h-BN [1]. The method for purification of the SWBNNT raw materials based on the difference in solubility of the components of the material and their density was developed [2]. Novel approaches developed for the purification consists of two main steps: 1, removal of boric acid and boron oxide; 2, separation of the residual material by density using centrifugation of its suspension in a methanol. The SWBNNT raw material and its components obtained after the purification process were characterized by IR, Raman spectroscopy and TEM. The SWBNNT material obtained after purification is highly dispersed in polar solvents (methanol, ethanol) and in an aqueous solution of the carboxymethylcellulose (CMC) polymer, indicating that the nanotube surface contains polar groups. The films of the CMC polymer containing the SWBNNT material of optical quality were produced. The work is supported by INTAS-05-100008-7871.

1 A. Loiseau et al., Phys. Rev. Lett. 76, 4737 (1996).

2 A. Maguer et al., J. Nanosci. Nanotechnol. 7, 3524 (2007).

### 3

#### **Optically Excited Carbon Nanotube as a Tonks–Girardeau Gas**

Richard M. Russo<sup>1</sup> David E. Luzzi<sup>2</sup> Eugene J. Mele<sup>3</sup>

<sup>1</sup>Dept. of Materials Science, University of Pennsylvania, Philadelphia, PA, 19104, US

<sup>2</sup>College of Engineering, Northeastern University, Boston, MA, 02115, US

<sup>3</sup>Dept. of Physics, University of Pennsylvania, Philadelphia, PA, 19104, US

The fundamental optical excitations of carbon nanotubes are excitons, charge neutral bound states of excited electron hole pairs. Excitation at high intensity produces a dense one dimensional excitonic liquid, a nonequilibrium state controlled by interexciton interactions and their Bose statistics. Previous work has focused on the time evolution of the many exciton state to its dilute regime. In this paper, we use the history dependence of transient pump-probe absorption spectra from isolated carbon nanotubes to probe interexciton correlations and dynamics at high excitation density. Interestingly we find a dependence of these spectra on the exciting fluence and the pump-probe time delay well described by the interparticle correlations of the Tonks-Girardeau gas where hard wall repulsive interactions between excitons govern their nearest neighbour correlations and chemical potential. Fits of the model to experiment provide a direct measurement of the exciton diffusion constant.

**4****Carbon nanotubes - Vanadium oxides composite: Synthesis and Applications**Arnaud Magrez<sup>1</sup> Barbara Korbely<sup>2</sup> Laszlo Forró<sup>1</sup><sup>1</sup>Ecole Polytechnique Federale de Lausanne, Switzerland<sup>2</sup>Ecole Polytechnique Federale de Lausanne, Switzerland and University of Szeged, Hungary

Vanadium oxides VOx like V<sub>2</sub>O<sub>5</sub>, VO<sub>2</sub> or V<sub>2</sub>O<sub>3</sub> are promising materials for numerous applications like power sources and thermochromic based devices. Composites with carbon nanotubes are of particular interests to enhance both the electronic and thermal conductivity of these functional materials. We have developed a preparation process of the composite by an in situ growth of carbon nanotubes at low temperature on nanostructured VOx using the equimolar acetylene-CO<sub>2</sub> reaction [1]. The carbon nanotubes content can be easily controlled as well as the oxidation state of the vanadium cations by the growth conditions of the CNTs and a post heat treatment of the composite under air. Based on these composites, lithium ion batteries and thermochromic windows have been built and characterised.

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**5****Formation of curved graphite sheets and eclipsed sp<sup>3</sup> bonds in nanographite followed by Raman spectroscopy**Tatiana L. Makarova<sup>1</sup> Mauro Ricco<sup>2</sup> Daniele Pontiroli<sup>2</sup> Marcello Mazzani<sup>2</sup> Matteo Belli<sup>2</sup> Angelo Goffredi<sup>2</sup><sup>1</sup>Physics, Umea University, Umea<sup>2</sup>CNISM and Dipartimento di Fisica, Universita di Parma, Italy.

The formation of curved graphite-like structures during annealing of diamonds is a well studied phenomenon. Here we present the observation of an opposite process, the formation of the sp<sup>3</sup>-bonded carbons and onion carbon shells during the room-temperature hydrogenation of ball-milled nanographite. Three distinct peaks are observed in the low wavenumber region, at about 1100, 860, and 450 cm<sup>-1</sup>, whereas the G peak is softened. The origin of these peaks is discussed in terms of curvature of the graphene planes. Strong T-band at 1100 cm<sup>-1</sup>, which is quite rarely detected in visible Raman scattering experiments, indicates the presence of sp<sup>2</sup>/sp<sup>3</sup> alternating hybridization. This band has recently been attributed to the presence of eclipsed bonds, forming fused pentagonal rings. The strain of graphene planes due to curvature caused by introducing pentagons can account for the downward shift of the G peak.

**6****Electronic and phonon properties of monolayer and bilayer graphene probed by resonance Raman scattering**

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Monolayer graphene has attracted a great amount of interest due to its linear dispersion around the K point in first Brillouin zone, giving an insight to new physics and device applications. On the other hand, bilayer graphene is a zero gap semiconductor with a parabolic dispersion near the K point, providing interesting new properties as a tunable gap system by applying an electric field. In this work, we show that, by measuring second order Raman bands associated with a double resonance Raman process as function of laser energy, we can probe both the electronic and phonon dispersion relations for mono and bilayer graphene. For monolayer graphene, we have been able to probe the in-plane transversal optic (iTO) and longitudinal acoustic (LA) modes by measuring the dispersion of the  $G'$  and  $G^*$  (around  $2450\text{ cm}^{-1}$ ) bands, and the results are compared with phonon calculations in the literature [1]. For bilayer graphene, the measurement with different laser lines of the four peaks that comprise  $G'$  band provides experimental values of the Slonczewski-Weiss-McClure band structure parameters [2]. These results show that resonance Raman spectroscopy is a useful tool to probe phonons and electrons in these novel materials.

1 D. L. Mafra *et al.*, Phys. Rev. B **76**, 233407 (2007).

2 L. M. Malard *et al.*, Phys. Rev. B **76**, 201401(R) (2007).

**7****Coulomb effects in carbon nanotubes**

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We present a microscopic calculation of the excitonic absorption coefficient for single-walled carbon nanotubes. Our approach combines the density matrix formalism including the Coulomb and electron-light interaction with the tight-binding

approximation. We go beyond the two-band picture taking into account all intra- and intersubband contributions and study the behavior of the Coulomb matrix elements for all relevant scattering processes. Our approach allows the investigation of excitons in single-walled carbon nanotubes of arbitrary chiral index over a wide range of energy. Both the energy renormalization due to the electron-electron coupling and the formation of excitons due to the electron-hole coupling are discussed. We show the diameter and chirality dependence of excitonic effects presenting Kataura plots for the first, second and third optical transition. The excitonic character of optical excitations in carbon nanotubes is shown not to be restricted to the first two transitions. Finally, we discuss the dark-bright splitting of excitonic states being a consequence of the K-K Coulomb intervalley mixing.

## 8

### **Reversible Local Metal-Insulator Transition in Metallic Single-Walled Carbon Nanotubes**

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Metal-insulator transition in metallic single-walled carbon nanotubes (mSWNT) induced by electron irradiation has been recently reported [1,2]. In these previous studies the whole device has been irradiated including the contact regions. To study this effect in more detail, we restrict in this work electron irradiation to a narrow nanotube segment distant from the contacts. Devices were manufactured by dielectrophoretic nanotube deposition [3]. Prior to electron irradiation we conditioned the device by a novel procedure to obtain the lowest contact resistance possible for nanotubes with approx. 1nm diameter [4]. After this conditioning the structures show linear (metallic) IVs and a current saturation in the high bias regime typical for low contact resistance devices [5]. Afterwards we performed multiple SEM linescans across the mSWNT. These scans cause a local metal-insulator transition in the mSWNT [1,2] which lead to an increasing nonlinearity in the IV as well as a decrease of the low-bias-conductivity. Interestingly this local metal-insulator transition proves to be reversible, since the low-bias conductance observed before the scanning can be reestablished by a further voltage ramping procedure.

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## 9

**CVD growth of carbon nanotubes: study of the catalyst-substrate interaction**

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To achieve the desired degree of carbon nanotubes (CNT) crystallinity and chirality via catalytic assisted chemical vapour deposition (CVD), it is important to identify the key parameters driving the CNTs growth. Amongst them, the catalyst supports is an important parameter which affect the rate, yield and growth direction of CNT obtained via CVD [1-3]. However till date very little efforts have been made to understand, how the substrate-catalyst interaction determines the chemical state and the morphology of the catalyst nanoparticles before and during the growth [3]. In this respect, the importance of the catalyst nanoparticle-substrate interaction in the CNT growth via surface-bound CVD has been verified by performing a systematic study of CNT growth in different experimental apparatus. We used different substrates ( $Al_2O_3$  and  $SiO_2$ ), Fe as catalyst, and  $C_2H_2$  as carbon source. The CVD growths have been performed in significantly different conditions: at very low growth pressure of  $C_2H_2$  ( $8 \times 10^{-6}$  mbar) in an ultra high vacuum chamber (UHV), and at high growth pressure (atmospheric pressure, in a conventional furnace). We underline that the same CVD process and catalyst pretreatments were performed in each experimental apparatus on both,  $Al_2O_3$  and  $SiO_2$  substrates. In agreement with literature [1,2], our experimental data show that the CNTs growth yield is significantly enhanced on  $Al_2O_3$ , and this behavior was observed in all experimental apparatus we used. In particular in the furnace we were able to obtain vertical CNT arrays (forests), which could only be grown on  $Al_2O_3$ , whereas the same process performed on  $SiO_2$ , gave only lateral growth with lower CNTs density. In the UHV apparatus we were able to monitor in situ by means of X-ray photoemission spectroscopy (XPS) all the steps of the CVD route, avoiding any contamination due to air. Our XPS data indicate that Fe interacts with the oxygen of the surface layer of  $Al_2O_3$ , whereas in case of  $SiO_2$  this interaction doesn't take place. As a result of this interaction of  $Al_2O_3$ , the mobility of Fe particles is reduced, preventing their coalescence in to large islands during annealing. The narrower size distribution and the limited mobility of the particles on  $Al_2O_3$  as compared to  $SiO_2$ , lead to a more uniform growth rate of CNTs, hence allowing their vertically aligned growth. In addition our XPS data also shows that the growth of any form of tubular carbon is inhibited when the Fe catalyst films is completely oxidized: the massive CNTs growth were observed on  $Al_2O_3$  only when the catalyst films

presents the coexistence of both, metallic and oxidized Fe.

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## 10

### **<sup>1</sup>H NMR spin-lattice relaxation in C<sub>60</sub>-cubane**

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We have investigated the rotor-stator molecular crystal fullerene-cubane (C<sub>60</sub>-C<sub>8</sub>H<sub>8</sub>) by <sup>1</sup>H NMR spectroscopy in the temperature range of 100 K to 380 K. The <sup>1</sup>H line is broad and exhibits fine structure characteristic of a multispin spectrum, indicating the absence of angular reorientations of the cubane molecule. The spin-lattice relaxation rate displays a broad maximum at a magnetic field dependent temperature. The field dependence is much weaker than expected for field fluctuations arising from molecular reorientations. Possible underlying mechanisms are discussed.

## 11

### **Lattice Dynamics of Graphene and Graphite**

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Starting from a harmonic lattice dynamical model, we present analytical and numerical results on the phonon dispersion relations and the elastic properties of graphite, single layer graphene and multilayer graphene systems. The lattice dynamical model is based on an atomic force constants model for the covalent atom-atom bonds within a graphene layer and an atom-atom Lennard-Jones potential for the weak van der Waals interactions between layers. The inter-plane force constants up to fifth-nearest neighbors are those determined from recent x-ray scattering experiments [1]. Using Born's long-wavelength method we determined the elastic constants (i.e. tension coefficients) for in-plane deformations of graphene as well as the elastic constants of graphite. We derive the elastic energies for stretching and for bending of graphene. Within the present force constants model for out-of-plane displacements, the bending rigidity  $\kappa_b$  of graphene has the value of  $\approx 1$  eV. This

large value is in good agreement with the result of atomistic Monte Carlo simulations [2] based on a many-body carbon bond-order potential. The value of  $\kappa_b$  leads to a macroscopically large persistence length  $\xi_p$  and favors the planarity of graphene.

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## 12

### **Influence of the catalyst preparation on the final length of carbon nanotubes cut by grinding**

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CNTs grown by Catalytic Chemical Vapour Deposition (CCVD), can be up to tens of microns long. This is not suitable in particular to produce composite with polymer for microfluidics or NEMS applications. We have developed a method based on grinding to cut the carbon nanotubes down to 200nm length [1]. It is straightforward that the efficiency of the cutting process by grinding depends strongly on the diameter of the CNTs [2]. Indeed, the final CNTs length distribution will depend on the diameter distribution of the CNTs before grinding. It is known that the diameter of CNTs grown by CCVD is controlled by the size of the Fe<sub>2</sub>Co metallic nanoparticles supported by CaCO<sub>3</sub>. We have developed methods to produce the catalyst in order to control the CNTs diameter distribution [3]. The effect on the CNTs diameter distribution of the support particle size, precipitation method of Fe<sub>2</sub>Co precursors on the support and the drying process of the catalyst will be presented.

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**13****Environmental effect on the cross-polarized optical transitions of single-walled carbon nanotubes**Yuhei Miyauchi<sup>1</sup> Shigeo Maruyama<sup>1</sup><sup>1</sup>Dept. of Mech. Eng., The University of Tokyo, 7-3-1 Hongo, Bunkyo-ku, Tokyo 113-8656, Japan

Optical transition energies of single-walled carbon nanotubes (SWNTs) by the incident light polarized parallel to the nanotube axis such as E11 and E22 are known to be strongly affected by the change of environment around SWNTs [1, 2], which is called environmental effect. On the other hand, there is no report about the environmental effect for the cross-polarized excitations [3] to the nanotube axis. In this study, we have investigated the environmental effect on the the cross-polarized excitations such as E12 and E21.

In our previous study [3], we found that some PL peaks for cross-polarized excitation to the nanotube axis can be clearly observed in the polarized PLE spectra of micelle-suspended SWNTs with relatively small diameters less than 1 nm. Recently, Lefebvre and Finnie [4] measured cross-polarized excitation energies of SWNTs in air with larger diameters more than 1nm. Here we have investigated the cross-polarized excitation energies of various (n, m) SWNTs with diameters more than 1 nm in a surfactant solution by polarized PLE spectroscopy. The observed excitation energies of micelle-suspended SWNTs for the cross-polarized condition are considerably red- shifted from those of SWNTs in air and the amount of the energy shift for cross-polarized excitations tended to be larger than those for parallel excitations. This result implies strong depolarization effect for cross-polarized excitations.

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**14****First principles calculations of CdSe nanowires**Marcel Mohr<sup>1</sup> Christian Thomsen<sup>1</sup><sup>1</sup>TU Berlin, Berlin

We present first principles calculations of CdSe nanowires with diameters of up to 25 Å. Their atomic structure as well as electronic and vibrational properties are investigated. We verify the strong band gap dependence on nanowire diameter. In addition, passivating the surface dangling bonds increases the band gap. The evolution of phonon frequencies with decreasing diameter is analyzed. We find strongly size-dependent and nearly constant modes. We predict a low-frequency, diameter-dependent radial breathing mode (RBM) in CdSe nanowires

**15****Diameter dependence of addition reactions to Carbon Nanotubes**Matthias Müller<sup>1</sup> Janina Maultzsch<sup>1</sup> David Wunderlich<sup>2</sup> Andreas Hirsch<sup>3</sup> Christian Thomsen<sup>1</sup><sup>1</sup>Institut für Festkörperphysik, TU Berlin, Hardenbergstr. 36, 10623 Berlin<sup>2</sup>Zentralinstitut für Neue Materialien und Prozesstechnik, Universität Erlangen-Nürnberg, Dr.-Mack-Str. 81, 90762 Fürth, Germany<sup>3</sup>Institut für Organische Chemie, Universität Erlangen-Nürnberg, Henketstr. 42, 91054 Erlangen, Germany

We present resonant Raman measurements on carbon nanotubes functionalized with diverse alkyl groups and various degrees of functionalization. From the resonance profiles of the radial breathing mode (RBM) we assign the chiral indices of the tubes [1] in order to study the reactivity as a function of diameter and tube species as has been observed or predicted for several reactants [2,3].

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**16****An analysis of carbon nanotubes wettability before and after oxidation**Simone Musso<sup>1</sup> Stefano Bianco<sup>1</sup> Mauro Giorcelli<sup>1</sup> Alberto Tagliaferro<sup>1</sup> Nicola Pugno<sup>2</sup> Matteo Pavese<sup>3</sup><sup>1</sup>Dipartimento di Fisica, Politecnico di Torino, Torino (Italy)<sup>2</sup>Dipartimento di Ingegneria Strutturale e Geotecnica, Politecnico di Torino, Torino, Italy<sup>3</sup>Dipartimento di Scienza dei Materiali e Ingegneria Chimica, Politecnico di Torino, TORINO (Italy)

Wettability of CNTs surface is an important property, governed both by chemical composition and the geometrical microstructure of the contact surface, which

can play a key role in CNTs performance during their applications as reinforcements in polymer composites (1), as sensors (2) or as high selective molecular membranes (3). Whereas on the microscopic level (4,5) the wetting behaviour of single CNTs has been studied extensively, the challenge is to understand the wetting behavior at a macroscopic level, when nanotubes are grouped into aligned configurations and the chemical/physical manipulation of the properties can greatly facilitate some applications and can also result in some new properties. In this work contact angle measurements have been carried out on different carbon-based materials: graphite and carbon nanotube structures. Thin layers of entangled carbon nanotubes (CNTs) have been considered, together with thick mats of vertically aligned carbon nanotubes (VACNs). In particular, the thick carpets have been investigated both before and after chemical oxidation with nitric/sulfuric acid mixture. Tests have been performed using three liquids with different polarity (water, dimethylsulfoxide and diiodomethane). Very high hydrophobicity was observed for the top surface of aligned carbon nanotubes mats, but after oxidation a dramatic reduction of contact angle has been observed, bringing to a hydrophilic behavior. A theoretical calculation of the bulk pore distribution of the material have been modeled to estimate the liquid percolation through oxidized carpets surface.

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## 17

### **Inkjet printing of carbon nanotube transistors on rigid and flexible substrates**

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Inkjet printing of carboxyl functionalized single-walled carbon nanotube (SWCNT) on various substrates is investigated. Low and high density networks of SWCNTs,

which have either nonlinear or linear current-voltage characteristics are obtained. The nonlinear, low-density networks are gate-controllable and could be operated as field-effect transistors. The applicability and limitations of inkjet printing technique for micropatterned nanotube thin film generation are discussed.

## 18

### **Inkjet printed resistive and chemical-FET carbon nanotube gas sensors**

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Aqueous solutions of carboxyl functionalized single-walled carbon nanotube (SWCNT) films are deposited on silicon chips by using inkjet printing technique. By varying the film thickness it is possible to obtain low- or high density networks of SWCNTs, having either nonlinear or linear current-voltage characteristics, respectively. The electrical transport in the low-density films is gate-controllable and utilized as chemical field-effect transistor sensors; whereas the high-density SWCNT networks of Ohmic behavior are used as conventional resistive gas sensors. Chemical sensitivity and selectivity of both types of sensors are studied for nitric oxide (NO), carbon monoxide (CO) and hydrogen sulfide ( $H_2S$ ) gases.

## 19

### **Kekule pattern on conductance images between two STM probes**

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The scanning-tunneling-microscopy (STM) is a powerful technique for directly viewing electronic wave functions at the atomic level. Quite recently multi-probe STM was developed. In this paper the conductance image between two STM probes is calculated in an armchair carbon nanotube within a tight-binding model and a

realistic model for STM probes [1]. We show that a Kekule-type pattern usually appears due to interference of states at K and K points except in special cases. In the calculation, a left STM probe is fixed at several points and a right probe is continuously swept over the wide region. The Kekule-type pattern peak usually appears in the calculated conductance. It disappears in special cases where an electron is injected into a single propagating state classified by the parity in the effective-mass scheme.

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## 20

### **Photoemission Study of Electronic Structures of Fullerene and Metallofullerene Peapods**

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We have investigated the electronic structures of  $C_{70}$  fullerenes and metallofullerenes (MFs) encapsulated in single-wall carbon nanotubes (SWCNTs), the so-called fullerene peapods ( $C_{70}$ @SWCNTs) and metallofullerenes peapods ( $R@C_{82}$ @SWCNTs,  $R = \text{Gd, Dy, La}$ ), using photoemission spectroscopy. The photoemission spectra of encapsulating  $C_{70}$  and MFs are obtained by subtracting the spectrum of the pristine SWCNTs from those of the corresponding peapods. The electronic structure of encapsulating  $C_{70}$  is similar to that of  $C_{70}$  film. On the other hand, the energy position of the singly occupied molecular orbital (SOMO) in encapsulating  $\text{La}@C_{82}$  is shifted by 0.14eV toward the Fermi energy ( $E_F$ ), compared with that in  $\text{La}@C_{82}$  film. In addition, from detailed analyses of the Gd and Dy  $4d-4f$  resonant photoemission spectra, we estimated the effective Gd and Dy valences of MFs@SWCNTs to be 3.0 and 2.9, respectively.

**21****Entanglement in P@C<sub>60</sub> encapsulated in a solid state matrix**Boris Naydenov<sup>1</sup> J. Mende<sup>2</sup> W. Harneit<sup>3</sup> M. Mehring<sup>1</sup><sup>1</sup>Physikalisches Institut, Universität Stuttgart, Stuttgart, Germany<sup>2</sup>Deutsches Zentrum für Luft- und Raumfahrt (DLR), Pfaffenwaldring 38-40, Stuttgart, Germany<sup>3</sup>Institut für Experimentalphysik, Freie Universität Berlin, Arnimallee 14, Berlin, Germany

This contribution concerns quantum information aspects in fullerenes. In particular we present here the preparation of pseudo-pure states and pseudo-entanglement in the coupled electron-nucleus system P@C<sub>60</sub> ( $S = 3/2$  and  $I = 1/2$ ) encapsulated in a solid state matrix. In this system the degeneracy of the high electron spin is lifted, allowing transition selective excitation. Density matrix tomography and phase rotations are applied for detecting pure states and entanglement.

**22****Sidewall functionalization of HiPCo nanotubes in toluene**Katalin Nemeth<sup>1</sup> Ferenc Borondics<sup>1</sup> Emma Jakab<sup>2</sup> Aron Pekker<sup>1</sup> Katalin Kamaras<sup>1</sup> Sandor Pekker<sup>1</sup><sup>1</sup>Research Institute for Solid State Physics and Optics, Budapest<sup>2</sup>Institute of Materials and Environmental Chemistry, Chemical Research Center, Hungarian Academy of Sciences, Budapest

In this study we present the synthesis of hydrogenated and alkyl functionalized single-walled carbon nanotubes (SWNTs). The presented reductive functionalization scheme consist of two major steps, the formation and the reaction of the formed carbanions, respectively. In our case carbanions were formed via K intercalation, methanol was used for hydrogenation, and butyl iodide for butylation in anhydrous toluene. Potassium also has an important role in the exfoliation of the nanotube bundles, thus providing a higher yield. The main reaction product is the hydrogenated or alkylated SWNT, but different side reactions are also present, such as hydrogen evolution in case of methanol, and dialkane formation in case of alkyl halide reagents. The composition of the products was determined by thermogravimetry-mass spectrometry. The functionalized nanotubes were characterized by Raman and wide-range optical spectroscopy, in order to establish the changes in electronic structure and to investigate diameter and chiral selectivity of the reactions.

**23****Current Filamentation in C60 Diodes through Double Injection**

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Charge transport in fullerene films is heavily influenced not only by impurities but also by the film morphology [1]. The current-voltage characteristics (IVs) of evaporated C60 thin film diodes follow the space charge limited current (SCLC) theory. Under certain conditions - when using a non-metallic hole injector (PEDOT:PSS) and homogeneous, pure C60 films - deviations from the SCLC behaviour are obtained. The fullerene diodes exhibit voltage instabilities (S-shape IV characteristics) at low temperatures (below 200 K) and at high current densities [2,3]. The instabilities are reversible and correspond to effects, called "Ovonic switches", described with certain non-crystalline inorganic semiconductors [4]. Following this description, charge transport in C60 is influenced by trapping of electrons and holes. We suggest that due to double injection and charge trapping near the electrodes conductive filaments in the fullerene bulk phase are formed, influencing the current flow and causing the voltage instability. We present systematic IV-characteristics of Ovonic switches in C60 diodes at various temperatures. Additionally, morphology studies of C60 films grown by thermal evaporation onto PEDOT:PSS are shown. Cross sectional transmission and scanning electron microscopy studies are presented as well as monitoring of the growth process probed with atomic force microscopy.

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**24****Observation of a Quantum Phase Transition in a single-molecule Quantum Dot**

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Quantum criticality is the intriguing possibility offered by the laws of quantum mechanics when the wave function of a many-particle physical system is forced to evolve continuously between two distinct, competing ground states. This phenomenon, often related to a zero-temperature magnetic phase transition, can be observed in several strongly correlated materials such as heavy fermion compounds or possibly high-temperature superconductors, and is believed to govern many of their fascinating, yet still unexplained properties. In contrast to these bulk materials with very complex electronic structure, artificial nanoscale devices could offer a new and simpler vista to the comprehension of quantum phase transitions. This long-sought possibility is demonstrated by our work in a fullerene molecular junction, where gate voltage induces a crossing of singlet and triplet spin states at zero magnetic field. Electronic tunneling from metallic contacts into the  $C_{60}$  quantum dot provides here the necessary many-body correlations to observe true quantum critical behavior. With our work, we demonstrate that  $C_{60}$  QDs in nanoscopic constrictions present the two key ingredients for observing quantum phase transitions: a gate-tuning of the singlet-triplet gap at zero magnetic field, and a single screening channel. This experiment constitutes thus a further step for the realization in QDs of fundamental many-body effects relevant for bulk correlated materials, and opens also new possibilities for the precise control of spin states in nanostructures containing few electrons.

I will present a full experimental study of transport measurements on a  $C_{60}$  QD, as a function of bias voltage ( $V_b$ ), gate voltage ( $V_g$ ), temperature (35mK to 20K), and magnetic field (0 up to 8 Tesla). A scaling analysis of our conductance measurements reveals the nature of the quantum critical point, in the vicinity of which a surprisingly rich interplay of several effects occurs. These include the underscreening of a spin  $S = 1$  state on the triplet ground state side, a fully developed inverse Kondo dip on the singlet ground state side, as well as complex non-equilibrium Kondo features on both sides of the transition.

**25****Electron beam induced electronic transport in vanadium oxide nanotubes**C. ODwyer<sup>1</sup> C. Clavijo<sup>2</sup> V. Lavayen<sup>3</sup> C. M. Sotomayor Torres<sup>4</sup><sup>1</sup>Tyndall National Institute, University College Cork, Cork, Ireland<sup>2</sup>Dept. of Mathematics and Computer Science, Faculty of Electrical Engineering, University of Twente, The Netherlands<sup>3</sup>UFMG, Departamento de Física, Av. Antonio Carlos, 6627, Belo Horizonte, 30123-970, MG, Brazil<sup>4</sup>Institute for Research and Advanced Studies, ICREA, 08010 Barcelona, Spain and Catalan Institute of Nanotechnology, Edifici CM7, Campus Universitat Autònoma de Barcelona, 08193 Bellaterra (Barcelona), Spain

The fabrication of synthetic nanotubes does not require high-resolution lithography, which makes them attractive as components of nanoscale electrical devices. In addition, field-effect transistors (FETs) from individual nanotubes have been reported. Inorganic materials like WS<sub>2</sub> nanotubes, silicon nanowires, or vanadium pentoxide nanotubes as well as molecules such as DNA, have garnered increasing importance in this regard. In the present study, we employ vanadium pentoxide (V<sub>2</sub>O<sub>5</sub>) nanotubes as effective conducting tubular wires with thicknesses of molecular dimensions. The electrical transport through alkyl monoamine-intercalated V<sub>2</sub>O<sub>5</sub> nanotubes can be effectively modulated by the potential of a gate electrode, representing a new type of nanoFET based on hybrid organic-inorganic nanotubes. A combination of nanoimprint lithography (NIL) and photo-lithographic techniques were used to produce nanometer-sized interdigitated electrodes with channel lengths of 100 nm [1-3]. V<sub>2</sub>O<sub>5</sub> nanotubes, formed by mild hydrothermal treatment of monoamine intercalated laminar V<sub>2</sub>O<sub>5</sub> xerogel [4], were drop-cast on the electrode surface utilizing the negatively charged nanotube affinity to control the adsorption process.

Electron beam induced current (EBIC) measurements and voltage contrast (VC) measurements were found to be suitable and applicable techniques for alkyl monoamine-intercalated V<sub>2</sub>O<sub>5</sub> nanotubes and will be shown to map the electronic transport properties with a 10 nm spatial resolution. The findings comprise the fabrication of solution processable V<sub>2</sub>O<sub>5</sub> nanotube-channelled FETs by patterning of interdigitated structures by NIL and selective adsorption of the nanotube channels. Electrical characterization of the FET structures evidences a reduced polaron hopping activation energy to those from nanofiber [5] and nanowire-based structures [6], linked to the absence of transport barriers at inter-tube contacts and the nanotube-channelled structure exhibits an n-type enhancement mode. Finally, evidence is presented for a thermally driven small polaron-hopping conduction mechanism as a function of vanadium valency.

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## 26

### Magnetotransport on single-walled carbon nanotubes and graphene

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Single-walled carbon nanotubes (SWNTs) were produced by the arc-discharge method using non-magnetic catalysts [1], subsequently adsorbed from dispersion onto Si/SiO<sub>2</sub> substrates and contacted in field-effect transistor configuration. Graphene flakes on top of Si/SiO<sub>2</sub> chips were fabricated utilizing the established *micromechanical cleavage method* [2,3] and contacted by standard e-beam lithography. Both SWNTs and graphene layers were investigated by 2-probe magnetotransport measurements with external magnetic fields applied in different directions. As anticipated, no clear effects were observed for the graphene flakes at in-plane magnetic fields, whereas signatures of Hall and Quantum Hall effect became visible for perpendicular magnetic fields. Some SWNTs exhibited up to 10% negative magnetoresistance for axially oriented magnetic fields, in contrast no effects were observable for external magnetic fields perpendicular to the nanotube axes. The SWNT magnetotransport results will be discussed in terms of Aharonov Bohm phase shift and weak localization.

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**27****CARBON NANOTUBE-BASED OPTICAL MEDIA WORKING IN SPECTRAL RANGE 1.0 - 2.5 MICROMETERS**

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Due to a growing interest to the optical media incorporating single-wall carbon-nanotubes (SWNTs) being able to work in IR spectral range as ultrafast modulators of laser beams [1], in this work we have performed a systematic comparative study of 3 types of SWNTs: HiPCO, arc and ferrocene-CO-CVD [2]. The Raman and UV-VIS-NIR absorption spectra have been measured in detail. The media have been taken in form of thin polymer films (4-20 mkm) incorporating SWNTs, or thin SWNT films deposited onto quartz substrates. The optical losses of different types of the media were controlled and kept comparable. The measurements have shown that the absorption bands of these 3 materials, with a slight overlapping, have covered completely a spectral range from 1 mkm to 2.5 mkm. Namely, the band center for HiPCO SWNTs was at 1200 nm, for arc nanotubes it was at 1750 nm and for the ferrocene-CO-CVD nanotubes it was at 2130 nm. The band maximum positions have been used to estimate the average nanotube diameters. They were 1.0 nm, 1.4 nm and 1.8 nm for HiPCO, arc and the ferrocene-CO-CVD nanotubes, correspondingly. These values have coincided very well with the estimations based on the positions of the Raman breathing modes for the same materials. Up to now the efficiency of SWNTs as saturable absorbers have been demonstrated up to 1.93 mkm (thulium fiber laser) [3]. A possibility to use the nanotubes working in the range 2.0-2.5 mkm is very promising for realization of the ultra-short pulse generation in much wider class of solid state lasers, for instance, in the holmium fiber laser working at wavelength 2.1 mkm.

The work is supported by RFBR 07-02-91033-AF, FP7-033350 and by the Academy of Finland.

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**28****STATISTICAL ANALYSIS OF ATOMIC FORCE MICROSCOPY AND RAMAN SPECTROSCOPY DATA FOR ESTIMATION OF GRAPHENE LAYERS NUMBER**

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In this work we present the results on preparation and analysis of structural and electronic properties of n-layer graphene structures. The samples were prepared by a micro-cleavage technique [1]. The obtained samples contained several n-layer graphene flakes onto silicon oxide surface. To estimate the number of layers in each flake the numerous atomic force microscopy (AFM) measurements have been performed in different points of flakes. The array of the thickness values estimated by AFM have exhibited a set of maxima: 0.7 nm, 1.1 nm, 1.4 nm, 1.7 nm, 2.1 nm etc. These values correspond ideally to the one-, two, three-, etc. layered graphene. To check an accuracy of AFM estimations the same flakes have been studied by two-phonon Raman spectroscopy known as a tool, being sensitive to the number of graphene layers [2]. The spectra have been registered with the different excitation wavelengths. The electronic structure of n-layered graphene has been modeled using the density functional technique. An estimation of the number of graphene layers in the different flakes was based on the parameters of components observed in two-phonon Raman spectra matched to the possible electronic transitions calculated. Perfect correspondence between the estimations made by AFM and Raman techniques have been obtained.

This work was supported by RFBR-07-02-01505 and INTAS 05-109-4966.

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**29****Optical Band Gap Modification of Single-Walled Carbon Nanotubes by Encapsulated Fullerenes**

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We report optical band gap modifications of single-walled carbon nanotubes (SW-NTs) upon C<sub>60</sub> insertions by using photoluminescence (PL) and the corresponding excitation spectroscopy. The shifts in optical transition energies strongly depend on the tube diameter and the "2n+m" family type, which can be explained by the local strain and the hybridization between the nanotube states and the C<sub>60</sub> molecular orbitals. The present results provide possible design rules for nanotube-based heterostructures having a specific type of electronic functionality.

### 30

#### **ELECTRONIC STRUCTURE AND ELECTROCHEMICAL CHARACTERISTICS OF ONION-LIKE CARBON MATERIALS**

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Nanodiamonds (ND) prepared by a detonation method, have been annealed in a high vacuum at temperatures 1420, 1600, 1900 and 2140 K. Transmission electron microscopy showed the rearrangement of ND surface into 2-3 graphitic layers when temperature increases up to 1420 K, the nanoparticles produced at 1800 K have quasi-spherical onion-like form with layer spacing close to the graphite one. The final stage of ND annealing yields multilayered graphitic polyhedral particles having a hollow inner space. X-ray emission and X-ray absorption spectroscopy detected the difference in the electronic state of carbon shells generated below 2140 K and that of graphite. An intense maximum found in the high-energy region of the CK $\alpha$  spectrum indicated significant localization of weakly bonding electrons in onion-like carbon. Quantum-chemical calculations on the carbon models revealed this localization might result from defects in the curved graphitic networks, namely, the holes which appear through a deficit of surface atoms of ND that are required to form an ideal spherical shell. The supercapacitor characteristics of the samples have been investigated in different electrolytes. It was found that in the considered set of the samples the onion-like carbon particles possess by higher capacitance both in acidic 30 F/g and in alkaline 15 F/g electrolytes.

**31*****π* Electron Spectrum of Finite-Size Honeycomb Lattices: Exact Versus Approximate Results**Lyuba Malysheva<sup>1</sup> Sven Stafström<sup>2</sup> Alexander Onipko<sup>3</sup><sup>1</sup>Division of Quantum Electronics, Bogolyubov Institute for Theoretical Physics, 03680, Kyiv, Ukraine<sup>2</sup>Department of Physics, IFM, Linköping University, S-581 83 Linköping, Sweden<sup>3</sup>Division of Theory and Simulation of Plasma Processes, Bogolyubov Institute for Theoretical Physics, 03680, Kyiv, Ukraine

It is shown that in the tight-binding approximation, the  $\pi$  electron spectrum of honeycomb lattices framed by two armchair- and two zigzag-shaped boundaries is determined by the 2D-graphite dispersion relation supplemented by the generalized Lennard-Jones equation. These two relations give the exact solution of the spectral problem for a graphene sheet containing an arbitrary number of  $N$  (oligo) polyacenes coupled to each other by  $N$  C-C bonds. Applications of the developed approach are exemplified by a detailed analysis of armchair and zigzag graphene ribbons. It is argued that the description of edge states of zigzag ribbons on the basis of Dirac equation is correct qualitatively but not quantitatively. The spectrum and wave functions of these states are represented in the form of analytic relations supported by exact numerical calculations.

**32****Diameter selectivity of nanotube sidewall functionalization probed by optical spectroscopy**Aron Pekker<sup>1</sup> Katalin Kamaras<sup>1</sup> David Wunderlich<sup>2</sup> Andreas Hirsch<sup>2</sup><sup>1</sup>Research Institute for Solid State Physics and Optics, Hungarian Academy of Sciences, P.O. Box 49, H-1525 Budapest, Hungary<sup>2</sup>Institute for Organic Chemistry, University of Erlangen-Nürnberg, Henkestr. 42, 91054 Erlangen, Germany

We present here wide range optical measurements on functionalized HiPCO nanotubes [1]. In the infrared spectrum of HiPCO nanotubes the peaks show fine structure, where the separate peaks are related to nanotubes with different diameters. This gives us the opportunity to investigate the possible diameter dependence of the functionalization efficiency.

We measured several samples functionalized with butyl sidegroups with different concentration [2]. In order to access the widest possible range on the same sample, we prepared self-supporting thin films from these materials. From the transmission spectrum optical conductivity was calculated by Kramers-Kronig transformation and fitted by Drude - Lorentz oscillators.

Comparing the results we show that the nanotubes with smaller diameters are affected more easily by functionalization.

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**33****Ressonance Raman Excitation Energy Map and High Resolution Transmission Electron Microscopy of hundreds of (n,m) Single Wall Carbon Nanotubes**

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In this work we measure the Raman spectra of a SWNT sample with a wide diameter distribution - 1nm to 6nm - with closely spaced laser lines over the 1.26eV to 2.71eV energy range and did a thorough analysis of the observed features. The most intense peaks are assigned to the scattering of light by a single RBM phonon in resonance with the well-known excitonic  $E_{ii}$  transitions. Comparison of the Raman map with the diameter distribution of the sample, obtained from HRTEM measurements of 395 different nanotubes allows us to determine the diameter dependence of the RBM cross section. Furthermore, a number of weaker features are identified with different mechanisms, such as the RBM overtone and cross polarized transitions.

**34****A detailed comparison between precursor based and CVD-grown DWCNTs**

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Recently, we studied the detailed transformation dynamics of C<sub>60</sub> and ferrocene (FeCp<sub>2</sub>) filled SWCNTs into DWCNTs. It turned out that these starting materials give rise to two different growth processes, namely a non-catalytic fusion of the fullerenes in the first case and a catalytic growth of the inner tubes in the latter case. Even for the same starting SWCNTs material these two processes resulted in different inner-outer tube pair distributions.

In this contribution we present a thorough comparison of DWCNTs directly grown from a CVD process and DWCNTs produced from the above two starting materials.

We studied the samples with Raman spectroscopy for excitations from IR to UV and with x-ray diffraction. It turned out that the CVD grown tubes are more similar to the FeCp<sub>2</sub> based DWCNTs with respect to the inner-outer tube pair distribution. However, regarding the the Raman frequencies and the Raman lines widths all three samples are comparable. Finally, an anomalous dispersion for the D-line and for its overtone was observed for the inner tubes in a similar way for the peapod grown and for the CVD grown tubes.

Work supported by FWF project I83-N20 (ESF IMPRESS).

### 35

#### **Surface enhanced Raman spectroscopy of liquid crystal 8CB using chip structures**

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Molecular nanostructures are excellent systems to study surface enhanced Raman scattering (SERS), since monolayers or sub-monolayers are expected to provide maximum scattering enhancement. The SERS enhancement is due to a plasma induced field enhancement by metallic nanoparticles. By this effect the Raman response of molecules in the immediate neighborhood of the particles can be dramatically increased.

We investigated the SERS effect of Ag colloides on glas substrates and on lithographically produced nanostructures of gold and aluminium on silicon and ITO glass.

For testing extremely thin layers (monolayers and submonolayers) of 4,4 Octylcyanobiphenyl (liquid crystal 8CB) were prepared. The observed enhancement for 8CB on Ag colloides was about 10<sup>3</sup>.

Enhancement factors for the lithographic structures were initially below 100. To increase the enhancement the Au nanostructures were coated with a 20 nm thick Ag-layer. Optimisation of the Ag nanoparticle geometry was investigated as an alternative SERS improvement routine. The viability of the chip structures for multiple usage was tested. The experimental analysis was supported by calculations of the SERS cross-section for different structure geometries.

*This work was supported by the Austrian Nanoinitiative, Projekt 810401/S14*

**36****Monitoring several environmental parameters at once: multi-level modelling of carbon-nanotube-based sensors**Yann Pouillon<sup>1</sup> Juan María García Lastra<sup>1</sup> Angel Rubio<sup>1</sup><sup>1</sup>European Theoretical Spectroscopy Facility, Universidad del País Vasco UPV/EHU, Donostia-San Sebastián

The SANES (Self-Adjusting Nano-Electronic Sensors) project aims at designing, building, and characterizing integrated multifunctional nanotube-based sensor micro-modules, in order to monitor simultaneously several environmental parameters, like temperature, pressure, and gas concentration, in various conditions. Potential applications range from security to medicine, covering communication and environmental issues as well.

Experimental challenges involve manipulating and contacting very small systems reliably, measuring and processing low-amplitude and extremely noisy signals, as well as choosing adequate molecules to functionalize the CNTs, in order to enhance both sensitivity and selectivity. A better theoretical understanding is clearly needed for all this, in which the requested accuracy defines the parts of the systems that can be addressed.

In order to provide the experimentalists with a valuable insight into the properties of these CNTs, to speed-up the process of selecting functional molecules, and to meet the challenges brought by the sizes of the systems involved, we are thus conducting series of calculations of their structural, electronic, and transport (I/V characteristics) properties at multiple levels: empirical, tight-binding, and *ab initio*. Some emphasis is put on the role of water.

**37****Magnetic-field effects on heavily disordered graphene**Stephen R. Power<sup>1</sup> Mauro S. Ferreira<sup>1</sup><sup>1</sup>School of Physics, Trinity College Dublin, Dublin 2, Ireland.

The electronic structure of graphene has been much studied recently, with the obvious intention of exploring the linearity of its dispersion around the Fermi level. External magnetic fields are known to affect the electronic structure of a material by the introduction of Landau levels and have been in focus recently. Disorder is also known to affect the electronic energy level distribution but is often ignored in many calculations. Here we consider the effect of magnetic fields on the electronic structure of graphene in the presence of strong disorder. Based on the nearest-neighbour tight-binding model, we consider randomly distributed substitutional and adsorbed impurities. The magnetic field is accounted for by the Peierls phase method. Configurational averaging is performed both by brute-force methods and



using the Coherent Potential Approximation. A systematic study is carried out by varying the magnetic field strength, the impurity concentration and the nature of the impurity.

### 38

#### **Exciton transfer and propagation in carbon nanotubes studied by near-field optical microscopy**

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Single-walled carbon nanotubes feature unique electronic properties making them ideal candidates for ultra-high density devices in electronics, photonics and optoelectronics. Photoluminescence (PL) from semiconducting carbon nanotubes results from exciton recombination. We use tip-enhanced near-field optical microscopy (TENOM [1-3]) as a tool to visualize PL properties on a nanometre length scale.

Near-field PL and topography images of a single nanotube bundle reveal the presence of two semiconducting nanotubes with different chiralities having an inter-nanotube spacing ranging from 1 to 4 nm. PL from the large band gap nanotube was observed with unexpectedly high intensities varying spatially due to distance dependent inter-nanotube energy transfer. Efficient transfer is found to be limited to a few nanometres because of competing fast non-radiative relaxation and can be explained in terms of electromagnetic near-field coupling. Towards the end of nanotube, PL decay is observed on a length scale of 15-40 nm that is attributed to exciton propagation followed by additional non-radiative relaxation at the nanotube end.

1 Hartschuh, A. et al, Nano Lett. 5, 2310-2313 (2005).

2 Hartschuh, A. et al, Phys. Rev. Lett. 90, 095503-095506 (2003).

3 Kawata, S. and Shalaev, V. M., editor. Tip enhancement. Advances in Nano-Optics and Nano-Photonics. Elsevier, Amsterdam, (2007).

### 39

#### **Electrochemical Functionalization of SWNT bundles in acid and salt media as observed by Raman and X-ray Photoelectron Spectroscopy**

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We carried out electrochemical functionalization of single-walled carbon nanotube mats (buckypaper) in HCl and KCl aqueous solutions by exposing them to electrochemical potentials above the  $\text{Cl}^-$  oxidation potential and high Faradaic currents. Formation of C-Cl covalent bonds was established by X-ray Photoelectron Spectroscopy (XPS). Combining results from Raman, XPS and conductivity measurements, we find a significantly higher degree of functionalization in the HCl solution as compared to the KCl one. We also discuss the impact of electrochemical doping and functionalization on the morphology and the conductivity of the SWNT bundles.

#### 40

#### **Comparative photoluminescence of three Er-doped trimetallic nitride template fullerenes**

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Endohedral fullerenes, where atoms are trapped inside the carbon cage, are showing great promise as candidates for quantum information processing (QIP) with electronic spins, in particular because the shielded atoms inside the cage often exhibit long decoherence times and relatively pure states. One of the great challenges for QIP with such materials is the detection limit for current electron spin resonance (ESR) techniques, which is around  $10^{12}$  spins. One possible way to significantly lower this limit is to use light detection of magnetic states. Erbium-scandium trimetallic nitride template fullerenes,  $\text{Er}_x\text{Sc}_{3-x}\text{N}@C_{80}$  with  $x=1, 2$  or  $3$ , have  $\text{Er}^{3+}$  ions emitting around 1.500nm where the  $C_{80}$  cage is transparent. The photoluminescence (PL) spectra of the three Er-Sc TNT fullerenes were taken around 1500nm at various temperatures. The PL peaks intensities were used to compare the luminescence of the different TNT fullerenes. We show that there is no linear relationship between the erbium content and the intensity of the emission peaks when we compare the different TNT fullerenes. Among the three compounds,  $\text{ErSc}_2\text{N}@C_{80}$  seems to have the strongest 1500nm luminescence intensity with respect to the erbium content.  $\text{ErSc}_2\text{N}@C_{80}$  is also spin active, which makes it a very interesting potential candidate for optical readout of spin states.

**41****Electronic hybrid structures formed by carbon nanotubes and metallic nanowires**Nitesh Ranjan<sup>1</sup> Michael Mertig<sup>2</sup><sup>1</sup>Institute of Materials Science and Max Bergmann Center of Biomaterials, Dresden University of Technology, Dresden<sup>2</sup>Dresden University of Technology, D-01062 Dresden, Germany

Carbon nanotubes and solid-state nanowires are considered to be the most promising candidates for future nanoelectronics. Carbon nanotubes exist as both metallic and semiconducting ones. While metallic carbon nanotubes can be used as interconnects in the integrated circuits, semiconducting nanotubes can be used as nanoelectronic devices such as field-effect transistors [1]. Metallic nanowires can now be directly grown between the electrodes by dielectrophoresis having one-dimensional electrical properties similar to metallic carbon nanotubes [2].

We here present an ingenious method to combine carbon nanotubes with metallic nanowires in nanoelectronic circuits. In this method, the nanotubes are first deposited between micro-fabricated electrodes by dielectrophoresis. Thereafter, the formed nanotube bridges were cut either by burning them electrically or by mechanical rupture by means of AFM tips. Burning was achieved by passing excessively high current through the carbon nanotubes which finally burnt them locally. AFM cutting was obtained by moving the AFM tip over the nanotubes bridges with high loading force. The broken bridges were then joined by metallic palladium nanowires using dielectrophoretic deposition from a palladium salt solution. The obtained nanoelectronic structures were imaged by AFM and SEM. Room temperature I-V characteristics were taken of pure carbon nanotubes, during the burning process and of the hybrid structures formed after coupling CNTs with metallic nanowires. We demonstrate that the developed method can be used to restore the functionality of broken nanoelectronic circuits, and to unravel novel electronic properties of low-dimensional hybrid structures.

1 S. Taeger, M. Mertig: Self-assembly of high-performance multi-tube carbon nanotube field-effect transistors by ac dielectrophoresis, *International Journal of Materials Research* 98, 742-748 (2007).

2 N. Ranjan, H. Vinzelberg, M. Mertig: Growing one-dimensional metallic nanowires by dielectrophoresis. *Small* 2, 1490-1496 (2006).

**42****Ex-situ Synthesized Nickel Nanoclusters: easy-to-use Carbon Nanotube precursors**Chandramohan George<sup>1</sup> Maria Teresa Parodi<sup>1</sup> Alberto Ansaldo<sup>2</sup> Ermanno Di Zitti<sup>1</sup> Siegmund Roth<sup>3</sup> Davide Ricci<sup>2</sup><sup>1</sup>Dipartimento di Ingegneria Biofisica ed Elettronica, Università di Genova, Genova - Italy

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One of the key steps in Carbon Nanotube (CNT) synthesis via Chemical Vapour Deposition (CVD) is the preparation of appropriate metal precursors that act as catalysts for CNT growth. The most common approaches for activating the sample surface typically rely on the in-situ preparation of metallic clusters obtained either starting from evaporated thin metal films or from coatings of metallic compounds. In this work we present an alternative approach based on the ex-situ preparation of a suspension of metallic nanoclusters, namely Nickel nanoparticles, that can be used as a precursor ink for the activation of the substrate where CNTs have to be grown. The Nickel nanoparticles (NiNPs) are synthesized ex situ by a hot injection method involving the reduction of Nickelacetylacetonate  $[\text{Ni}(\text{acac})_2]$  with sodium tetrahydridoborate,  $[\text{NaBH}_4]$ , in the presence of oleylamine. The precursor ink is then obtained by dispersing the NiNPs in hexane. NiNPs have shown to be stable for many months, their size has been tuned during synthesis or later via size-selection methods, and their concentration can be changed by several orders of magnitude. We present preliminary results on the growth of CNTs using NiNPs as precursors on flat surfaces such as silicon oxide and also on very high aspect ratio surfaces, i.e. sharpened tungsten wires. Advantages and issues compared to in-situ cluster preparation techniques are discussed.

### 43

#### MEMORY EFFECTS IN CARBON NANOTUBE BASED DEVICES

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Carbon nanotube field-effect transistors (CNT-FETs) are proposed as possible building blocks for future nano-electronics. But a challenge there is that they often display some degree of hysteresis in their transfer characteristics. For field-effect transistor this is an undesired feature that causes its output to be unpredictable. This has motivated several studies of finding ways to prevent or remove these tendencies. On the other hand, this opens up new possibilities for using these CNT-FETs as memory elements instead by utilizing the hysteresis. Several studies have shown CNT-FETs with well separated ON and OFF states at zero gate voltage and these states are addressable with positive or negative gate voltage pulses, due to hysteresis. Until now the challenge has been to really understand the source of hysteresis and how to control it, which so far has been reported as a more or less random property among the studied CNT-FETs. The gate insulator film has here a crucial influence on the operation of the CNT-FET. For an effective capacitive coupling between the CNT and the gate electrode, a thin and dielectrically strong

film is required. On the other hand, in order to achieve the desired hysteretic behavior for a non-volatile memory, the gate-nanotube coupling has to include some mobile charges or charge traps within the gate insulator or at some interface in the system. Here we present a novel way of controlling this hysteresis and show results that bring us a bit closer for utilizing carbon nanotubes in the future as memory devices.

#### 44

##### **Graphene layers on silicon carbide studied by Raman spectroscopy**

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We present micro Raman spectroscopy studies of few layer graphene (FLG) which were prepared by annealing of SiC at elevated temperatures. These large domains of FLG are crystalline and oriented with respect to the underlying SiC substrate. The average thickness of the FLG-samples was determined by photoelectron spectroscopy and the local thickness ( $2\mu\text{m}$  resolution), from the Raman-line shape of the 2D-peak.

Samples with different average thicknesses of FLG were studied. The representative phonon lines in the Raman spectra are the G-peak and the 2D-peak. We find a significant shift of these lines to higher frequency compared to HOPG and exfoliated graphene layers. The phonon-frequency shift is particularly large for a graphene monolayer where it amounts to about  $30\text{cm}^{-1}$ . For this phonon hardening of the epitaxial monolayer on the reconstructed SiC surface we consider two effects: the influences of charge on the Kohn-anomaly, and compressive strain induced in the graphene layer by the underlying substrate.

#### 45

##### **Selective vertically aligned CNT growth in vias using electrodeposition**

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In recent years, carbon nanotubes (CNTs) have stimulated extensive research within the field of semiconductor device fabrication. In the specific case of interconnect application for integrated circuit (IC) technology, CNTs could replace copper because they have lower resistivity and delay, lower dynamic power and better thermal behaviour in vias than copper.

Crucial factor to reach high quality growth and sufficient packing density is a suitable placement of catalyst species on the surface (and in the vias). Until now, physical vapor deposited (PVD) metal films and metal particle impregnated supports have been used for CNT growth. But they lack control over size, density and location. To address these challenges, we are investigating electrodeposition as an alternative technique for catalyst preparation. This technique offers control over size, density and a high degree of selectivity, which is essential for IC integration. As such, monodispersed sub-nm to nm particles at a predefined location of patterned structures can be achieved. It also offers the possibility of preparing bicatalysts through the alloying of different metals.

In this work, nickel nanoparticles were electrodeposited on semiconductor surface and a metal-like layer, (100) n-type silicon wafer and titanium nitride (TiN) respectively. The deposition parameters (potential, time) dependence was studied. These nanoparticles were very successful for vertically aligned CNT growth. The growth was then compared with PVD films with an equivalent thickness.

Furthermore, in order to demonstrate the selectivity of the technique, nickel nanoparticles were deposited at the bottom of 150 to 300 nm diameter via structures patterned in a SiO<sub>2</sub> layer resulting in the high density selective growth of CNTs within the vias. The size and density of the particles achieved inside vias corresponded with the result obtained on blankets, which makes the process totally transferable from blanket to patterned structures.

The nanoparticle sizes and densities were characterized by SEM, TXRF and the CNTs morphology by SEM, TEM and RAMAN spectroscopy.

## 46

### **Vibrational properties of semitrimer picotubes: *ab initio* calculations and Raman spectroscopy**

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Picotubes are highly symmetric ring-shaped hydrocarbons closely related to very short carbon nanotubes. We study the vibrational properties of the semitrimer picotube and find the structural similarity to the corresponding (3,3) nanotube to be reflected also in the Raman spectra. We identify the symmetries of all prominent Raman modes of semitrimer picotubes by means of polarization-dependent measurements on crystalline samples. Furthermore, the semitrimer molecule has been subject to *ab initio* calculations, the results of which agree excellently to the experimental data. By comparing experiment and theory, we can assign phonon eigenvectors to all main Raman peaks.

**47****Dimensionality effects in the optics of BN nanostructures: applications for optoelectronic devices**Claudio Attacalite<sup>1</sup> Angel Rubio<sup>1</sup> Ludger Wirtz<sup>2</sup> Andrea Marini<sup>3</sup><sup>1</sup>European Theoretical Spectroscopy Facility (ETSF) and Nano bio Spectroscopy Group, Dpto. Física de Materiales, Universidad País Vasco, Centro Mixto CSIC-UPV/EHU 20018 Donostia (Spain). <http://nano-bio.ehu.es><sup>2</sup>Institute for Electronics, Microelectronics, and Nanotechnology (IEMN), Lille, France<sup>3</sup>Instituto Nazionale per la Fisica della Materia e Dipartimento di Fisica dell'Università di Roma Tor Vergata Via della Ricerca Scientifica, I-00133 Roma, Italy

We illustrate the effect of dimensionality and electron-hole attraction in boron-nitride (BN) compounds. The optical absorption spectra of BN nanotubes are dominated by strongly bound excitons. The absolute position of the first excitonic peak is almost independent of the tube radius and system dimensionality. This provides an explanation for the observed optical gap constancy for different tubes and bulk hexagonal BN. Furthermore, the levels which are responsible for defect-mediated photo-luminescence are shifted by the electric field making BN nanotubes excellent candidates for optoelectronic applications in the UV and below.

**48****On the graphitisation role of oxide supports in carbon nanotube CVD synthesis**Mark H. Ruemmel<sup>1</sup> Franziska Schaeffel<sup>1</sup> Christian Kramberger<sup>1</sup> Thomas Gemming<sup>1</sup> Paola Ayala<sup>1</sup> David Adebimpe<sup>1</sup> Bernd Rellinghaus<sup>1</sup> Ludwig Schultz<sup>1</sup> Bernd Buechner<sup>1</sup> Thomas Pichler<sup>1</sup><sup>1</sup>IFW Dresden, P.O. Box 270116, D-01171 Dresden, Germany

Systematic spectroscopic and microscopy studies on carbon nanotubes synthesized via chemical vapor deposition (CVD) using nano-engineered Fe particles on oxide supports were conducted. Our findings show that both the diameter and number of walls of the resultant CNT increase proportionally to the size of the catalyst particle. This behavior is fully consistent with catalyst volume to surface area constraints. In addition, detailed HREM studies on the carbon nanotubes show capped tops and open ended roots. The data points to the consecutive inward formation of concentric graphene caps during nucleation constricting and elongating the catalyst particle within the tube core. Further, the data suggest that after nucleation continued growth stems from the oxide support itself. This concept is further supported by CVD studies using graphite as the support and, in addition, studies on typical oxide supports alone show that such oxides can graphitize carbon species.

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**Understanding the Raman properties of graphite intercalated compounds: spectacular non-adiabatic effects, electron relaxation times and superconductivity**A. Marco Saitta<sup>1</sup> Matteo Calandra<sup>1</sup> Michele Lazzeri<sup>1</sup> Francesco Mauri<sup>1</sup><sup>1</sup>Institut de Minéralogie et de Physique des Milieux Condensés, Université Pierre et Marie Curie, Paris

Graphite intercalated compounds (GIC's), intensely studied in the last 30 years, have recently gained a new large interest in the scientific community due to the discovery of a surprisingly high superconducting temperature in CaC<sub>6</sub>. Moreover, GIC's are important in understanding the promising properties of intercalated nanotubes and doped graphene. Raman spectroscopy is an extremely powerful tool in characterising these materials, particularly through the analysis of the high-energy optical modes. However, the Raman-observed frequencies and linewidths show a large variability according to the system and of the intercalation level. We undertook a series of non-adiabatic *ab initio* calculations and adopted a theoretical scheme to directly relate the observed linewidths to the Drude electron relaxation time. We demonstrate that the puzzling experimental results can be fully understood in terms of our observed spectacular non-adiabatic effects. We show that the Raman linewidth-derived Drude relaxation times are a direct measure of the degree of non-adiabaticity and, in perspective, a powerful indicator of electron mobility and of potential superconducting properties. These results are shown to be general for layered metals, including MgB<sub>2</sub>.

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**Properties of carbon nanotube composites aligned by self-organization**Giusy Scalia<sup>1</sup> Jan P. F. Lagerwall<sup>2</sup> Siegmund Roth<sup>1</sup><sup>1</sup>Max Planck Institute for Solid State Research, Heisenbergstrasse 1, 70176, Stuttgart<sup>2</sup>Martin-Luther-University Halle-Wittenberg, Institute of Chemistry - Physical Chemistry, Muehlpforte 1, 06108 Halle/Saale, Germany

In our previous work we have demonstrated the alignment of carbon nanotubes (CNTs) via incorporation in self-organizing liquid crystalline (LC) matrices. This yields composites with macroscopic control of CNT orientation, exhibiting enhanced anisotropic optical, mechanical and electrical properties, as exemplified by our preparation of a composite highly loaded with well-dispersed SWCNTs that absorbs light strongly only for polarization parallel to the alignment direction, due to the aligned nanotubes. Our technique allows the use of any type of nanotube and can be combined with fractionation techniques for selecting only CNTs with a particular chirality, possibilities that we are currently exploring.

Moreover, a certain degree of CNT alignment is expected to improve electric per-



formance via a decreased percolation threshold. Our dielectric spectroscopy investigations of CNT - LC composites have shown percolation-like behavior along the alignment direction at very low CNT concentration, but essentially no conductivity in the perpendicular direction. We are now investigating the percolation threshold as a function of orientational order in our nanotube networks, simply employing the temperature dependence of the LC order. This makes it possible not only to continuously vary the degree of order but also to go from an organized to an isotropic state for the system.

## 51

### **Electrical detection of spin coherence in thin organic layers**

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An experimental demonstration of electrical detection of coherent spin motion of coupled spin-pairs in thin ZnPc films at room temperature is presented. Pulsed electrically detected magnetic resonance (pEDMR) experiments on vertical photocurrents through Al/ZnPc/ITO samples showed that an electron spin Rabi oscillation is recorded by transient current changes. The results are compared to similar experiments on C<sub>60</sub> thin films that show weakly coupled spin-pairs [1]. Preliminary data indicate the presence of both weakly and strongly coupled pairs in ZnPc. Additional cw-EDMR-spectra and IV-curves as well as their sensitivity to different light intensities are discussed in the context of organic photovoltaic devices based on ZnPc and C<sub>60</sub>.

- 1 W. Harneit, C. Boehme, S. Schaefer, K. Huebener, K. Fostiropoulos, and K. Lips, Phys. Rev. Lett. 98, 216601, (2007)

## 52

### **Carbon nanotube systems with highly anisotropic nanomagnets**

Franziska Schäffel<sup>1</sup> Christoph Schünemann<sup>1</sup> Christine Täschner<sup>1</sup> Mark H. Rümmeli<sup>1</sup> Annett Gebert<sup>1</sup> Albrecht Leonhardt<sup>1</sup> Bernd Rellinghaus<sup>1</sup> Bernd Büchner<sup>1</sup> Thomas Pichler<sup>1</sup> Ludwig Schultz<sup>1</sup>

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We present a comparative study on carbon nanotubes (CNT) grown from multilayer Fe-Pt thin films and predefined FePt nanoparticles via DC-plasma enhanced che-

mical vapour deposition (CVD) and thermal CVD, respectively. Fe-Pt multilayers are prepared in a DC-sputtering process. When subjected to the CVD process the thin films disintegrate into FePt islands of different sizes. In contrast, the predefined FePt nanoparticles can be controlled in terms of their size and areal density through the gas phase preparation process [1]. The plasma and thermal CVD conditions are tuned to obtain carbon nanotubes magnetically terminated with FePt nanoparticles, ideally in the  $L1_0$  phase. The chemically ordered  $L1_0$  phase of FePt exhibits a very high magnetic anisotropy which promotes significant interest in such nanoparticle-nanotube-systems for applications in magnetically actuated nanoelectromechanical systems.

1 F. Schäffel et al., Chem. Mater. 19, 5006 (2007).

### 53

#### **Symmetry breaking in epitaxial graphene probed by ARPES**

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Karsten Horn<sup>3</sup> Eli Rotenberg<sup>1</sup>

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The energy bands of n-doped graphene on SiC(0001) have been observed to deviate significantly from the expected conical shape near the Dirac crossing [1]. Two scenarios have been proposed to explain these deviations, either as originated from the real part of the electronic self-energy due to many body interactions [1], or from A-B symmetry-breaking due to chemical interactions between the graphene and the underlying layer [2]. In this talk we present a number of arguments against the A-B symmetry breaking scenario, among which is the observed  $\mathbf{k}$ -dependent intensity of the Fermi surface as measured by ARPES. We show that the observed intensity distribution is directly sensitive to the degree of A-B symmetry breaking and show that the upper limit for an energy gap in graphene on SiC is much smaller than the observed deviations in the band structure.

1 Bostwick *et al.* 2007, Zhou *et al.* 2007

2 Zhou *et al.*

### 54

#### **Modeling of Graphene Field-Effect Transistor With a Step-Like and Barrier-Like Gate Potentials**

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Split-gate technique makes possible manufacturing junctions with a variable (ideally, in a step-like fashion) concentration of charge carriers along graphene ribbons and to control the width of the current channel. Such structures possess properties of a field effect transistor (FET) that can easily be understood within the mode-matching approximation: unit/zero transmission for coincident/different transverse quantum numbers of incident electrons and transmitted electrons or holes. In this approximation, ohmic current-gate voltage ( $I(V_g)$ ) characteristic, as a function of  $|eV_g - E_F|$ , is represented by an ascending ladder, symmetric with respect to  $V_g = E_F/e$ , independently of whether the gate potential  $eV_g$  is step-like or barrier-like, and of the position of the Fermi energy  $E_F$ . The latter determines the maximal current which is attained in a ribbon with the given width at  $|eV_g - E_F| = |E_F|$ . This approximate picture is thoroughly elaborated by modeling the FET performance on the basis of the Landauer conductance formula with the exact account to the electronic structure of armchair and zigzag ribbons. The focus is put on how FET characteristics can be modified by exploiting the dependence of the channel conductance on the type (semi-metallic or semi-conducting), width, nature and concentration of current carriers, and ratio of the channel width to barrier thickness. We discuss associated links between two descriptions of gate-affected current in graphene ribbons: the Schrödinger (atomistic) and Dirac (continuous).

## 55

### **Confined catalytic reaction of organometallics inside carbon nanotubes**

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Filled carbon nanotubes have aroused a rapidly growing interest as building blocks for nanotechnology. A large variation of host-guest pairs may provide for a new class of hybrid materials with novel electronic and magnetic properties. Here we show that the confined tubular space inside single-walled carbon nanotubes can act as a nanoreactor. As a model case we study the growth of inner shell tubes from encapsulated ferrocene and fullerene precursors. A combined spectroscopic and microscopic study unravels catalytic and non-catalytic routes to the inner tube growth. The confined catalytic process provides for the controlled growth of iron-based nanoparticles as well as the defined doping of tube materials. Hence, this work represents a new route for novel materials design.

**56****Optical Absorption in Ion-Irradiated Single Wall Carbon Nanotubes in the Far-Infrared Spectral Range**Viera Skakalova<sup>1</sup> Alan B. Kaiser<sup>2</sup> Siegmund Roth<sup>1</sup><sup>1</sup>Max Planck Institute for Solid State Research, Heisenbergstr. 1, 70569 Stuttgart, Germany<sup>2</sup>MacDiarmid Institute for Advanced Materials and Nanotechnology, SCPS, Victoria University of Wellington, P O Box 600, Wellington, New Zealand

The optical absorption of Ar<sup>+</sup> ion-irradiated and of SOCl<sub>2</sub>-doped single wall carbon nanotubes (SWNT) thin networks was measured and compared in the far-infrared and the visible spectral range. A surprising result is that absorption at low energies (<0.5 eV) increases both with Ar<sup>+</sup> irradiation and with SOCl<sub>2</sub> doping, this in spite of the fact that their electrical conductances behave differently. Upon irradiation, conductance decreases by orders of magnitude [1] while upon doping, conductance increased by a factor of 5 [2]. These results will be discussed in the frame of the Drude-Lorentz model used by Kampfrath et al. [3] involving indirect optical transitions assisted by phonon and defect scattering as well as on direct optical transitions in small-gap and metallic tubes.

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**57****Formation of a conductive nanotube network in polymer melts: Rheology and conductivity spectroscopy**Tetyana Skipa<sup>1</sup> Ango Alig<sup>1</sup> Dirk Lellinger<sup>1</sup> Petra Pötschke<sup>2</sup><sup>1</sup>Deutsches Kunststoff-Institut, Schlossgartenstr. 6, D-64289 Darmstadt, Germany<sup>2</sup>Leibniz-Institut für Polymerforschung Dresden e.V. Hohestr. 6, D-01069 Dresden, Germany

Destruction and formation of the conductive nanotube network in polymer melts has been investigated by a combination of conductivity spectroscopy and dynamic-mechanical analysis for polycarbonate containing multiwalled carbon nanotubes. The combined setup allows simultaneous time-resolved measurements of electric conductivity, dielectric permittivity and dynamic shear modulus. After annealing of the samples above glass transition a well defined, short shear deformation was applied to the melt. This deformation leads to a decrease of the conductivity by about seven orders of magnitude and of the real part of the shear modulus by a

factor of 20. In the rest time after the shear deformation a complete recovery of the conductivity and shear modulus was observed. Such a recovery of the electrical and mechanical properties of nanotube-polymer melts could be related to a process of aggregation of nanotubes in clusters and formation of a conductive network. For a quantitative description of the time-dependent electrical conductivity a model combining cluster aggregation and dynamic electrical percolation is applied.

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### **Stability of carbon nanotubes to laser irradiation probed by Raman spectroscopy**

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CNTs in a bundled state suffer from overheating effects - exposure to laser irradiation leads to a reversible shift of the RBM resonance window at a moderate laser fluence [1] or even to damaging of certain nanotube types at higher fluencies [2]. Here we report on our systematic study of the influence of laser irradiation on the Raman spectra of HiPCO-produced single wall CNTs. Specifically, we have examined Raman response of bundled CNTs to: i) laser power density; ii) exposure time and iii) photon energy (1.96 and 2.33 eV). Our results show that irreversible destruction of CNTs in the bundles takes place at even a moderate laser power density (500 W/cm<sup>2</sup>). Notably, the tubes with smaller diameters are influenced first and the rate of CNT damage increases with photon energy. Finally, we determined that the threshold for the RBM spectrum profile to change at about 200 W/cm<sup>2</sup>, which is apparently below the laser fluencies used typically in Raman experiments on CNT bundles. Based on these results we developed a regime of Raman data collection which was recently used to identify functionalization of different types of CNTs [3] from their RBM Raman spectra [4].

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**59****Oxidation induced defects in CVD grown carbon nanotubes for peapod synthesis**Christian Spudat<sup>1</sup> Carola Meyer<sup>1</sup> Christian Kramberger<sup>2</sup> Claus Michael Schneider<sup>1</sup><sup>1</sup>Research Centre Juelich, Institute for Solid State Research, Electronic Properties, 52425 Juelich<sup>2</sup>Institute for Solid State and Materials Research (IFW) Dresden, 01171 Dresden

Carbon nanotubes (CNTs) filled with C<sub>60</sub> Fullerenes, so-called “peapods“, form a model system for studying one-dimensional molecular chains coupled to a ballistic conductor. In general, these peapods are synthesized by filling “bulk“ material, and they are successively dispersed on a substrate from solution. The disadvantage of this method is that the nanotubes are rather short due to prior sonication and bundle together in ropes. Thus, characterization of single peapods is rather difficult. Because of that, the CNTs are grown directly on substrates by using the CVD method. To fill them, it is necessary to open the carbon nanotubes without causing too much damage for later transport measurements. Therefore, a characterization of oxidation induced defects in carbon nanotubes is needed finding the right parameters for opening without destroying the nanotubes completely. This has been done for bulk-material arc discharge nanotubes but the procedure is not the same for individual CVD nanotubes grown on substrate. In general two different opening methods are used: Oxidation by acid treatment and heat treatment in air. We investigate the effects of these two methods on CNTs correlating AFM, HR-TEM and FT-Raman spectroscopy. Characterization of defects in CNTs is often done using the ratio of G-mode and D mode Raman spectroscopy. But the intensity of the D-peak is also sensitive to amorphous carbon, which sits at the outer walls of the nanotubes. Using FT-Raman for characterization, we could observe a shift of the G-peak in Raman spectra during the oxidation process, which has not been investigated in this respect, so far.

**60****Franck-Condon blockade in suspended carbon nanotubes**Christoph Stampfer<sup>1</sup> Renaud Leturcq<sup>1</sup> Kevin Inderbitzin<sup>1</sup> Lukas Durrer<sup>2</sup> Christopher Hierold<sup>2</sup> Klaus Ensslin<sup>1</sup><sup>1</sup>Nanophysics, ETH Zurich, 8093 Zurich, Switzerland<sup>2</sup>Micro and Nanosystems, ETH Zurich, 8092 Zurich, Switzerland

Carbon nanotubes, which can be thought of either as one-dimensional solids or macromolecules, are prominent candidates to study interactions of charge carriers with (discrete) molecular vibrational modes. Here, we report on the Franck-Condon blockade in a quantum dot formed in a suspended single-walled carbon nanotube (SWNT) device [1]. The suspended SWNT quantum dot is sandwiched between a back-gate and a freestanding top gate, providing a large tunability of the electronic

properties. The fourfold degeneracy of the SWNT quantum dot energy spectrum is evident in Coulomb diamond measurements at 1.3K showing the high quality of the device. For the electronic excited states we find a typical energy of 5 meV. In addition we observe excited states with energy spacings of 0.5-0.9 meV, which we attribute to longitudinal phonons in the clamped nanotube device. The exponential suppression of the sequential-tunneling current at low bias is a clear signature of Franck-Condon blockade with very strong electron-phonon coupling.

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## 61

### Potential Barriers in Graphene

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Graphene, a single layer of graphite, is a gapless semiconductor, with carriers that should behave as massless Dirac fermions. One of the striking predicted phenomenon in clean graphene is the full transmission (with probability one) of its charge carriers, when crossing perpendicularly through a potential barrier, at any energy. This phenomenon, reminiscent of the Klein paradox for spin 1/2 relativistic particles, can be probed via the transport properties of charges in a sheet of graphene with well-chosen potential profiles imposed by top gates. Idealized predictions for transmission across potential barriers may be modified by the presence of disorder (Coulomb impurities close to the graphene sheet) combined with the unusual screening properties of graphene. I will present transport measurement on samples where the graphene sheet is gated both globally by a back gate, and locally by a top gate, allowing the creation of various potential configurations. Measuring many such samples with varying top gate lengths and top gate dielectric thicknesses, we show that in the majority of our devices, and for most accessible densities, transport across the barrier is diffusive. However, for some of our highest mobility devices, with carrier densities as high as  $10^{13} \text{ cm}^{-2}$ , the graphene is expected to be in the ballistic regime. The experimental results indeed deviate from those calculated by the diffusive model, which might indicate that ballistic effects are becoming important.

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### Single-wall carbon nanotubes as DNA-delivery vector

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Preparation of a new, stable gene carrier would be of great practical importance to improve the transfection efficiency in gene therapy, such as therapeutic protein formation, immunogenicity enhancement and apoptosis induction. The stability and applicability of the system containing single-walled carbon nanotubes (SWCNTs) in combination with certain sequence of plasmid DNA (EGFp-C1) were also examined by computer simulation. Raman spectroscopy was used to verify the SWCNT-DNA complex formation. Diamine molecules (putrescine and polyoxyethylene-bis-amine) were used to create positive charge on the SWCNT surface (similarly to physiological conditions) and also enhance the binding ability of EGFp-C1 to CNT. The formation of peptide bond was examined by FTIR to confirm the crosslinking process. The amount of surface functional groups was tested by TGA method. Biocompatibility was evaluated with LDH, MTT and total DNA. The results demonstrate increase in cell viability and the presence of SWCNT-putrescine complex. IL-6 release was measured to justify the inflammatory induction. The binding efficiency of functionalized SWCNTs to EGFp-C1 was also analyzed by electrophoresis to demonstrate their binding strength. Tests in-vitro showed that transfection was not significantly higher than other non-viral vectors. It could be due to quite high stability of SWCNT-DNA complexes.

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#### **MoS<sub>2</sub> connectivity in self-assembled networks**

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Inorganic Mo<sub>6</sub>S<sub>9-x</sub>I<sub>x</sub> (MoSI<sub>x</sub>) molecular nanowires have recently been shown to easily bond to gold nanoparticles (GNPs) due to their sulfur terminated ends, which potentially opens the way to self-assembly of large electronic circuits in molecular electronics.

We report an AFM investigation on the properties of irregular self-assembled MoSI - 5 nm diameter GNP networks made in solution and deposited on different substrates. The networks are typically on a scale of up to several tens of micrometers, but also much larger ones have been observed, extending over 1000 square microns. The connectivity properties of one such network have been analyzed in more detail by statistically measuring the heights of particles and nanowires, as well as the number and the angle of attachment of the nanowires connected at the vortices.

We have also monitored the network stability with time in ambient conditions by repeatedly measuring its topographic picture over a period of eight months. Although the network survives intact over this period, some degradation has been observed. Specifically, the nanoparticles have been reduced in size and nanowire



diameter has also decreased.

The experiments show that the MoSI-GNP system exhibits specific connectivity patterns, which may be used to design and construct connections in molecular-scale electronic networks.

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### **Widely Tunable Laser Mode-Locked by Single-wall Carbon Nanotubes**

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Ultrafast optical pulse sources with spectral tuning capabilities have widespread applications in laser spectroscopy, laser photochemistry, biomedical research and telecommunications. One approach to realize such sources is to directly apply a wavelength-tuning element inside a mode-locked laser cavity. However, to enable wide-tuning, it is necessary to use a saturable absorber with a large operating bandwidth, preferably larger than the gain bandwidth of the active medium. Single Wall Carbon nanotubes (SWNT) have been successfully used to generate sub picosecond laser pulses in a broad spectral range between 1.04 and 1.6 microns [1-3]. By controlling the SWNT diameter distribution during growth it is possible to tune the absorption maximum and bandwidth in a desired range. Here we report a novel SWNT- polycarbonate polymer composite, with an absorption maximum at 1550 nm and a bandwidth of about 300 nm [3,4]. The composite shows strong saturable absorption with saturation intensity of 7 MW/cm<sup>2</sup>. We also demonstrate the first SWNT-mode-locked widely tunable fibre ring laser [5]. This is achieved through the control of amplification at the specific transitions of the Er<sup>3+</sup> gain medium by placing a band-pass filter in a laser cavity. The laser generates 2.4 ps pulses continuously tunable between 1518 and 1558 nm with average power of 0.3 mW [5]. Besides the wide tuning range, this laser also features a high optical signal-to-noise ratio and an excellent jitter performance. A working laser will be demonstrated at the conference.

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- 5 F. Wang et al. submitted (2008).

**65****Longitudinal optical phonons in metallic and semiconducting carbon nanotubes**Hagen Telg<sup>1</sup> Martin Fouquet<sup>1</sup> Janina Maultzsch<sup>1</sup> Yang Wu<sup>2</sup> Bhupesh Chandra<sup>3</sup> J. Hone<sup>3</sup> Tony F. Heinz<sup>2</sup> C. Thomsen<sup>1</sup><sup>1</sup>Institut für Festkörperphysik, Technische Universität Berlin, Hardenbergstr. 36, 10623 Berlin, Germany<sup>2</sup>Departments of Electrical Engineering and Physics, and Nanoscale Science and Engineering Center, Columbia University, New York 10027, USA<sup>3</sup>Department of Mechanical Engineering, and Nanoscale Science and Engineering Center, Columbia University, New York 10027, USA

We analyze the high-energy Raman modes,  $G^+$  and  $G^-$ , in a pair of one metallic and one semiconducting nanotube grown across a  $100\ \mu\text{m}$  wide slit. By combining Rayleigh scattering with Raman resonance profiles of the radial breathing mode and the high-energy modes, we assign the broad  $G^-$  peak to a metallic and the  $G^+$  peak to a semiconducting nanotube. Considering theoretical predictions we show that both peaks,  $G^-$  and  $G^+$ , originate from the LO phonon. The  $G^-$  peak is the longitudinal mode of the metallic tube; it is broadened and downshifted due to strong electron-phonon coupling in the metallic nanotube. The  $G^+$  peak is due to the longitudinal mode in the semiconducting tube. We conclude that the presence of the  $G^+$  peak at  $1590\ \text{cm}^{-1}$  in an assumed single isolated metallic nanotube in fact originates from an additional semiconducting nanotube.

**66****Infrared spectroscopy on the fullerene  $C_{70}$  under pressure**Komalavalli Thirunavukkuarasu<sup>1</sup> Christine Kuntscher<sup>1</sup> Ferenc Borondics<sup>2</sup> Gyöngyi Klupp<sup>2</sup> Katalin Kamar<sup>2</sup><sup>1</sup>Chair of Experimental Physics II, University of Augsburg, D-86153 Augsburg, Germany<sup>2</sup>Research Institute for Solid State Physics and Optics, Hungarian Academy of Sciences, P.O.Box 49, Budapest, Hungary H 1525

$C_{70}$  is the most commonly occurring higher order fullerene next to  $C_{60}$ . Although several experimental investigations have been performed on this compound, the properties of  $C_{70}$  are not yet well understood. On cooling,  $C_{70}$  undergoes two orientational ordering transitions, one at 350 K and the other at 280 K, which are accompanied by structural phase change [1]. The pressure-induced orientational ordering in  $C_{70}$  is expected at around 1 GPa [2]. An earlier pressure-dependent infrared study on pure  $C_{70}$  could identify only eleven vibrational modes and found no discontinuous change in their pressure-dependence [3]. More detailed investigations are therefore necessary to find clear signatures of possible orientational ordering transitions and other pressure-induced phenomena in  $C_{70}$  under pressure.

We carried out pressure-dependent transmittance measurements on the C<sub>70</sub> compound over a broad frequency range (400-22000 cm<sup>-1</sup>) for pressures up to 10 GPa. Most of the vibrational modes were observable up to the highest measured pressure. They exhibit an anomaly in their pressure-induced frequency shift at around 0.7 GPa. The shift of the electronic absorption edge with increasing pressure is presented and discussed as well.

*Supported by the DFG.*

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## 67

### **Raman spectroscopy study of nanobud structure on a freestanding individual carbon nanotube**

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Fullerenes and carbon nanotubes (CNTs) have attracted great interest from both fundamental and applied points of view due to their remarkable physical and chemical properties. Recently, we have reported a new hybrid material, Nanobuds, in which the fullerenes are covalently bonded to the outer surface of single-walled carbon nanotubes (SWCNTs). The experimental method used for continuous synthesis of Nanobuds is based on ferrocene vapor decomposition in a CO atmosphere, and the key parameter is the presence of trace concentrations of H<sub>2</sub>O vapor and CO<sub>2</sub> acting as etching agents.

Combining with the transmission electron microscopy (TEM) experiment, we present for the first time the Raman spectroscopy study of the Nanobuds structure on a freestanding SWCNT. Both the characteristic features of SWCNT and fullerenes were observed in the Raman spectrum simultaneously using a 2.32eV excitation. The G-line and the radial breathing mode (RBM), which are well known to be a fingerprint of SWCNTs were identified, as well as the fullerenes characteristics signal. We present the evidence of Nanobuds on a 1.84nm nanotube with (16, 11) assigned chirality. The electron diffraction analysis on the same freestanding SWCNT results give the same chirality (16, 11). Besides the features from SWCNT, another weaker but clear band is observed around 1465cm<sup>-1</sup>, and this is associated with the typical vibrational modes of fullerenes, which confirm the nanobuds structure on the SWCNT.

**68****Optical and magnetic properties of Er-doped fullerenes for quantum computation**Archana Tiwari<sup>1</sup> Geraldine Dantelle<sup>1</sup> John J. L. Morton<sup>2</sup> Kyriakos Porfyrakis<sup>1</sup> Andrew A. R. Watt<sup>1</sup> Arzhang Ardavan<sup>2</sup> G. Andrew D. Briggs<sup>1</sup><sup>1</sup>QIP IRC, Department of Materials, University Of Oxford, Oxford OX1 3PH, UK<sup>2</sup>Clarendon Laboratory, Department of Physics, University Of Oxford, Oxford OX1 3PU, UK

Endohedral fullerenes are promising materials for quantum computation using electron spins that arise from the endohedral atom or atomic cluster to store or interact quantum information. We will discuss the optical control of endohedral spin and examine its potential as a readout mechanism for quantum computing schemes. We report the detailed characterization of several erbium doped fullerenes, in particular  $\text{ErSc}_2\text{N@C}_{80}$ . Our study demonstrates that  $\text{ErSc}_2\text{N@C}_{80}$  has strong photoluminescence (PL) at  $1.5 \mu\text{m}$  as well as EPR activity from the  $\text{Er}^{3+}$  ion which can be driven optically. Er-doped fullerenes have been purified under HPLC and are characterized by absorption, PL and magnetic spectroscopy using EPR and SQUID. These characterizations give us a detailed insight into the electronic structure of  $\text{Er}^{3+}$  inside the cage arising from the crystal-field effect and external magnetic field perturbations. The direct optical access to  $\text{Er}^{3+}$  states without interrupting  $\text{C}_{80}$  cage may allow us to probe, address and manipulate the spin states which encode quantum information.

**69****Non-covalent spin labeling of carbon nanotubes**S. Toth<sup>1</sup> D. Quintavalle<sup>1</sup> B. Nafradi<sup>2</sup> L. Forró<sup>2</sup> L. Korecz<sup>3</sup> F. Simon<sup>1</sup><sup>1</sup>Institute of Physics, Budapest University of Technology and Economics, Budapest Hungary<sup>2</sup>Institut de Physique de la Matière Complexe, EPFL, CH-1015 Lausanne Switzerland<sup>3</sup>Chemical Research Center of the Hungarian Academy of Sciences, Budapest Hungary

Non-covalent spin labeling of carbon nanotubes is presented. We fill inside SW-CNTs TEMPO (2,2,6,6-Tetramethylpiperidine-1-oxyl) molecules and study the rotational properties and thermal stability using electron spin resonance (ESR). We find that its rotation is hindered and non-isotropic. We also studied the thermal stability and the spin-lattice relaxation of  $\text{N@C}_{60}$  peapod system. We find that the N escapes at higher temperatures for the encapsulated material as compared to its pristine, crystalline form. The temperature dependent spin-lattice relaxation time,  $T_1$ , of the encapsulated molecule is significantly shorter than that of the crystalline material, which is explained by a charge transfer between the nanotubes and the

fullerenes.

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**Raman Study of Photosystem I and II and its Pigment *beta*-Carotene**

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Due to their ability to absorb light energy photosystem I and II are the most important protein complexes enabling photosynthesis in higher plants. Both photosystems contain different types of photoactive pigment molecules, one of the most important is carotene, which in addition to its light absorbing ability also serves a protective function within the protein.

Raman spectra of Photosystem I and II excited in the Soret region are presented and compared with resonance Raman spectra of  $\beta$ -carotene. Main focus is the vibrational band  $\nu_1$  around  $1500\text{ cm}^{-1}$ , which originates from the ground-state in-phase double bond C=C stretching mode of the isoprene units forming the backbone of  $\beta$ -carotene. The band consists of two unresolvable peaks possessing different resonance excitation profiles leading to a wavelength dependent shift of the band.

Theoretical approaches trying to explain this behavior are discussed like different Frank-Condon enhancement of the two modes due to different excited-state displacements of the vibrational modes or Duschinsky rotation, which describes intensity changes of resonance excitation profiles due to mode mixing.

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**Electron transport and hot-phonon generation in carbon nanotubes**

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Metallic carbon nanotubes can carry the highest current density before they break. This makes them the best candidates as interconnects in future electronic devices. For voltages  $> 0.2\text{ V}$ , the measured IV curve of metallic tubes displays a sudden increase of the resistivity which is due to the scattering with optical phonons. Recently, we have shown [1] that the largest part of this electrical resistivity is due to the presence of an anomalously-high optical-phonon occupation (hot phonons). Basically, during transport, the conducting electrons scatter with optical phonons. If the rate at which optical phonons are generated is faster than the rate at which they can release energy to the system, their population increases. This hot-phonon generation, in turn, augments the electrical resistivity of the system. Here, we will show how it is possible in practice to diminish the hot-phonon population and, thus, to improve the electrical performances of metallic tubes.

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**72**

**Visualizing Electronic Properties of Carbon Nanotube Devices by Voltage Contrast Scanning Electron Microscopy**

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The most common technique to observe Single-Walled Carbon Nanotubes (SWCNTs) is Scanning Electron Microscopy (SEM). However, this is limited to locating the nanotube, and is unable to provide structural or electronic information. We present Voltage Contrast SEM (VC-SEM) as a powerful technique for electronic characterization of high-density arrays of SWCNT devices [1] and to visualize the voltage-profile and charge-distribution in a single nanotube.

The most significant result is to distinguish between metallic and semiconducting SWCNTs in a SEM. This is achieved by observing the difference in contrast profile arising from charge-distribution when the nanotube is subjected to an asymmetric electric field. The observed charge-distribution will be explained through numerical simulations of the band-structure [2] under the influence of an asymmetric gate-field generated by our electrode configuration.

VC-SEM is many orders of magnitude quicker in comparison to Kelvin force or near-field microscopy. It can simultaneously characterize a high-density array of devices and sort them into metallic and semiconducting varieties and give an indication of the turn-on voltage required for the nanotube devices.

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**73**

**New method for single wall carbon nanotubes growth based on channel spark ablation**

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The present contribution deals with a novel method for low-cost single wall carbon nanotubes (SWCNT) production. This method represents a synthesis of methods

based on both a production of catalyst nano-particles by plasma heating and a decomposition of carbon enriched gas by CVD ones. Even if the experiments are highly tentative and development of apparatus is still in progress, the results are very promising. All experiments were carried out in argon atmosphere with methane admixture, using standard NiCo catalyst. Besides fine Raman spectra of samples produced by reported procedure, very narrow distribution of SWCNTs diameters has been observed by optical absorption spectroscopy. Moreover, TEM pictures indicate that SWCNTs are isolated and not tied into bundles. On the other hand, thermo-gravimetric analysis has revealed huge amount of metal particles inside the samples. Surprisingly, introduction of stainless steel catalyst instead of harmful and expensive NiCo, has led to same results.

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##### **Dynamics of paramagnetic metallofullerenes in peapods**

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The metallofullerenes (MFs), (Sc@C82 and La@C82) have excellent electron spin properties due to the unpaired electron transferred from the metal atom to the carbon cage. These materials hold great promise for quantum information processing using electron spin qubits. One-dimensional chains of spin are formed by inserting the MFs into single-walled carbon nanotubes.

In this work, we reveal insights into the unique behaviour of MFs in peapods using low-voltage TEM. By using electrons accelerated at 80kV in TEM we minimize damage to the carbon structures and monitor the MFs for extended periods. We observe oscillations of single, coupled and groups of MFs along the axis of the nanotube. The time-dependent rotational dynamics of La@C82 and Sc@C82 are examined and distinct differences in their behaviour is observed. We observe elastic breathing-like radial expansion and contraction of individual isolated SWNT peapods. The expansion leads to changes in the 1D packing of the MFs. This expansion is driven by energy transfer from electron beam irradiation to the MFs. The dynamic motion of MFs in peapods is demonstrated with time-series of TEM images and compiled movies.

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**Molecular systems: optical switching measurements by indirect coupling and induced superconductivity by direct coupling experiments**C.B. Winkelmann<sup>1</sup> N. Roch<sup>1</sup> I. Ionica<sup>1</sup> X. Chevalier<sup>2</sup> C. Bucher<sup>2</sup> G. Royal<sup>2</sup> W. Wernsdorfer<sup>1</sup> F. Balestro<sup>1</sup> V. Bouchiat<sup>1</sup><sup>1</sup>Institut Neel - CNRS/UJF Grenoble France<sup>2</sup>DCM / UJF Grenoble France

We study porphyrin derivative coated silicon nanowire field effect transistors (SiNW-FETs), which display a large, stable, and reproducible conductance increase upon illumination (1). The efficiency and the kinetics of the optical switching are studied as a function of gate voltage, illumination wavelength, and temperature. The decay kinetics from the high- to the low-conductance state is governed by charge recombination via tunneling, with a rate depending on the state of the SiNW-FET. The comparison to porphyrin-sensitized carbon nanotube FETs allows the environment- and molecule-dependent photoconversion process to be distinguished from the charge-to-current transducing effect of the semiconducting channel.

In parallel, we will briefly present first measurements on superconducting transport through a single fullerene molecule. Nanometric junctions are fabricated by the electromigration technique. Gate effects are induced by an Al / Al<sub>2</sub>O<sub>3</sub> backgate. Using the superconducting proximity effect, the nano-junctions without molecules show a well defined Josephson current, and Andreev reflections are also observed. Preliminary results on the effect of superconductive electrodes on a single C<sub>60</sub> molecule down to 50 mK are presented.

(1) C.B. Winkelmann et al., Nano Lett. 7, 1454 (2007).



**76****In-situ catalyst screening for carbon nanotube growth**

Stephan Hofmann<sup>1</sup> Raoul Blume<sup>2</sup> Christoph Tobias Wirth<sup>1</sup> Mirco Cantoro<sup>3</sup> Renu Sharma<sup>4</sup> Caterina Ducati<sup>5</sup> Michael Haevecker<sup>2</sup> Spiros Zafeiratos<sup>2</sup> Peter Schnoerch<sup>2</sup> Andreas Oesterreich<sup>2</sup> Detre Teschner<sup>2</sup> Martin Albrecht<sup>6</sup> Axel Knob-Gericke<sup>2</sup> Robert Schloegl<sup>2</sup> John Robertson<sup>1</sup>

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A detailed understanding of the processes involved in the catalytic chemical vapour deposition (CVD) of carbon nanotubes is important to achieve the desired degree of growth control. We present a systematic study of catalyst-support and catalyst-carbon interactions during SWNT growth by combining environmental transmission microscopy (E/TEM) and in-situ, time-resolved X-ray photoelectron spectroscopy (XPS) [1]. We focus on Ni, Fe, Pd and Au model catalyst films on SiO<sub>2</sub> during pre-annealing in O<sub>2</sub> and NH<sub>3</sub> and during C<sub>2</sub>H<sub>2</sub> decomposition. At the conditions used all metal films dewetted into nm-sized crystals, whereby only Ni and Fe catalysed SWNTs. A SWNT nucleates by lift-off of a carbon cap. Cap stabilization and nanotube growth involve the dynamic reshaping of the catalyst nano-crystal itself [2]. Pd and Au are less efficient in dissociating C<sub>2</sub>H<sub>2</sub> and C is more weakly bound to their surfaces. Deliberately oxidised Fe gave no SWNT growth, which allowed a clear assignment of the catalytic pathway of carbon nanotube formation.

1 Hofmann S., Blume R., Wirth C. T., et al. to be published (2008).

2 Hofmann S., Sharma R., Ducati C., Du G., Mattevi C., Cepek C., Cantoro M., Pisana S., Parvez A., Ferrari A. C., Dunin-Borkowski R., Lizzit S., Petaccia L., Goldoni A., Robertson J., Nano Lett. 7, 602 (2007).

**77****Metal Catalyst in CVD Growth of Carbon Nanotubes: Role of Chemical Composition**Oleg V. Yazyev<sup>1,2</sup> Alfredo Pasquarello<sup>1,2</sup><sup>1</sup>Ecole Polytechnique Fédérale de Lausanne (EPFL), Institute of Theoretical Physics (ITP), CH-1015 Lausanne, Switzerland<sup>2</sup>Institut Romand de Recherche Numérique en Physique des Matériaux (IRRMA), CH-1015 Lausanne, Switzerland

The inability of performing growth of carbon nanotubes (CNTs) with predetermined chirality indices and thus electronic properties is the major obstacle on the way to incorporating CNTs into electronic devices. The chemical vapor deposition (CVD) growth of CNTs catalyzed by metallic nanoparticles is believed to be the most promising approach for reaching this goal. For a long time, the catalyst composition has been limited to iron-group metals. However, it has recently been shown that metallic nanoparticles of many other metals, including inert coinage metals, are also able to act as catalysts in the CVD growth process. This calls for a comprehensive study of the role of the metal catalyst chemical composition to achieve optimal growth conditions and enhance the preference for definite chiralities.

Here, we model the crucial steps of CVD growth of CNTs from first principles by considering late transition (Ni, Pd, Pt) and coinage (Cu, Ag, Au) metal catalysts. For all metals, we considered various diffusion mechanisms including both surface and subsurface channels, finding the lowest activation barriers for carbon adatoms on nanoparticles of coinage metals. For these metals, our calculations further show that the diffusion is restricted to the nanoparticle surface when diatomic carbon is initially obtained from the decomposition of the precursor gas. From the binding energies of armchair and zigzag edges of CNT fragments, we infer a high preference for the growth of armchair CNTs on Cu nanoparticles. These results indicate that coinage metal catalysts favor CVD growth of CNTs at low temperatures and with narrow chirality distributions.

**78****Magnetic carbon nanostructures for spintronics and quantum computing: first principles studies**Oleg V. Yazyev<sup>1,2</sup><sup>1</sup>Ecole Polytechnique Fédérale de Lausanne (EPFL), Institute of Theoretical Physics (ITP), CH-1015 Lausanne, Switzerland<sup>2</sup>Institut Romand de Recherche Numérique en Physique des Matériaux (IRRMA), CH-1015 Lausanne, Switzerland

Magnetic materials and nanostructures based on carbon and other light elements provide a number of attractive opportunities for future information technologies such as spintronics and quantum information processing. This novel field of carbon-

based magnetism has attracted considerable attention due to the recent experimental observations of ferromagnetism in graphitic materials. I review the results of theoretical studies of the magnetism induced by point defects [1] and edges in graphene and related nanostructures. Particular attention is devoted to the question of long-range magnetic order at one-dimensional zig-zag graphene edges [2] considered as a basis for novel spintronics devices. I also discuss hyperfine interactions, the magnetic interactions between the spins of electron and nuclei, in graphenic carbon nanostructures [3]. Some recipes for achieving maximum electron spin decoherence times in graphene-based nanostructures are given.

O. V. Yazyev and L. Helm, Phys. Rev. B 75, 125408 (2007).

O. V. Yazyev and M. I. Katsnelson, Phys. Rev. Lett., in press [arXiv:0711.0207].

O. V. Yazyev, arXiv:cond-mat/0702424.

## 79

### **Controlling metallofullerene spin-spin interactions in diluted fullerene solids.**

Mujtaba H. Zaka<sup>1</sup> Jamie H. Warner<sup>1</sup> Andrew A. R. Watt<sup>1</sup> Kyriakos Porfyrakis<sup>1</sup> Takao Akachi<sup>2</sup> Haruya Okimoto<sup>2</sup> Yasuhiro Ito<sup>2</sup> Arzhang Ardavan<sup>3</sup> Hisanori Shinohara<sup>2</sup> G. Andrew D. Briggs<sup>1</sup>

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<sup>2</sup>Department of Chemistry, Nagoya University

<sup>3</sup>Department of Physics, University of Oxford

Metallofullerenes are encapsulated metal atoms inside a hollow fullerene cage. They possess many unique properties, compared to empty fullerenes, due to the transfer of electrons from the metal atom to the fullerene cage. The spin of the unpaired electron on the C<sub>82</sub> cage of either Sc@C<sub>82</sub> or La@C<sub>82</sub> metallofullerenes is very interesting and important for quantum information processing. For this reason, further understanding of the dynamics of spin-spin interactions between metallofullerenes in a solid matrix is required.

The spin-active metallofullerenes Sc@C<sub>82</sub> and La@C<sub>82</sub> are diluted quantitatively with C<sub>60</sub>. This enables control over the average inter-fullerene distance and this changes the spin-spin interactions between metallofullerenes. Electron spin resonance is used to probe the concentration dependent dynamics of the systems. Results indicate increased signal structure with increased dilution. The metallofullerene systems have been modeled to further understand the nature of the spin-spin interactions. These encouraging results provide a platform for further research into using peapods structures for quantum information processing.

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**Novel carbon nanotube network deposition technique for fabricating electronic devices**

Marina Y. Zavodchikova<sup>1</sup> Albert G. Nasibulin<sup>1</sup> Kestutis Grigoras<sup>2</sup> Tero Kulmala<sup>2</sup> Sami Franssila<sup>2</sup> Vladimir Ermolov<sup>3</sup> Esko I. Kauppinen<sup>1</sup>

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Carbon nanotube networks (CNTNs) are found to be a promising material for electronic applications. An attractive feature of CNTNs is that they retain many of the interesting electronic properties of individual SWCNTs while providing the processing capabilities of mass fabrication methods. Nowadays there are multiple wet techniques of CNTN deposition from a surfactant solution, used in thin-film transistor fabrication, available. We present a novel efficient dry deposition process of random SWCNTNs of various controllable densities onto a substrate directly from the gas phase at ambient pressure and room temperature. SWCNTs were produced by thermal decomposition of ferrocene in the presence of CO in a vertical laminar flow reactor [1]. Due to spontaneous charging of SWCNTs during their synthesis [2], an electric field, which guides the nanotubes towards the substrate surface, was employed for the efficient deposition. In order to collect nanotubes from the gas flow downstream of the reactor and deposit them directly on to the substrate, we applied a homogeneous electric field using an electrostatic precipitator [3]. This allows SWCNTs to be directly deposited in patterned or homogeneous films on substrates at room temperature immediately after synthesis. While solution-based SWCNT deposition may alter the nanotube pristine properties, our direct dry deposition technique gives a possibility to obtain networks of high purity and quality SWCNTs. Presented technique was applied for the fabrication of SWCNTN-based field-effect transistors (FETs). Lower density photolithographically patterned SWCNTNs exhibited p-type semiconducting thin film behavior. Electrical measurement results of as-produced SWCNTN-based FETs will be demonstrated.

- 1 A.Moisala, A.G.Nasibulin, S.D.Shandakov, H.Jiang and E.I.Kauppinen, Carbon 43 (2005) 2066-2074
- 2 D.Gonzalez, A.G.Nasibulin, S.D.Shandakov, H.Jiang, P.Queipo and E.I.Kauppinen, Carbon 44 (2006) 2089-2108
- 3 T.J.Krinke, K.Deppert, M.H.Magnusson, F.Schmidt and H. Fissan, Aerosol Science 33 (2002) 1341-1359

**81****Growth of Carbon Nanotubes towards applications as horizontal Interconnects**

Can Zhang<sup>1</sup> Daire Cott<sup>2</sup> Nicolo Chiodarelli<sup>2</sup> Philippe Vereecken<sup>2</sup> John Robertson<sup>1</sup> Caroline Whelan<sup>2</sup>

<sup>1</sup>Department of Engineering, University of Cambridge, UK

<sup>2</sup>IMEC, Kapeldreef 75, B-3001, Belgium

We evaluate the possibility of achieving horizontal carbon nanotube (CNT) interconnect structures. Firstly, CNT forests are grown on planar conducting substrates, including 500 nm poly-Si and 15 nm TiN/TaN, using NH<sub>3</sub> plasma annealed Co/Ni PVD deposited thin film catalysts using C<sub>2</sub>H<sub>2</sub> or C<sub>2</sub>H<sub>4</sub> as carbon source in thermal CVD as low as 500-600 °C. In order to achieve similar yield of CNTs from a vertical surface, horizontal growth test structures (HGTS) were fabricated by Intel Ireland. These structures comprise of 200 nm high vertical poly-Si (poly-Si HGTS) or CoSi<sub>2</sub> (CoSi<sub>2</sub>-HGTS) surfaces which are exposed for catalyst placement by PVD or electroless deposition. CNTs have been grown selectively from those patterned areas. However, the yield and alignment of CNTs are not comparable with that achieved on planar substrates, possibly due to low density of catalyst particles. In order to achieve greater control over selectivity and density of catalyst deposition, another HGTS is designed and still under fabrication, where the vertical surface is electrically connected to facilitate selective electrochemical catalyst deposition. Furthermore, CNT growth from CoSi-HGTS is also investigated. CoSi is expected to be more catalytically active than CoSi<sub>2</sub> as demonstrated by growth on planar substrates.

**82****Theoretical study of the electronic structure of cubane-fullerene hetero-molecular crystals**

Viktor Zólyomi<sup>1</sup> János Koltai<sup>2</sup> Jenő Kürti<sup>2</sup> Sándor Pekker<sup>1</sup>

<sup>1</sup>Research Institute for Solid State Physics and Optics of the Hungarian Academy of Sciences, Budapest

<sup>2</sup>Department of Biological Physics, Eötvös University, Budapest

We present a first principles study of the electronic structure of cubane-C<sub>60</sub> hetero-molecular crystals, using density functional theory at the local density approximation level. The band structure is calculated along high symmetry lines and compared to that of the fcc C<sub>60</sub>. We also present a study of the effect of possible potassium-doping as suggested by recent experiments. We find potassium doping to have a similar effect on the density of states in the cubane-C<sub>60</sub> as in pure C<sub>60</sub>, with a similarly high density of states at the Fermi level for the two materials. Charge transfer between the components of the studied materials is also calculated by Bader charge analysis. The possibility of a very small charge transfer between the cubane and

Thursday, March 6

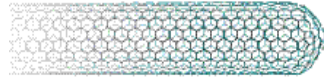
Poster session

the  $C_{60}$  in the undoped cubane- $C_{60}$  hetero-molecular crystal is discussed.

Poster session

Thursday, March 6

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Friday, March 7

## Quantum Interactions

- 8:30 – 9:00 L. Forró, CH  
*Electron Spin Resonance of carbon-based nanostructures*
- 9:00 – 9:30 M. Mann, UK  
*Device Electronics Based on Nanowires and Nanotubes*
- 9:30 – 10:00 J. Morton, UK  
*Switchable ErSc<sub>2</sub>N rotor within a C<sub>80</sub> fullerene cage: an EPR and PL study*
- 10:00 – 10:30 Coffee break
- 10:30 – 11:00 K. Ensslin, CH  
*Graphene single electron transistors*
- 11:00 – 11:30 C. Winkelmann, FR  
*Optical Switching of Porphyrin-Coated Silicon Nanowire Field Effect Transistors*
- 11:30 – 12:00 B. Dora, DE  
*Spin Gap and Luttinger Liquid Description of the NMR Relaxation in Carbon Nanotubes*
- 12:00 – 17:00 Mini Workshops
- 17:00 – 17:30 K. Holczer, US  
*Single Electron Spin Microscope: the prospects of adding chemical information to Atomic Force Microscope images.*
- 17:30 – 18:00 W. Harneit, DE  
*Detection of single electron spins in N@C<sub>60</sub> molecules*
- 18:00 – 18:30 T. Pichler, DE  
*IWEPNM 2008 - Conference Summary*
- 20:00 Bauernbuffet - Farewell

Friday, March 7

**8:30****Electron Spin Resonance of carbon-based nanostructures**László Forró

Inst. of Physics of Complex Matter, Ecole Polytechnique Fédérale de Lausanne

The first step in creating devices where electron spin propagates the information is to find the suitable material where spin states have a long life-time. Carbon-based materials, with low spin-orbit coupling and low-dimensionality, such as single-wall carbon nanotubes (SWCNTs), endohedral fullerenes (e.g., N@C<sub>60</sub> or X@C<sub>82</sub>, where X is a transition metal) encapsulated in SWNTs are ideal candidates. The progress in the ESR studies and measuring methods of these materials will be presented. Acknowledgment: This work is performed in collaboration with Luka Ciric, Arnaud Magrez, Andrzej Sienkiewicz, Mark Ruemmeli, Thomas Pichler, Hans Kuzmany, Jamie Warner and Andrew Briggs. It is supported by the European network IMPRESS.

**9:00****Device Electronics Based on Nanowires and Nanotubes**Maria Merlyene De Souza<sup>1</sup> Mark Mann<sup>2</sup> Abbas Tahroui<sup>2</sup> William I Milne<sup>2</sup> Giuseppe Iannaccone<sup>3</sup> Hans Kosina<sup>4</sup> Y Zhang<sup>2</sup><sup>1</sup>Electronic and Electrical Engineering, University of Sheffield, UK<sup>2</sup>Electrical Engineering Division, Cambridge, Cambridge<sup>3</sup>IEEIT-CNR, Pisa, Italy<sup>4</sup>Institute for Microelectronics, TU Vienna, Austria

Electron devices based on quasi onedimensional channels are considered as the most promising candidates among emerging research devices to push the limits of integrated circuits beyond the limits of CMOS technology. Their main advantages include room temperature operation, suppression of short channel effects and promising perspectives for bottomup fabrication techniques.

Described will be integrated experimental and theoretical investigation of transport and noise in electronic devices based on single-walled carbon nanotubes *CNTs* and silicon nanowires. This will include a detailed description of the fabrication of top-gate and back-gate transistors, together with measurements and theoretical simulations of various electrical characteristics from 30 mK 450 K. Initial experimental measurements have indicated an FET on-off ratio of 10<sup>6</sup>. Simulation, atomic force microscopy and Raman spectroscopy have been employed to determine certain characteristics.

The doping effects of N<sub>2</sub>H<sub>4</sub> and SbCl<sub>6</sub> and the role of defects will also be discussed, together with the band structure measured for CNTs of varying chiralities. Finally, recent results of a new low-temperature CNT growth process will be presented which has been used to grow CNTs on CMOS devices already fabricated. Devices show little or no change in electrical characteristics after fabrication.

**9:30****Switchable ErSc<sub>2</sub>N rotor within a C<sub>80</sub> fullerene cage: an EPR and PL study**

John J. L. Morton<sup>1</sup> Archana Tiwari<sup>1</sup> Geraldine Dantelle<sup>1</sup> Kyriakos Porfyrakis<sup>1</sup> Arzhang Ardavan<sup>2</sup> G. Andrew D. Briggs<sup>1</sup>

<sup>1</sup>Dept. of Materials, Oxford University, Parks Rd., Oxford, OX1 3PH, UK

<sup>2</sup>Clarendon Laboratory, Oxford University, Parks Rd., Oxford, OX1 3PU, UK

Photoswitchable structural phenomena have been observed in range of organic and inorganic species and are essential processes in nature, with switching times as short as a picosecond. Natural photoisomerisation (such as bleaching of rod and cone retinal pigments in sight) has been exploited to engineer optical switches which can be used to store information and stimulate neurons. Fullerenes have been shown to provide remarkable nanovoids in which atoms, ions and molecules can exhibit nearly-free behaviour within a solid state environment. Examples include the quantised rotational states in C<sub>2</sub>Sc<sub>2</sub>@C<sub>84</sub> and the exceptionally narrow electron paramagnetic resonance (EPR) lines of atomic nitrogen in N@C<sub>60</sub>. It is common for such endohedral fullerenes to possess several stable structural isomers, though no evidence of photoisomerisation in such systems has thus far been reported.

Here we report the EPR signature of Er<sup>3+</sup> within ErSc<sub>2</sub>N@C<sub>80</sub>, making this the first endohedral ion known to be directly excitable both by EPR and optical techniques, and showing two primary configurations of the ErSc<sub>2</sub>N unit within the fullerene cage. The relative populations of the two primary configurations can be manipulated optically: the excess of one configuration, obtained by thermal annealing, is converted into an excess of the other under illumination. The switching speed and reversibility of this photoisomerisation are yet to be determined; however, the long lifetime (over 12 hours at 20 K) of the switched orientation may find application in molecular memory elements.

**10:30****Graphene single electron transistors**

Christoph Stampfer<sup>1</sup> Johannes Güttinger<sup>1</sup> Françoise Molitor<sup>1</sup> Thomas Ihn<sup>1</sup>  
Klaus Ensslin<sup>1</sup>

<sup>1</sup>ETH Zurich, Switzerland

Graphene flakes are patterned into nanostructures using electron beam lithography and dry etching. A mesoscopic Hall bar is investigated by low-temperature magnetotransport experiments. The potential inside the Hall bar is tuned by graphene side gates. We demonstrate that the carrier density can be tuned over typical lateral distances of 90 nm. This way a tunable graphene single electron transistor is realized. Clear conductance resonances and Coulomb diamonds are resolved at a temperature of T=2 K. We present data for several graphene single electron transistors and discuss the tunability of the tunnel barriers as well as the overall electronic configuration of the device.

**11:00****Optical Switching of Porphyrin-Coated Silicon Nanowire Field Effect Transistors**C.B. Winkelmann<sup>1</sup><sup>1</sup>Institut Neel - CNRS/UJF Grenoble France

We study porphyrin derivative coated silicon nanowire field effect transistors, which display a large, stable and reproducible conductance increase upon illumination.[1] The efficiency and the kinetics of the optical switching are studied as a function of gate voltage, illumination wavelength and temperature. The decay kinetics from the high- to the low-conductance state is governed by charge recombination via tunneling, with a rate depending on the state of the SiNW-FET. The comparison to porphyrin sensitized Carbon Nanotube FETs allows to distinguish the environment- and molecule-dependent photoconversion process from the charge-to-current transducing effect of the semiconducting channel. (1) C.B. Winkelmann et al., Nano Lett. 7, 1454 (2007).

**11:30****Spin Gap and Luttinger Liquid Description of the NMR Relaxation in Carbon Nanotubes**Ferenc Simon<sup>1</sup> Hans Kuzmany<sup>2</sup> Miklos Gulacsi<sup>3</sup> Balazs Dora<sup>3</sup><sup>1</sup>Budapest University of Technology and Economics, Institute of Physics and Condensed Matter Research Group, Hungarian Academy of Sciences, Post Office Box 91, H-1521 Budapest, Hungary<sup>2</sup>Fakultat fuer Physik, Universitat Wien, Strudlhofgasse 4, A-1090 Wien, Austria<sup>3</sup>Max-Planck-Institut fuer Physik komplexer Systeme, Dresden

Recent NMR experiments by Singer et al. [1] showed a deviation from Fermi-liquid behavior in carbon nanotubes with an energy gap evident at low temperatures. Here, a comprehensive theory for the magnetic field and temperature dependent NMR <sup>13</sup>C spin-lattice relaxation is given in the framework of the Tomonaga-Luttinger liquid. The low temperature properties are governed by a gapped relaxation due to a spin gap ( 30 K), which crosses over smoothly to the Luttinger liquid behavior with increasing temperature.

1 Singer et al., Phys. Rev. Lett. 95, 236403 (2005).

Friday, March 7

Quantum Interactions



**17:00****Single Electron Spin Microscope: the prospects of adding chemical information to Atomic Force Microscope images.**Karoly Holczer

UCLA, Department of Physics, Los Angeles, CA, USA

A successful combination of Magnetic Resonance with Scanning Probe Microscopy promises an atomic scale analytical tool. Single Electron Spin Microscope, i.e. a microscope with a single electron spin probe, is conceived to provide an atomic scale topographic image of a sample surface, while simultaneously identifying and investigating paramagnetic centers near the surface. Two features make SESM radically different from other Magnetic Resonance Force Microscope approaches: the use of a single-spin as a probe, and the possible room temperature operation. Over the past years the complex instrumentation with the required core performance has been assembled; the progress made in the realization will be reviewed from the perspective of the original concept.

**17:30****Detection of single electron spins in N@C<sub>60</sub> molecules**Wolfgang Harneit

Freie Universität Berlin

For scalable solid-state or molecular spin-based quantum computers, the detection and coherent manipulation of single electron spins is mandatory. Due to its uniquely shielded configuration, the nitrogen atom encapsulated in an endohedral fullerene, N@C<sub>60</sub>, provides a precisely locatable electron spin system with long coherence times. At the same time, the shielding makes access to the spin information exceedingly difficult.

We have investigated three methods to detect and manipulate single electron spins in N@C<sub>60</sub>, optically detected magnetic resonance (ODMR), pulsed electrically detected magnetic resonance (pEDMR) and quantum transport in N@C<sub>60</sub> single molecule transistors (SMTs).

N@C<sub>60</sub> has no allowed optical transitions in the visible, prohibiting direct access to its spin state via ODMR, but the spin state of N-V centers in diamond can be read out easily at room temperature. Small (~ 30 nm) diamond particles surrounded by N@C<sub>60</sub> fullerenes exhibit a spectroscopic splitting that could however not be uniquely assigned to N@C<sub>60</sub> molecules. We have observed similar spectra on diamond samples without N@C<sub>60</sub>, and attribute the splitting to <sup>13</sup>C atoms in the vicinity of the N-V center.

In pEDMR of (non-endohedral) C<sub>60</sub> thin films, we observed coherent Rabi oscillations at room temperature, which we assign to ~ 10<sup>4</sup> electron spins originating from defect-related states. Transferring the results to a smaller number of spins and to N@C<sub>60</sub> is experimentally difficult, but seems feasible in principle. Using this



approach has the advantage of combining spin detection and manipulation in a conventional setup.

In  $N@C_{60}$  SMT devices, we observed a low-spin to high-spin transition in electron tunneling spectra at low temperatures. Theoretical calculations accounting for the antiferromagnetic exchange interaction between the neutral N spin and the spin of its charged  $C_{60}$  cage reproduce this and other features. This allows us to identify the charge and spin states of the molecule, a first in SMT-probed molecular magnetism.

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